

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) FOX, JAMES C.	2. Court or Organization U.S. DISTRICT COURT, NC	3. Date of Report 07/10/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE - SENIOR	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address UNITED STATES DISTRICT COURT P.O. BOX 2143 WILMINGTON, NC 28402		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. TESTAMENTARY LIMITED POWER OF APPOINTMENT	TRUST # 1
2. TESTAMENTARY LIMITED POWER OF APPOINTMENT	TRUST # 2
3. TRUSTEE	FOUNDATION # 1
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA # 2	A	Int./Div.	K	T					
2. - AUTOMATIC DATA PROCESSING INC COMM									
3. - CDK GLOBAL HOLDINGS LLC COMM					Spinoff (from line 2)	10/01/14	J		
4. - MEDTRONIC COMM					Sold (part)	10/07/14	J	A	
5. - MICROSOFT CORP COMM									
6. - PFIZER COMM									
7. - WELLS FARGO ADVISORS BANK DEPOSIT SWEEP M/M									
8. IRA # 1	B	Int./Div.	M	T					
9. - AT&T INC COMM					Buy	10/07/14	J		
10. - COACH INC COMM					Buy	10/07/14	J		
11. - ISHARES COMEX GOLD TRUST MF					Sold	02/26/14	L		
12. - I SHARES SILVER TRUST MF					Sold	02/26/14	L		
13. - VERIZON COMMUNICATIONS COMM					Buy	10/07/14	J		
14. - WELLS FARGO ADVISORS BANK DEPOSIT SWEEP M/M									
15. BROKERAGE # 1 (H)									
16. - COACH INC COMM	B	Dividend	L	T					
17. - EATON VANCE CAPITAL EXCHANGE FD MF	E	Dividend	P1	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - FEDERATED EQUITY INCM CL A MF	E	Distribution	M	T					
19. - WELLS FARGO ADVISORS BANK DEPOSIT SWEEP M/M	A	Interest	L	T					
20. BROKERAGE # 2 (H)									
21. - EATON VANCE CAPITAL EXCHANGE FD MF	E	Dividend	P1	T					
22. - FEDERATED EQUITY INCM CL A MF	E	Distribution	M	T					
23. - WELLS FARGO ADVISORS BANK DEPOSIT SWEEP M/M	A	Interest	K	T					
24. CHESTNUT STREET EXCHANGE FUND MF # 1	E	Dividend	O	T					
25. INVESCO VAN KAMPEN EXCHANGE FUND MF # 1	B	Dividend	L	T					
26. CHESTNUT STREET EXCHANGE FUND MF # 2	E	Dividend	O	T					
27. INVESCO VAN KAMPEN EXCHANGE FUND MF # 2	D	Dividend	N	T					
28. CITIENERGY LLC		None	J	T					
29. AMERICAN ENERGY INCOME FUND LLC	A	Distribution	J	T					
30. TRUST # 1	E	Int./Div.	O	T					NOTE A
31. - AIM GRWTH SER INVESCO GRWTH SER MF									
32. - CALAMOS MARKET NEUTRAL MF					Merged (with line 33)	09/19/14	J		
33. - CALAMOS INVT TR NEW MARKET NEUTRAL INCM FUND CL I MF					Open	09/19/14	J		
34. - DODGE & COX INCOME FUND MF									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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35. - DODGE & COX INTERNATIONAL MF					Sold (part)	01/16/14	J	C	
36.					Buy (add'l)	03/26/14	J		
37.					Sold (part)	08/01/14	J	A	
38. - EXXON MOBILE CORP COMM									
39. - FOWARD FUNDS FRONTIER MARKETSTRAT FUND INSTL MF					Buy	08/01/14	K		
40. - ING REAL ESTATE FUND MF					Merged (with line 66)	05/05/14	J		
41. - HEARTLAND VALUE PLUS INST MF									
42. - ISHARES TR RUSSELL 2000 GRWTH INDEX FUND MF									
43. - JP MORGAN MC VALUE INSTL MF									
44. - LOOMIS SAYLES BOND FUND INST MF					Buy	05/27/14	K		
45. - MATTHEWS ASIA PACIFIC DIVIDEND FD MF					Sold (part)	01/16/14	J	A	
46.					Buy (add'l)	03/26/14	J		
47.					Sold (part)	08/01/14	J	A	
48. - OPPENHEIMER DEVELOPING MARKETS FUNDS CL Y MF					Sold (part)	03/21/14	J	B	
49.					Sold (part)	08/01/14	J	B	
50.					Merged (with line 51)	09/23/14	K		
51. - OPPENHEIMER DEVELOPING MARKETS FUNDS CL I MF					Open	09/23/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. - OPPENHEIMER INTL SMALL CO FUND CL Y MF					Buy	01/17/14	J		
53.					Buy (add'l)	03/26/14	J		
54.					Merged (with line 55)	09/23/14	J		
55. - OPPENHEIMER INTL SMALL CO FUND CL I MF					Open	09/23/14	J		
56. - OPPENHEIMER SR FLOATING RATE FD CL Y MF					Merged (with line 57)	09/24/14	K		
57. - OPPENHEIMER SR FLOATING RATE FD CL I MF					Open	09/24/14	K		
58. - PIMCO EMERGING MARKETS BOND FUND MF									
59. - PIMCO HIGH YIELD FUND MF									
60. - PIMCO LOW DURATION FUND MF									
61. - PRUDENTIAL JENNISON MID CAP GROWTH FD INC CL Z MF									
62. - PRUDENTIAL SHORT TERM CORPORATE BOND FD MF									
63. - RS GLOBAL NATURAL RESOURCES FUND MF					Sold	08/11/14	K	C	
64. - SALIENT MF TR SALIENT MLP ENERGYINFRASTRUCTURE FUND II CL I					Buy	08/01/14	K		
65. - VANGUARD SHORT TERM BOND INDEX FUND MF					Sold	05/27/14	K		
66. - VOYA EQUITY TR REAL ESTATE FUND CL I					Open	05/05/14	J		
67. - WELLS FARGO ADVISORS INTRINSIC VALUE FUND MF									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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68. - WELLS FARGO FDS TR LC GROWTH FDINSTL MF									
69. - PRIME CASH OBLIGATIONS FUND M/ M									NOTE E
70. TRUST # 2	E	Int./Div.	O	T					NOTE B
71. - AIM GRWTH SER INVESCO GRWTH SER MF									
72. - CALAMOS MARKET NEUTRAL MF					Merged (with line 73)	09/19/14	J		
73. - CALAMOS INVT TR NEW MARKET NEUTRAL INCM FD CL 1 MF					Open	09/19/14	J		
74. - COLUMBIA INCOME FUND MF									
75. - DODGE & COX INTNL FUND MF					Sold (part)	01/16/14	J	D	
76.					Buy (add'l)	03/26/14	J		
77. - FORWARD FUNDS MARKETSTRAT FUND INSTLCL MF					Buy	08/01/14	K		
78. - HARBOR CAPITAL APPRECIATION FD MF									
79. - HEARTLAND VALUE PLUS INST MF									
80. - ING INTNL REAL ESTATE FD MF					Merged (with line 104)	05/05/14	J		
81. - ISHARES TR RUSSELL 2000 GRWTH INDES MF									
82. - JP MORGAN MC VALUE INSTL MF					Sold (part)	08/01/14	J	B	
83. - LOOMIS SAYLES BOND FUND INSTL MF					Buy	05/27/14	K		
84. - MATTHEWS ASIA PACIFIC DIVIDEND FD					Sold (part)	01/16/14	J	A	

