

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) LaMothe, Louise A.	2. Court or Organization Central District of California	3. Date of Report 10/26/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Magistrate Judge - Part Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address United States District Court Federal Building 1415 State Street, Room 202 Santa Barbara, CA 93101-2511		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	Louise A. LaMothe - A Professional Corporation: Salary	\$170,000.00
2. 2016	LaMothe Family Trusts: Trust commissions for services performed for the trusts	\$22,500.00
3. 2016	Louise A. LaMothe, A Professional Corporation: 401K Excess deferral	\$4,311.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	California State Teachers' Retirement System
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. LPL FINANCIAL 7168 (LAL)									
2. -Allianzgi Short Duration High Income	B	Dividend	N	T	Buy	05/16/16	N		
3. -Angel Oak Multi Strategy Income	B	Dividend	O	T	Buy	05/16/16	O		
4. -Baron Asset	D	Dividend			Buy	05/16/16	M		
5. -Baron Asset	D	Dividend			Sold	11/28/16	M	D	
6. -Blackrock Global Allocation	A	Dividend			Sold	05/16/16	M	A	
7. -Blackrock Multi Asset Income Instl CL	A	Dividend			Sold	05/16/16	M	A	
8. -Columbia Acron Intl	A	Dividend			Sold	05/16/16	M	A	
9. -Delaware Diversified CL	A	Dividend			Sold	05/16/16	M	A	
10. -Eaton Vance Floating Rate	A	Dividend			Sold	05/16/16	M	A	
11. -Eaton Vance National Mun Income	A	Dividend	M	T	Buy	05/16/16	M		
12. -Federated Strategic Value Dividend	A	Dividend			Buy	05/16/16	M		
13. -Federated Strategic Value Dividend	A	Dividend			Sold	11/28/16	M	A	
14. -Franklin Emerging Market Debt	A	Dividend			Sold	05/16/16	L	A	
15. -Harbor Cap Apprec	A	Dividend			Buy	05/16/16	M		
16. -Harbor Cap Apprec	A	Dividend			Sold	06/22/16	M		
17. -JP Morgan Mortgage Backed Securities	A	Dividend	N	T	Buy	05/15/16	N		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Loomis Sayles Growth	C	Dividend			Buy	05/16/16	L		
19. -Loomis Sayles Growth	A	Dividend			Sold	11/28/16	L	C	
20. -Maingate MLP	C	Dividend			Buy	05/16/16	L		
21. -Maingate MLP	B	Dividend			Sold	11/18/16	L	B	
22. -Main Stay Epoch Global Equity	A	Dividend			Buy	05/16/16	L		
23. -Main Stay Epoch Global Equity	A	Dividend			Sold	11/28/16	L		
24. -Metropolitan West High Yield Bond	A	Dividend			Sold	05/16/16	M	A	
25. -Metropolitan West Total Return Bond	A	Dividend			Sold	05/16/16	M	A	
26. -MFS Value	C	Dividend			Buy	05/16/16	M		
27. -MFS Value	B	Dividend			Sold	11/28/16	K	B	
28. -Oppenheimer Developing Markets	A	Dividend			Sold	05/16/16	L	A	
29. -Pimco Investment Grade Corp Bond	A	Dividend	M	R	Buy	05/16/16	M		
30. -Powershares QQQ ETF	A	Dividend			Sold	05/16/16	L	G	
31. -Putnam Capital Spectrum CL	A	Dividend			Sold	05/09/16	M	A	
32. -Royce Dividend Value Investment	B	Dividend			Buy	05/16/16	L		
33. -Royce Dividend Value Investment	B	Dividend			Sold	11/28/16	K	B	
34. -SPDR S&P 500 ETF	A	Dividend			Sold	05/16/16	M	F	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -Templeton Global Bond Advisor CL	A	Dividend			Sold	03/03/16	K	A	
36. -T Rowe Price Real Estate	A	Dividend			Sold	05/16/16	L	E	
37. -Vanguard Intermediate Term	A	Dividend	M	T	Buy	05/16/16	M		
38. -Vanguard Windsor II	A	Dividend			Sold	05/16/16	M	E	
39. -Wells Fargo Diversified Income Bldr	D	Dividend			Buy	05/26/16	L		
40. -Wells Fargo Diversified Income Bldr	B	Dividend			Sold	11/28/16	J	A	
41.									
42. WELLS FARGO 5002 (LAL)									
