

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) CECCHI, CLAIRE C.	2. Court or Organization US DISTRICT COURT FOR THE DISTRICT OF NEW JERSEY	3. Date of Report 1/30/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US FEDERAL JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address Martin Luther King Jr Fed Bldg 50 Walnut Street Newark, NJ 07102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Name of Person Reporting

CECCHI, CLAIRE C.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2013

2. 2013

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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Name of Person Reporting

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of America	401k loan	J
2.	Citibank	Unsecured credit lines	O
3.	American Express	Credit Card	M
4.	MassMutual	Life Insurance loan	J
5.	Northwestern Life	Life Insurance loan on policy owned [REDACTED]	N
6.	Northwestern Life	Life Insurance loan	K

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America - Checking accounts	A	Interest	J	T					
2. Citibank - Checking accounts	A	Interest	P1	T					
3. Northwestern Mutual Ins Cash Value	A	Interest	L	T					
4. Guardian Whole Life	B	Interest	K	T					
5. Mass Mutual Whole Life	A	Interest	K	T					
6. 401(k) #1 - Lines 7-8 (H)									
7. - AmericanFunds Inv Fund	A	Dividend	L	T					
8. - Mainstay Large Cap	A	Dividend	K	T					
9. SEP #1 (H)									
10. - UBS Bank (Cash account)	A	Int./Div.	J	T					
11. 401(k) #2 - Lines 12-13 (H)									
12. - American Century Growth Fund	D	Dividend	N	T					
13. - PIMCO Total Return	D	Dividend	M	T					
14. [REDACTED]		None	P1	W					
15. Mark Pharmaceutical (Unlisted Security)		None	J	T					
16. Brokerage #1 - Lines 17-19 (H)									
17. - Western Asset Money Mkt	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. - Vanguard Total Stock Market etf	A	Dividend	J	T					
19. - Prudential Short-term Corp Bond Fund	A	Int./Div.	J	T					
20. Franklin Templeton 529 Age 9-12	A	Dividend	L	T	Buy	10/21/13	K		
21. Brokerage #2 - Lines 21-112 (H)									
22. - Dreyfus Muni MONEY MARKET	B	Dividend	M	T	Open	11/01/13	O		
23. - VA State Pub Bldg Bond	A	Interest	J	T	Buy	11/26/13	J		
24. - NY State Hwy Auth Bond	A	Interest	J	T	Buy	12/12/13	J		
25. - Hawaii St Ser EH Bond	A	Interest	K	T	Buy	11/06/13	K		
26. - Abbvie Inc Com	A	Dividend	J	T	Buy	11/26/13	J		
27.					Sold (part)	12/10/13	J	A	
28. - Accenture PLC Com	A	Dividend	J	T	Buy	11/01/13	J		
29. - Ace Ltd Com	A	Dividend	J	T	Buy	11/01/13	J		
30. - ADT Corp Com	A	Dividend	J	T	Buy	11/01/13	J		
31.					Sold (part)	11/08/13	J		
32. - AIG Com	A	Dividend	J	T	Buy	11/01/13	J		
33. - Amgen Com	A	Dividend	J	T	Buy	11/01/13	J		
34. - Amphenol Com	A	Dividend	J	T	Buy	11/01/13	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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35. - Ansys Inc Com	A	Dividend	J	T	Buy	11/01/13	J		
36. - Apple Com	A	Dividend	J	T	Buy	11/01/13	J		
37. - Calpine Com	A	Dividend	J	T	Buy	11/01/13	J		
38. - Cameron Intl Com	A	Dividend	J	T	Buy	11/01/13	J		
39. - Capital One Com	A	Dividend	J	T	Buy	11/01/13	J		
40. - Chevron Com	A	Dividend	J	T	Buy	11/01/13	J		
41. - Cognizant Tech Com	A	Dividend	J	T	Buy	11/01/13	J		
42. - Comcast Com	A	Dividend	J	T	Buy	11/01/13	J		
43. - Core Lab Com	A	Dividend	J	T	Buy	11/01/13	J		
44. - Costco Com	A	Dividend	J	T	Buy	11/01/13	J		
45. - Covance Com	A	Dividend	J	T	Buy	11/01/13	J		
46. - Covidian Com	A	Dividend	J	T	Buy	11/01/13	J		
47. - CVS CAremark Com	A	Dividend	J	T	Buy	11/01/13	J		
48. - Danaher Corp Com	A	Dividend	J	T	Buy	11/01/13	J		
49. - Davita Healthcare Com	A	Dividend	J	T	Buy	11/01/13	J		
50. - Ecolab Com	A	Dividend	J	T	Buy	11/01/13	J		
51. - EMC Corp Com	A	Dividend	J	T	Buy	11/01/13	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. - Estee Lauder Co Com	A	Dividend	J	T	Buy	11/01/13	J		
53. - Express Scripts Com	A	Dividend	J	T	Buy	11/01/13	J		
54. - Fluor Corp Com	A	Dividend	J	T	Buy	11/01/13	J		
55. - FMC Tech Com	A	Dividend	J	T	Buy	11/01/13	J		
56. - Ford Motor Com	A	Dividend	J	T	Buy	11/01/13	J		
57. - Fossil Grp Com	A	Dividend	J	T	Buy	11/01/13	J		
58. - General Elec Com	A	Dividend	J	T	Buy	12/26/13	J		
59. - GenPact Ltd Com	A	Dividend	J	T	Buy	11/01/13	J		
60. - Gilead Sciences Com	A	Dividend	J	T	Buy	12/18/13	J		
61. - Goldman Sachs Com	A	Dividend	J	T	Buy	11/01/13	J		
62. - Google Com	A	Dividend	J	T	Buy	11/01/13	J		
63. - Haliburton Com	A	Dividend	J	T	Buy	11/01/13	J		
64. - Honeywell Intl	A	Dividend	J	T	Buy	11/01/13	J		
65. - Intuitive Surgical Com	A	Dividend	J	T	Buy	11/01/13	J		
66. - JP Morgan Chase Com	A	Dividend	J	T	Buy	11/01/13	J		
67. - KAR Auction Svcs Com	A	Dividend	J	T	Buy	11/01/13	J		
68. - Kinder Morgan Com	A	Dividend	J	T	Buy	11/01/13	J		