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85.					Buy	03/26/14	J		
86.	- NUVEEN FLAGSHIP NC MUNI BOND FD MF								
87.	- OPPENHEIMER DEVELOPING MKTS FDS CL Y MF				Sold (part)	03/21/14	J	A	
88.					Sold (part)	08/01/14	J	B	
89.					Merged (with line 90)	09/23/14	K		
90.	- OPPENHEIMER DEVELOPING MKTS FDS CL I MF				Open	09/23/14	K		
91.	- OPPENHEIMER INTL SMALL CO FUND CL Y MF				Buy	01/17/14	K		
92.					Merged (with line 93)	09/23/14	J		
93.	- OPPENHEIMER INTL SMALL CO FUND CL I MF				Open	09/23/14	J		
94.	- OPPENHEIMER SR FLOATING RATE FD CL Y MF				Merged (with line 95)	09/24/14	K		
95.	- OPPENHEIMER SR FLOATING RATE FD CL I MF				Open	09/24/14	K		
96.	- PARNASSUS EQUITY INCOME FUND MF								
97.	- PIMCO EMERGING MARKETS BOND FUND MF								
98.	- PIMCO LOW DURATION FUND MF								
99.	- PRUDENTIAL JENNISON MID CAP GROWTH FD INC CL Z MF				Sold (part)	08/01/14	J	C	
100.	- PRUDENTIAL SHORT TERM CORPORATE BOND FD MF								
101.	- RS GLOBAL NATURAL RESOURCES FUND MF				Sold	08/11/14	K	C	