43. -Ambridge Boro PA MUN	A	Interest	J	T	Buy	07/19/16	J		
44. -Antelope VLY CA UN HIGH SCH DIST	A	Interest	J	T					
45. -Bakersfield CA Wastewater REV SER	A	Interest	K	T					
46. -Banning CA UTIL AUTH WTR	A	Interest	K	T					
47. -Bellwood IL RFDG SER B	A	Interest	K	T	Buy	08/17/16	K		
48. -Burbank CA REDEV AGY SUCCESSOR AGY TAX ALLOCA	A	Interest	J	T					
49. -California ST DEPT WTR RES PWR SUPPLY REV	A	Interest			Sold	05/02/16	J	A	
50. -Central CA UNI SCH DIST	B	Interest			Sold	08/16/16	K	B	
51. -Columbus OH G/O RFDG LTD	A	Interest	J	T	Buy	10/13/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. -Eastern Mun Wtr Dis CA	A	Interest	J	T					
53. -El Camino CA CMNTY College DIST REF	A	Interest			Sold	02/16/16	J	A	
54. -Eugene OR Elec Util Rev	A	Interest	J	T					
55. -Fort Bend TX MUN UTIL	A	Interest	J	T	Buy	02/18/16	J		
56. -Golden West SCHS Fing CA REF Beverly Hills UNI	A	Interest	K	T					
57. -Hawthorne CA CTF PARTN	A	Interest	K	T	Buy	05/05/16	K		
58. -Henry & Whiteside CNTYS	A	Interest	K	T					
59. -Highland CA REDEV AGY	A	Interest	K	T					
60. -Los Angeles CA Dpt Arpts Sub LA Intl Arpt	A	Interest	K	T					
61. -Montclair CA Pub FING Auth LSE	A	Interest	J	T					
62. -New York State MTG AGY	A	Interest	J	T	Buy	07/14/16	J		
63. -Puerto Rico PUB FIN CORP COMWLTH	A	Interest			Sold	06/29/16	K	A	
64. -Rialto CA REDEV Agy Successor AGY Tax	A	Interest	J	T					
65. -San Francisco CA City & Cnty Arpts Comm Ntl	A	Interest	J	T					
66. -University CA REVS LTD Proj	A	Interest	J	T					
67. -Univerity Tex Univ RVS REF SYS	A	Interest	K	T					
68. -Val Verde CA SCH DIST	A	Interest	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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69. -Ventura CNTY CA Cmnty College	A	Interest	K	T					
70. -Washington CA UNI SCH DIST	A	Interest	J	T	Buy	10/28/16	J		
71. -Watsonville CA REDEV AGY	A	Interest	J	T	Buy	04/27/16	J		
72. -West Sacramento CA Area Flood CTL AGY ASSMT	A	Interest			Sold	04/25/16	J	A	
73. -Whittier CA REDEV SUCCESSOR AGY TAX ALLOC	A	Interest	K	T					
74. -Yuba City CA REDEV AGY SUCCESSOR AGY	A	Interest			Sold	10/11/16	K	A	
75.									
76. WELLS FARGO 3545 (LAL)									
77. -Abbott Laboratories ABT	A	Dividend	J	T					
78. -Abbvie Inc	A	Dividend	J	T					
79. -American International Group WTS			J	T					
80. -Automatic Data Processing ADP	A	Dividend	J	T					
81. -CDK Global Holdings LLC	A	Dividend	J	T					
82. -Citigroup Funding Inc Medium Term Notes CPN	A	Interest			Sold	05/02/16	K	A	
83. -Colgate Palmolive Co	A	Dividend	J	T					
84. -Exxon Mobil Corp	A	Dividend	J	T					
85. -General Electric Company	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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86. -General Mills Inc	A	Dividend	J	T					
87. -Intel Corp	A	Dividend	J	T					
88. -Kohls Corp	A	Dividend	J	T					
89. -McDonald's Corp	A	Dividend	J	T					
90. -Medtronic PLC MDT	A	Dividend	J	T					
91. -Microsoft Corp	A	Dividend	J	T					
92. -Pfizer Inc	A	Dividend	J	T					
93. -Schlumberger Ltd	A	Dividend	J	T					
94. -Walgreens Boots Alliance Inc	A	Dividend	J	T					
95. -Wal-Mart Stores Inc	A	Dividend	J	T					
96. -Wells Fargo & Co New WFC	A	Dividend	K	T					
97.									
98. WELLS FARGO 3480 (LAL)									
99. -General Elec Cap Corp Medium Term Notes CPN 5.400%	A	Interest	J	T					
100. -Goldman Sachs Group Inc Notes CPN 5.950%	A	Interest	J	T					
101. -Jackson National Life Ins - Perspective II Variable Annuity		None	O	W					
102.									

