

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Alvarez, Micaela	2. Court or Organization District Court - S. District - TX	3. Date of Report 05/30/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 1701 W. Bus. 83 McAllen, Texas 78501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	Texas Exes, University of Texas School of Law
2. Federal Liason	State Bar of Texas
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.	Law and Economics Center	Oct. 6 - 9, 2013	Princeton, NJ	Antitrust Law & Economics Institute	Transportation, hotel, meals
2.	State Bar of Texas	Jan. 24- 26, 2013	Galveston, TX	State Bar of Texas Directors' Meeting	Transportation, hotel, meals
3.	State Bar of Texas	April 11 - 13, 2013	Austin, TX	State Bar of Texas Directors' Meeting	Transportation, hotel, meals
4.	State Bar of Texas	June 19 - 21, 2013	Dallas, TX	State Bar of Texas Directors' Meeting	Transportation, hotel, meals
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental property-Donna, Hidalgo County, Tx - see Part VII		None	J	R					
2. American Centy Invt. TR Diversified BD INSTL CL	A	Dividend	J	T					
3. Goldman Sach TR Finl Square Treas Instrs FD INSTL CL FTIXX	A	Dividend	J	T					
4. JPMorgan Core Bond FD Slect WOBDX	A	Dividend	J	T					
5. Nuveen INVT FDS Inc Real Estate Secs Fund CL I FARCX	A	Dividend	J	T					
6. Pimco FDS Pac Invt Mgmt Ser Tatal Return FD Instl CL PTTRX	A	Dividend	J	T					
7. Pimco FDS Pac Invt Mgmt Ser Commodity Real Return STRat FD I	A	Dividend	J	T					
8. Blackrock FDS Core Bond Port Instl Class BFMCX	A	Dividend	J	T					
9. CRM Small/Mid Cap Value Fund Inv Class CRMXX	A	Dividend	J	T					
10. Europacific Crowth FD CL F-I AEGFX	A	Dividend	J	T					
11. Fidelity ADV Emerg MKTS Inc CL I FMKIX	A	Dividend	J	T					
12. John Hancock FDS III Global Shareholder YLD Class I JGYIX	A	Dividend	J	T					
13. MFS Ser Tr I Value FD CL I MEILX	A	Dividend	J	T					
14. Mainstay FD High Yield Corporate BD CL I MHYIX	A	Dividend	J	T					
15. Managers Fund Bond Fund MGFIX	A	Dividend	J	T					
16. Metropolitan West FDS Total Return BD Cl I MWTIX	A	Dividend	J	T					
17. Virtus Emerging Markets Opportunities FND CL I HIEMX	A	Dividend			Sold	08/08/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Alger Smallcap and Midcap Grow CL I ASIMX	A	Dividend	J	T					
19. Pimco FDS Invst Diversified Inc FD Instl PDIIX	A	Dividend	J	T					
20. T Towe Price Blue Chip Growth Fund TRBCX	A	Dividend	J	T					
21. Goldman Sachs TR Strategic Income FD CL Instl SHS Gszix	A	Dividend	J	T	Buy	09/10/13	J		
22. Wells Fargo FDS Trust Emerging Markets Equity Fund Instl Class EMGNX	A	Dividend	J	T	Buy	09/10/13	J		
23. Oppenheimer Senior Floating Rate FD CL Y OOSYX	A	Dividend	J	T	Buy	09/10/13	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The property listed in Part VII, line 1 was purchased on July 25, 2003 for the price of \$14,500.00. No rental income was received during the reporting period but it is listed for the sake of thoroughness.

In Part VII, the assests sold were reinvested in the assets purchased.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Micaela Alvarez**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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