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102. - SALIENT MF TR SLAIENT MLP & ENERGYINFRASTRUCTURE FD II CL I MF					Buy	08/11/14	K		
103. - T ROWE PRICE REAL ESTATE FD MF									
104. - VOYA MUT FUNDS INTL REAL ESTATE FUND CL I MF					Open	05/05/14	J		
105. - WELLS FARGO ADVISORS INTRINSIC VALUE FUND MF									
106. - PRIME CASH OBLIGATIONS FUND M/ M									NOTE E
107. FOUNDATION # 1	E	Dividend	O	T					NOTE C
108. - AT&T INC COMM									
109. - ABBOTT LABORATORIES COMM									
110. - ABBVIE INC COMM					Sold (part)	10/07/14	J	C	
111. - BAXTER INTERNATIONAL INC COMM					Sold (part)	10/07/14	J	B	
112. - BB&T CORP COMM									
113. - BP AMOCO COMM									
114. - CHEVRON CORPORATION COMM									
115. - DUKE ENERGY CORP NEW COMM									
116. - EXXON MOBIL CORP COMM									
117. - IBM COMM					Buy	10/07/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
118. - INTEL CORP COMM									
119. - ISHARES SILVER TRUST COMM					Sold	02/26/14	J		
120. - JOHNSON & JOHNSON COMM									
121. - MCDONALDS CORP COMM									
122. - NUVEEN MULTI-STRATEGY INCOME & GRTH FUND MF									
123. - PFIZER INC COMM					Sold (part)	10/07/14	K	D	
124. - PIEDMONT NATURAL GAS COMM									
125. - QUALCOMM INC COMM					Buy	10/07/14	J		
126. - SCANNA CORP COMM									
127. - SPECTRA ENERGY CORP COMM									
128. - VERIZON COMMUNICATIONS COMM									
129. - WELLS FARGO CO COMM									
130. - WELLS FARGO ADVISORS BANK DEPOSIT SWEEP M/M									
131.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

NOTE A - Under the distributive provisions of the Tust # 1, the income of said trust, within the sole and absolute discretion of the corporate trustee thereof, may be distributed in whole or in part to members. The class of potential distributees may be increased by the birth of additional members and by future marriage of members. The corporate trustee, in its sole discretion, may distribute all or any portion of the corpus of said trust to any one or more such beneficiaries if necessary to meet the reasonable needs of such beneficiary. James C. Fox was a co-trustee until his resignation and appointment of a successor trustee on August 16, 2006. James C. Fox has a testamentary limited power of appointment with regard to the corpus of the trust.

NOTE B - Under the distributive provisions of the Tust # 2, the income of said trust, within the sole and absolute discretion of the corporate trustee thereof, may be distributed in whole or in part to members. The class of potential distributees may be increased by the birth of additional members and by future marriage of members. The corporate trustee, in its sole discretion, may distribute all or any portion of the corpus of said trust to any one or more such beneficiaries if necessary to meet the reasonable needs of such beneficiary. James C. Fox was a co-trustee until his resignation and appointment of a successor trustee on August 16, 2006. James C. Fox has a testamentary limited power of appointment with regard to the corpus of the trust.

NOTE C - James C. Fox is a co-trustee of the Foundation # 1, a trust created and operated for charitable, religious, scientific and educational purposes. The assets of the trust approximated \$579,118 at December 31, 2014.

NOTE D - Abbreviations used in the descriptions of assets, Part VII include the following: "COMM" designates common stock; "PREF" designates preferred stock; "M/M" designates a cash equivalent money market account; "BOND" designates a corporate bond; "MUNI" designates a tax exempt bond; and "MF" designates a mutual fund.

NOTE E - The 2013 Federal Disclosure Report Part VII, lines 44 and 76 should have reported a transfer from the "Federal Treasury Obligations Fund - Prime Cash Obligations Fund" to the "Prime Cash Obligations Fund." These are both cash equivalent money market funds.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ JAMES C. FOX

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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