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103. LPL FINANCIAL 9907 (LAL)									
104. American Funds -Capital Income Bulder CL F2	A	Dividend	M	T					
105. American Funds -New World CL F2	A	Dividend	L	T					
106.									
107. LPL FINANCIAL 8747 (LAL)									
108. -FS Investment Corp III	A	Dividend	L	T					
109.									
110. COMPUTERSHARE TRUST CO (LAL) (Transfer agent for Spar Group, Inc.)									
111. -Spar Group Inc			J	T					
112.									
113. WELLS FARGO 0204 (DK)									
114. -Capital Income Bldr FD CL C CIBCX	A	Interest	J	T					
115. -GE Capital Internotes Medium Term Notes	A	Interest	J	T					
116. -Goldman Sachs Group Notes Medium Term Notes	A	Interest	K	T					
117. -Pacific Life Ins Co Pacific Journey Select Var Annuity	A	None	M	T					
118.									
119. WELLS FARGO 2370 (DK)									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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LaMothe, Louise A.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -Abbott Laboratories	A	Dividend	J	T					
121. -Abbvie Inc	B	Dividend	J	T					
122. -Air Products & Chemicals	A	Dividend	J	T					
123. -American FDS Portfolio Global Growth Fund	A	Dividend	J	T					
124. -Automatic Data Processing	A	Dividend	J	T					
125. -Balanced Fund Inc Class F1	A	Dividend	J	T					
126. -Capital Income Bldr	A	Dividend	K	T					
127. -CDK Global Holdings LLC	A	Dividend	J	T					
128. -Cohen & Steers Real Estate SECS	A	Dividend	K	T					
129. -Conoco Phillips COP	A	Dividend	J	T					
130. -Costco Whsl Corp	A	Dividend	K	T					
131. -GE Capital Internotes Medium Term Notes CPN 4.450%	A	Interest	J	T					
132. -GE Capital Internotes Medium Term Notes CPN 5.200%	A	Interest	J	T					
133. -GE Capital Internotes Medium Term Notes CPN 5.450%	A	Interest	J	T					
134. -General Mills Inc	A	Dividend	J	T					
135. -HCP Inc	A	Dividend	K	T					
136. -HSBC Bank USA CD	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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LaMothe, Louise A.

