

FINANCIAL DISCLOSURE REPORT INITIAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Weisman, Max D.	2. Court or Organization Northern District of Illinois	3. Date of Report 08/29/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (full time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 07/31/2016
7. Chambers or Office Address Dirksen Federal Courthouse 219 S. Dearborn Street, Suite 1318 Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Baord Member (2014)/ Treasurer (2015) / Secretary (2016)	Federal Bar Association, Chicago Chapter
2. Partner	Law frim of Miller Shakman & Beem, LLP
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 6/16/2016	Miller Shakman & Beem LLP, fees collected for six months following my departure, limited to matters that I brought to the firm. I will not receive firm profits
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Weisman, Max D.

Date of Report

08/29/2016

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	Miller Shakman & Beem LLP, Partnership Income	\$189,094.00
2. 2015	Miller Shakman & Beem LLP, Partnership Income	\$165,478.00
3. 2016	Miller Shakman & Beem LLP, Partnership Income	\$108,003.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014-16	Income from spouse employment [REDACTED]
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Weisman, Max D.

Date of Report

08/29/2016

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Miller Shakman & Beem LLP	Hosted reception following my swearing in (July 2016)	\$7,918.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Weisman, Max D.

Date of Report

08/29/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Verizon (VZ)	A	Dividend	J	T					
2. Froniter (FTR)	A	Dividend	J	T					
3. Bank Account (H)									
4. Bank of America Cash Account	A	Interest	K	T					
5. Fifth Third Cash Account	A	Interest	J	T					
6. Novia Scotia Bank Cash Account			J	T					
7. USAA Brokerage Account (H)									
8. - Fuel Cell (FCEL)	A	Dividend	J	T					
9. - Crocs Inc. (CROX)	A	Dividend	J	T					
10. - Sandston Corp. (SDON)			J	T					
11. Charles Schwab - Katten Muchin Rosenmann Defined Contribution Plan (H)									
12. - Fidelity Growth Company (FDGRX)	C	Dividend	L	T					
13. MSH Profit Sharing 401(k) Plan and Trust (H)									
14. - Vanguard Life Strategy Growth Inv. (VASGX)	A	Dividend	K	T					
15. USAA 529 College Savings Plan (H)									
16. - USAA Aggressive Growth Portfolio (USAUX)	A	Dividend	J	T					
17. USAA IRA #1 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$500,000.000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

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Weisman, Max D.

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18. - USAA Aggressive Growth Portfolio (USAUX)	A	Dividend	J	T					
19. Fidelity Investment Account (H)									
20. - Comcast (CMCSA)	A	Dividend	K	T					
21. Fidelity IRA #1 (H)									
22. - Fidelity Strategic Advisers Core (FCSAX)	A	Dividend	K	T					
23. - Fidelity Strategic Advisers Growth (FSGFX)	A	Dividend	J	T					
24. - Fidelity Strategic Advisers Value (FVSAX)	A	Dividend	J	T					
25. - Fidelity Strategic Advisers Emerging Markets (FSAMX)	A	Dividend	J	T					
26. - Fidelity Advisor Short Fxd-Inc C (FSCFX)	A	Dividend	J	T					
27. - Fidelity Strategic Advisers International (FILFX)	A	Dividend	J	T					
28. - Fidelity SAI US Large Cap Index (FLCPX)	A	Dividend	J	T					
29. - Fidelity Strategic Advisers Short Duration (FAUDX)	A	Dividend	J	T					
30. - Fidelity Strategic Advisers Income Opportunities (FPIOX)	A	Dividend	J	T					
31. - Fidelity Strategic Advisers Core Income (FPCIX)	A	Dividend	J	T					
32. Fidelity IRA #2 (H)									
33. - Fidelity Strategic Advisers Core (FCSAX)	A	Dividend	M	T					
34. - Fidelity Strategic Advisers Growth (FSGFX)	A	Dividend	L	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

Weisman, Max D.

Date of Report

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35. - Fidelity Strategic Advisers Value (FVSAX)	A	Dividend	K	T					
36. - Fidelity Strategic Advisers Emerging Markets (FSAMX)	A	Dividend	K	T					
37. - Fidelity Advisor Short Fxd-Inc C (FSCFX)	A	Dividend	K	T					
38. - Fidelity Strategic Advisers International (FILFX)	A	Dividend	L	T					
39. - Fidelity SAI US Large Cap Index (FLCPX)	A	Dividend	J	T					
40. - Fidelity SAI International Index (FIONX)	A	Dividend	J	T					
41. - Fidelity SAI Merging Markets Index (FERGX)	A	Dividend	J	T					
42. - AQR Managed Futures Strategy N (AQMNX)	A	Dividend	J	T					
43. - Fidelity Short Term Bond (FSHBX)	A	Dividend	K	T					
44. - Fidelity Strategic Advisers Short Duration (FAUDX)	A	Dividend	K	T					
45. - Fidelity Strategic Advisers Income Opportunities (FPIOX)	A	Dividend	K	T					
46. - Fidelity Strategic Advisers Core Income (FPCIX)	A	Dividend	L	T					
47. - Eaton Vance Gbl Macro Abs Ret Advtg (EGRIX)	A	Dividend	J	T					
48. - PIMCO Commodity Real Ret Strat Instl (PCRIX)	A	Dividend	J	T					
49. - Templeton Global Bond A (TPINX)	A	Dividend	J	T					
50. - FIMM Govt. Portfolio: Inst (FRGXX)	A	Dividend	J	T					
51. City of Chicago Deferred Compensation Plan (H)									

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FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

Weisman, Max D.

Date of Report

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52. - T. Row Price Retirement 2015 (TRFGX)	B	Dividend	L	T					
53. USAA UTMA #1 (H)									
54. - USAA Aggressive Growth (USAUX)	A	Dividend	L	T					
55. USAA UTMA #2 (H)									
56. -USAA Capital Growth (USCGX)	A	Dividend	L	T					
57. MSB Deferred Compensation Plan (H)									
58. -Vanguard LifeStrategy Growth Inv (VASGX)	A	Dividend	K	T					
59.									
60.									
61.									

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FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Weisman, Max D.

Date of Report

08/29/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Weisman, Max D.

Date of Report

08/29/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Max D. Weisman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544