

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Jones, David E.	2. Court or Organization Eastern District of Wisconsin	3. Date of Report 08/08/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge - Full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 517 E. Wisconsin Avenue Milwaukee, WI 53202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2017

UW Hospitals & Clinics Salary

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. TD Ameritrade Revocable Trust Account (H)									
2. TD Ameritrade Cash Equivalent (FDIC Insured Account)	A	Interest	J	T					
3. DFA Tax Managed US Targeted Value Mutual Fund	A	Dividend	J	T					
4. DFA US Small Cap I Mutual Fund	A	Dividend	K	T					
5. DFA US Large Cap Value I Mutual Fund	C	Dividend	L	T					
6. Gabelli Equity Income I Mutual Fund	C	Dividend	J	T	Sold (part)	08/01/17	J		
7.					Sold (part)	12/27/17	J		
8. DFA US Large Cap Equity Mutual Fund	B	Dividend	L	T					
9. Powershares FTSE RAFI US 1000 ETF	A	Dividend	K	T					
10. Oppenheimer Global Y Mutual Fund	C	Dividend	L	T	Buy (add'l)	01/06/17	J		
11. Tweedy Browne Worldwide High Dividend Yield Mutual Fund	C	Dividend	L	T					
12. DFA Emerging Markets Value Mutual Fund	A	Dividend	K	T					
13. Oppenheimer Developing Markets Y Mutual Fund	A	Dividend	K	T					
14. American Funds Limited Term Tax Exempt Bond F-2 Mutual Fund	A	Dividend	K	T	Sold (part)	06/30/17	K		
15.					Sold (part)	07/21/17	J		
16.					Sold (part)	08/01/17	J		
17.					Buy (add'l)	12/27/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. American Funds High-Income Municipal Bond F-2 Mutual Fund	A	Dividend	K	T					
19. AMG GW&K Municipal Bond I Mutual Fund	B	Dividend	M	T	Buy (add'l)	06/30/17	K		
20.					Buy (add'l)	12/27/17	J		
21. Thornburg Limited Term Municipal I Mutual Fund	A	Dividend			Sold	08/01/17	J		
22.									
23. TD Ameritrade IRA (H)									
24. TD Ameritrade Cash Equivalent (FDIC Insured Account)	A	Interest	J	T					
25. DFA US Targeted Value Mutual Fund	D	Dividend	M	T	Buy (add'l)	03/28/17	L		
26. DFA US Small Cap I Mutual Fund	C	Dividend	L	T					
27. DFA US Large Cap Value I Mutual Fund	E	Dividend	N	T	Sold (part)	06/30/17	K		
28. PIMCO RAE Fundamental Plus I Mutual Fund	D	Dividend	M	T					
29. DFA International Value I Mutual Fund	A	Dividend	K	T					
30. DFA International Small Cap Value Mutual Fund	A	Dividend	J	T					
31. DFA International Small Cap Mutual Fund	A	Dividend	J	T	Sold (part)	12/27/17	J		
32. DFA Large Cap International I Mutual Fund	A	Dividend	K	T					
33. Oppenheimer Global Y Mutual Fund	B	Dividend	K	T					
34. Tweedy Browne Global Value Mutual Fund	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. American Funds New World F-2 Mutual Fund	A	Dividend	K	T	Sold (part)	06/30/17	J		
36. DFA Emerging Markets Small Cap Mutual Fund	A	Dividend	J	T					
37. DFA Emerging Markets Mutual Fund	A	Dividend	K	T					
38. DFA Emerging Markets Value Mutual Fund	A	Dividend	K	T					
39. Oppenheimer Developing Markets Y Mutual Fund	A	Dividend	K	T					
40. Fidelity Total Bond Z Mutual Fund	B	Dividend	L	T	Buy	01/06/17	J		
41. PIMCO Income Inst Mutual Fund	C	Dividend	M	T	Buy (add'l)	06/30/17	K		
42.					Buy (add'l)	10/11/17	K		
43. FPA New Income Mutual Fund	B	Dividend	L	T	Sold (part)	04/24/17	J		
44.					Sold (part)	10/20/17	J		
45.					Buy (add'l)	12/27/17	K		
46. Goldman Sachs Local Emerging Markets Debt I Mutual Fund	A	Dividend			Sold	10/11/17	K		
47. FPA Capital Mutual Fund		None			Sold	03/28/17	L		
48.									
49. TD Ameritrade Spouse IRA (H)									
50. TD Ameritrade Cash Equivalent (FDIC Insured Account)	A	Interest	J	T					
51. DFA US Targeted Value Mutual Fund	A	Dividend	J	T	Buy	03/28/17	J		