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10/26/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -John Hancock Life Ins Co Signature Notes	A	Int./Div.	J	T					
138. -Johnson & Johnson	A	Dividend	J	T					
139. -Kellogg Company	A	Dividend	J	T					
140. -Los Angeles Cnty CA Redev Auth Tax Alloc	A	Interest	J	T					
141. -Madera Cnty CA Brd ED 4%	A	Interest	K	T					
142. -Paychex Inc	A	Dividend	J	T					
143. -Phillips 66	A	Dividend	J	T					
144. -Proctor & Gamble Co PG	A	Dividend	J	T					
145. -Quality Care Properties Inc	A	Interest	J	T	Buy	11/01/16	J		
146. -Solano CNTY CA CTFS Partn Rfdg Rev B/E	A	Interest			Sold	11/01/16	K	A	
147. -Target Corp TGT	A	Dividend	K	T					
148. -United Parcel Service-B UPS	A	Dividend	J	T					
149. -Versum Materials Inc VSM	A	Dividend	J	T					
150. -Wells Fargo & Co Note Linked to Currency CPN	A	Interest			Sold	10/07/16	J	A	
151. -Wells Fargo & Co Multi Step Up CPN Medium Term Note CPN 3.250%	A	Interest	J	T					
152. -Wells Fargo & Co Multi Step Up Cpn Bond 3.250%	A	Interest	J	T					
153. -Wells Fargo Bank CD 7 YR MLCD 4.5%	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -Wells Fargo Bank NA CD Linked to S&P 500 7.5%	A	Interest	J	T					
155. -Wisdom Tree Emerging ET	A	Dividend	J	T					
156. -Wisdom Tree Intl MC	A	Dividend	J	T					
157. -Wisdom Tree Intl S/C DVD	A	Dividend	J	T					
158. -Wisdom Tree LC DVD FD	A	Dividend	J	T					
159. -Wisdom Tree Midcap Div FD	A	Dividend	J	T					
160. -Wisdom Tree TR ET Midcap Earnings FD	A	Dividend	J	T					
161. -Wisdom Tree S/C DVD FD	A	Dividend	J	T					
162.									
163. WELLS FARGO 9281 (DK)									
164. -AT&T Inc	A	Dividend	J	T					
165. -Eli Lilly & Co	A	Dividend	J	T					
166. -Eversource Energy	A	Dividend	J	T					
167. -Goldman Sachs Group Notes Medium Term Notes CPN 6.000%	A	Interest	J	T					
168. -Scana Corp Com SCG	A	Dividend	J	T					
169. -Sysco Corporation SYY	A	Dividend	J	T					
170. -United Parcel Services-B UPS	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -Wells Fargo 6.5 Yr MLN	A	Interest	J	T					
172.									
173. TIAA									
174. -TIAA Annuity Contracts (TIAA Traditional)	A	Interest	K	T					
175. (Blank line remaining to maintain pagination. To be removed.)									
176. (Blank line remaining to maintain pagination. To be removed.)									
177. -CREF Annuity Contracts (CREF Stock R3)	D	Interest	L	T					
178.									
179. TROWE PRICE									
180. -TROWE PRICE IRA (Global Tech)	B	Dividend	K	T					
181. -TROWE PRICE IRA (Spectrum Growth)	A	Dividend	J	T					
182.									
183. CHARLES SCHWAB 9314 (DK)									
184. -Buffalo Flexible Income Fund	A	Dividend	J	T					
185. -Costco Wholesale Co	A	Dividend	J	T					
186. -Parnassus Core Equity FD Inv	A	Dividend	J	T					
187. -Schwab US Div Equity ETF	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.									
189. CHARLES SCHWAB 0109 (LAL)									
190. -Alphabet, Inc. (Google)	E	Dividend	K	T					
191. -Costco Wholesale Co	D	Dividend	K	T					
192. -Ford Motor Company	A	Dividend	J	T					
193. -Qualcomm Inc	D	Dividend	J	T					
194. -Salesforce Com		None	J	T	Buy	11/11/16	J		
195. -Tesla Motors Inc		None	J	T					
196. -Matthews Pacific Tiger	C	Dividend	J	T					
197. -Parnassus Core EQTY FD	D	Dividend	K	T					
198.									
199. Crown Life Whole Life Flexible Premium (DK)		None			Expired	08/22/16	J	A	
200. John Hancock Whole Life	C	Dividend	M	T					
201.									
202. Charles Schwab Bank Checking Account 4108	A	Interest	M	T					
203. Citibank Checking Account	A	Interest	J	T					
204. City National Bank Chkg #1 (Trust 1990)	A	Interest	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. City National Bank Chkg #2 (Trust 1997)	A	Interest	J	T					
206. City National Bank Chkg #3 (Trust)	A	Interest	J	T					
207. Crown Classic Chkg Acct (DK)	A	Interest	J	T					
208. Crown Classic Chkg Acct #2 (Joint)	A	Interest	J	T					
209. Union Bank Checking (1/3 Interest)	A	Interest			Closed	12/31/16	J	A	
210. USAA (Savings Acct)	A	Interest	J	T					
211.									
212. Hilton Worldwide Holdings	A	Dividend			Sold	08/19/15	J		
213. Host Hotels & Resort	A	Dividend			Sold	06/18/15	J		
214. Host Hotels & Resort	A	Dividend			Sold	06/19/15	J		
215. Hudson Pacific PPTYS Inc	A	Dividend			Sold	08/19/15	J		
216. Liberty Property Trust REIT									
217. Paramount GRP Inc	A	Dividend			Sold	07/08/15	J		
218. Paramount GRP Inc	A	Dividend			Sold	07/09/15	J		
219. Paramount GRP Inc	A	Dividend			Sold	07/10/15	J		
220. Paramount GRP Inc	A	Dividend			Sold	07/13/15	J		
221. Paramount GRP Inc	A	Dividend			Sold	07/14/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. Washington Prime Group									
223. Money Fund GVI n/k/a US GVT MM Fund									
224. Money Fund GVI n/k/a JPM US Gt Money Market D/Fund									
225. TIAA-CREF Asset Allocation Fund									
226. Home Depot Inc		None			Donated	10/30/14			
227. Sacramento CA Mun Util	A	Interest			Sold	03/03/15	K	A	
228. Northwestern Mutual Life Ins Policy (Whole life)	C	Dividend	M	T					
229. Rental Property #1 Pebble Beach, Monterey CA 1/3 Interest		None			Sold	02/19/16	N	A	Andrew and Carol Miller
230. Federal Home Loan Bank									
231. LA California Cmnty Facs									
232. Royal Bank of Canada									
233. New Jersey Res Corp									
234. CitiGroup - Bond									
235. FHLB - Bond									
236. Northeast Utilities									
237. Merrill Lynch 7% PFD Cap									
238. Citigroup Inc SR									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. Alameda County CA Redev Agy Tax Alloc Eden Area Proj Ser A Rev B/E									
240. Los Angeles Calif Comm Facs Dist Spl Tax Escrow 4-Playa Vista-PH									
241. Cheseapeake Energy Corp									
242. Eastgroup Pprtys Inc REIT	A	Dividend			Sold	08/11/15	J	A	
243. Empire State Realty Trust Inc	A	Dividend			Sold	08/19/15	J	A	
244. Kilroy Rlty Corp REIT	A	Dividend			Sold	08/19/15	J	A	
245. Piedmont Office Rlty TR Inc Com Class A	A	Dividend			Sold	08/13/15	J	A	
246. Prologis Inc	A	Dividend			Sold	08/19/15	J	A	
247. Public Storage Inc REIT	A	Dividend			Sold	08/19/15	J	A	
248. Realty Income Corp REIT	A	Dividend			Sold	08/19/15	J	A	
249. RLJ Lodging Trust	A	Dividend			Sold	08/19/15	J	A	
250. Retail Opportunities Investments Corp	A	Dividend			Sold	08/19/15	J	A	
251. Taubman Centers Inc REIT	A	Dividend			Sold	08/19/15	J	A	
252.									
253.									
254.									
255.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256.									
257.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 14 (Franklin Emerging Market Debt) Purchase inadvertently not reported in 2015.