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69. - Lowes Com	A	Dividend	J	T	Buy	11/01/13	J		
70. - Mead Johnson Com	A	Dividend	J	T	Buy	11/01/13	J		
71. - MetLife Inc Com	A	Dividend	J	T	Buy	11/01/13	J		
72. - Microsoft Com	A	Dividend	J	T	Buy	11/01/13	J		
73. - National Instruments Com	A	Dividend	J	T	Buy	11/01/13	J		
74. - Netapp Com	A	Dividend	J	T	Buy	11/01/13	J		
75. - Oracle Com	A	Dividend	J	T	Buy	11/01/13	J		
76. - Praxair Com	A	Dividend	J	T	Buy	11/01/13	J		
77. - Qualcomm Com	A	Dividend	J	T	Buy	11/01/13	J		
78. - Realogy Holdings Com	A	Dividend	J	T	Buy	11/01/13	J		
79. - Roper Ind Com	A	Dividend	J	T	Buy	11/01/13	J		
80. - Salesforce.com Com	A	Dividend	J	T	Buy	11/01/13	J		
81. - Schlumberger Com	A	Dividend	J	T	Buy	11/01/13	J		
82. - Schwab Charles Com	A	Dividend	J	T	Buy	11/01/13	J		
83. - Sensata Com	A	Dividend	J	T	Buy	11/01/13	J		
84. - Starbucks Com	A	Dividend	J	T	Buy	11/01/13	J		
85. - Stericycle Com	A	Dividend	J	T	Buy	11/01/13	J		

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86. - Thermo Fisher Com	A	Dividend	J	T	Buy	11/01/13	J		
87. - Tyco Intl Com	A	Dividend	J	T	Buy	11/01/13	J		
88. - United Tech Com	A	Dividend	J	T	Buy	11/01/13	J		
89. - UnitedHealth Croup Com	A	Dividend	J	T	Buy	11/01/13	J		
90. - Visa Inc Com	A	Dividend	J	T	Buy	11/01/13	J		
91. - Wabco Holdings Com	A	Dividend	J	T	Buy	11/01/13	J		
92. - Weatherford Intl Com	A	Dividend	J	T	Buy	11/01/13	J		
93. - Wellpoint Com	A	Dividend	J	T	Buy	11/01/13	J		
94. - Wells Fargo Com	A	Dividend	J	T	Buy	11/01/13	J		
95. - Whole Foods Com	A	Dividend	J	T	Buy	11/01/13	J		
96. - Wyndham Worldwide Com	A	Dividend	J	T	Buy	11/01/13	J		
97. - Invesco Developing Mkts Fund	A	Dividend	L	T	Buy	11/01/13	L		
98. - Artisan Intl Value Fund	B	Dividend	L	T	Buy	11/01/13	L		
99.					Buy (add'l)	12/13/13	J		
100. - Western Asset Global HY Fund	A	Dividend	K	T	Buy	11/01/13	K		
101. - Western Asset Inst AMT Free Muni Fund	A	Dividend	J	T	Buy	11/01/13	J		
102. - MFS Intl Equity Fund	C	Dividend	L	T	Buy	11/01/13	L		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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103. - T Rowe Price Blue Chip	C	Dividend	L	T	Buy	11/01/13	L		
104. - Virtus Emerging Mkts Fund	A	Dividend	K	T	Buy	11/01/13	K		
105. - iShares Russell 2000 ETF	C	Dividend	M	T	Buy	11/01/13	L		
106.					Sold (part)	11/27/13	J	A	
107. - Mastercard Com					Buy	11/01/13	J		
108.					Sold	11/06/13	J	A	
109. - Dresser-Rand Grp					Buy	11/01/13	J		
110.					Sold	11/14/13	J	A	
111. - Idexx Labs Com					Buy	11/01/13	J		
112.					Sold	12/18/13	J		
113. Trust 1 - See Note in Part VIII									
114.									
115.									
116.									
117.									
118.									
119.									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

CECCHI, CLAIRE C.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.									
121.									
122.									
123.									
124.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

CECCHI, CLAIRE C.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII. INVESTMENTS AND TRUSTS:

Line 113. Trust 1 - [REDACTED] is trustee of trust which as of report date had no value.

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Name of Person Reporting

CECCHI, CLAIRE C.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **CLAIRE C. CECCHI**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544