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52. DFA US Small Cap I Mutual Fund	B	Dividend	L	T					
53. PIMCO RAE Fundamental Plus I Mutual Fund	B	Dividend	K	T					
54. Oppenheimer Global Y Mutual Fund	B	Dividend	K	T					
55. Tweedy Browne Global Value Mutual Fund	A	Dividend	J	T					
56. PIMCO Income Inst Mututal Fund	A	Dividend	J	T	Buy	10/11/17	J		
57. FPA New Income Mutual Fund	A	Dividend	J	T	Sold (part)	01/26/17	J		
58.					Sold (part)	04/24/17	J		
59.					Buy (add'l)	06/30/17	J		
60.					Sold (part)	10/20/17	J		
61. Goldman Sachs Local Emerging Markets Debt I Mutual Fund	A	Dividend			Sold	10/11/17	J		
62. FPA Capital Mutual Fund		None			Sold	03/28/17	J		
63. DFA Emerging Markets Value Mutual Fund		None			Sold	06/30/17	J		
64. Oppenheimer Developing Markets Y Mutual Fund		None			Sold	06/30/17	J		
65.									
66. American Funds CollegeAmerica 529 Plan (H)	A	Interest	J	T					
67. UW Credit Union Money Market Savings Account	A	Interest	K	T					
68. UW Credit Union Checking & Savings Accounts	A	Interest	K	T					

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69.									
70. University of Wisconsin TIAA CREF 403(b) Retirement Plan									
71. CREF Stock	A	Dividend	K	T	Sold (part)	07/27/17	K		
72. CREF Growth	A	Dividend	K	T	Sold (part)	07/27/17	J		
73. CREF Global Equities	A	Dividend	K	T	Buy (add'l)	07/27/17	J		
74. CREF Bond Market	A	Interest	K	T	Buy (add'l)	03/21/17	K		
75. CREF Bond Market					Buy (add'l)	07/27/17	J		
76. CREF Social Choice	A	Dividend	K	T	Buy (add'l)	07/27/17	J		
77. CREF Money Market	A	Interest	J	T	Sold (part)	03/21/17	K		
78. CREF Equity Index	A	Dividend	K	T	Buy	07/27/17	K		
79.									
80. Wisconsin Deferred Compensation 457 (H) Retirement Plan									
81. American Fund EuroPacific Growth R6 Mutual Fund	C	Dividend	K	T					
82. DFA US Micro Cap I Mutual Fund	C	Dividend	K	T					
83. T. Rowe Price Instl Mid-Cap Equity Growth Mutual Fund	D	Dividend	K	T					
84. Fidelity Contrafund Mutual Fund	D	Dividend	L	T					
85. Vanguard Institutional Index Instl Plus Mutual Fund	B	Dividend	L	T					

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86. BlackRock US Debt Index W Mutual Fund		None	J	T					
87. Galliard Capital Management Stable Value Fund		None	J	T					
88. Federated US Government Securities 2-5 year Mutual Fund	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

TD Ameritrade IRA

Line 40 - All shares of Fidelity Total Bond Mutual Fund exchanged to Fidelity Total Bond Z Mutual Fund on 4/3/2017

TD Ameritrade Spouse IRA

Line 56 - Changed "Buy (add'l)" to "Buy" per 7/23/19 error letter

Multiple accounts

Lines 14, 18, 19, 21, 40, 41, 43, 46, 57, 61, and 88 - Column 2B changed from "Interest" to "Dividend" per 7/23/19 error letter

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **David E. Jones**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544