Line 44 (Antelope VLY CA UN HIGH SCH DIST) Re: Line 142 on 2015 FDR; inadvertently reported as sold - Only partially sold.

Inadvertently not reported in 2015, bought in 2015:

Line 46 (Banning CA UTIL AUTH WTR)

Line 48 (Burbank CA REDEV AGY SUCCESSOR AGY TAX ALLOCA)

Line 58 (Henry & Whiteside CNTYS)

Line 59 (Highland CA REDEV AGY)

Line 68 (Val Verde CA SCH DIST)

Line 73 (Whittier CA REDEV SUCCESSOR AGY TAX ALLOC)

Line 72 (West Sacramento CA Area Flood CTL AGY ASSMT)

Line 74 (Yuba City CA REDEV AGY Successor AGY)

Line 90 (Medtronic PLC MDT)

Line 120 (American FDS Portfolio Global Growth Fund)

Same stock in two different accounts, not reported separately in 2015:

Line 77 (Abbott Laboratories ABT) (See Line 102 on 2015 FDR.)

Line 78 (Abbvie Inc) (See Line 103 on 2015 FDR.)

Line 80 (Automatic Data Processing ADP) (See Line 105 on 2015 FDR.)

Line 81 (CDK Global Holdings LLC) (See Line 106 on 2015 FDR.)

Line 86 (General Mills Inc) (See Line 110 on 2015 FDR.)

Line 133 (HSBC Bank USA CD) (See Line 216 on 2015 FDR.)

Line 145 (United Parcel Service-B UPS) (See Line 173 on 2015 FDR.)

Line 96 (Wells Fargo & Co New WFC) Inadvertently not reported in 2015, purchased in 1997, 98, 99.

Line 125 (Balanced Fund Inc Class F1) Inadvertently not reported in 2015, purchased in 2005.

Line 126 (Capital Income Bldr) Inadvertently not reported in 2015, purchased in 2014.

Line 128 (Cohen & Steers Real Estate SECS) Inadvertently not reported in 2015, purchased in 2011.

Inadvertently not reported in 2015, purchased in 2012:

Line 129 (Conoco Phillips COP)

Line 144 (Proctor & Gamble Co PG)

Line 147 (Target Corp TGT)

Line 149 (Versum Materials Inc VSM)

Re: Committee Letter of September 27, 2017:

Part III A: Amended

Part VII, lines 111, 123, 177, 194, and 202:

111 (Spar Group, Inc.) Newly discovered holding in 2016. Originally part of investment by a limited partnership acquired while employed from 1992-96 at a law firm. Investment carried in one partner's name, then transferred to individual investors at some undetermined later date. Computershare records show "prior agent transfer 9/30/99." No dividend. Not actively traded.

123 (American FDS Portfolio Global Growth Fund) Inadvertently not reported on 2015 FDR, purchased 1/20/2015.

177 (TIAA CREF Stock R3) Reported on line 101 in 2015 FDR.

194 (Salesforce Com) Entry amended.

202 (Charles Schwab Checking Account 4108) Inadvertently not reported in 2015.

Part VII, lines 104 and 105: Entries amended.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, lines 101 and 117:

101 (Jackson National Life Ins. - Perspective II Variable Annuity) Specific investments are provided below to maintain pagination of the original report:
Value Method as of 12/30/2016

JNL/Mellon Capital S&P500 Index	M
JNL/Mellon Capital S&P400 MidCap Index	L
JNL/Mellon Capital International Index	M
JNL/Mellon Capital Emerging Markets Index	L
JNL/Mellon Capital Small Cap Index	L

117 (Pacific Life Ins Co Pacific Journey Select Var Annuity) Specific investment is provided below to maintain pagination of the report:
Value Method as of 12/31/2016

American Funds AFIS Assets Allocation	M
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Part VII, lines 199 and 200: Entries amended.

Part VII in 2015 FDR, lines 25-27, 30, 34, 44, 87, 99, 101, 124, 147, 149, 161-163, 171, 175, 176, 188, 193, 202, 211, 212, 224, 227, 228, 232, 234-9, and 242: Inadvertently not reported in 2015 and entered on lines 212 through 251 herein, respectively.

101 in 2015 FDR (TIAA-CREF Asset Allocation Fund) Reported on lines 174 and 177 of 2016 FDR. (Although indicated on line 225 herein to maintain continuity in response to this inquiry.)

111 in 2015 FDR (Home Depot) Donated to charities in 2014: Amended information on line 226 herein.

The following remaining entries from the 2015 FDR could not be identified. It is possible that the holdings were incorrectly listed in 2015:

Listed in 2015 as: Lines 30, 44, 87, 99, 161, 162, 163, 171, 175, 176, 188, 193, 202, 211, 212, 224.

Listed herein as: Lines 216, 222, 223, 224, 230, 231, 232, 233, 234, 235, 236, 237, 238, 239, 240, 241, respectively.

Additional Amendments Re: 2016 FDR:

Line 189 (CHARLES SCHWAB 0109 (LAL)) Inadvertently omitted in 2016 FDR is cash in the amount of Value Method K.

Line 183 (CHARLES SCHWAB 9314 (DK)) Inadvertently omitted in 2016 FDR is cash in the amount of Value Method J.

Additional Amendments re: 2015 FDR:

Line 182 (Citibank Corp Chkg (Louise A. LaMothe APC)) Due to the closing of this account, funds were transferred to Wells Fargo Corp Chkg (Louise A. LaMothe APC) beginning in October, 2015, which was inadvertently not reported in 2015.

Line 183 (Citibank Corp Savings (Louise A. LaMothe APC)) Due to the closing of this account, funds were transferred to Wells Fargo Corp Savings (Louise A. LaMothe APC) beginning in October, 2015, which was inadvertently not reported in 2015.

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Name of Person Reporting

LaMothe, Louise A.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Louise A. LaMothe**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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