

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Reagan, Michael J.	2. Court or Organization Southern District of Illinois	3. Date of Report 05/02/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Chief Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 750 Missouri Avenue East St. Louis, IL 62201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member	Judicial Conference of the United States
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	TD Ameritrade	Margin Loan	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AT & T	A	Dividend	K	T					
2. Johnson & Johnson	C	Dividend	L	T					
3. Intel Corp	B	Dividend	K	T					
4. Campbell Soup Co	A	Dividend	K	T					
5. McKesson Stock	A	Dividend	K	T					
6. Sysco Co Stock	B	Dividend	L	T					
7. United Technologies Co Stock	B	Dividend	K	T					
8. Dominion Res Incorp V A New	C	Dividend	L	T					
9. Northern Tr Corp	A	Dividend	K	T					
10. New York Times	A	Dividend	J	T					
11. J P Morgan Chase	A	Dividend	K	T					
12. General Electrick Stock	A	Dividend	K	T					
13. Raymond James Bank Deposit Account	A	Interest	K	T					
14. Kellog Co	A	Dividend	K	T					
15. Monsanto Co	A	Dividend	J	T					
16. First Trust Interest Rate Hedge Ser 94	C	Dividend	L	T	Buy (add'l)	12/27/16	J		
17. IRA #2 - Raymond James Bank Deposit Account	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Franklin Income Fund	B	Dividend	K	T	Buy (add'l)	12/02/16	J		
19. Pimco Income Stgy Fd	A	Dividend	J	T	Buy (add'l)	12/01/16	J		
20. IRA #3 - TD Bank NA Bank Account		None	K	T					
21. Vanguard 500 Index	A	Dividend	L	T	Buy (add'l)	12/22/16	J		
22. Vanguard Total Stk Mkt	A	Dividend	J	T	Buy (add'l)	12/20/16	J		
23. Pfizer Call		None			Sold	02/06/16	J	A	
24. Vanguard Total Bd Mkt	A	Dividend	K	T	Buy (add'l)	12/22/16	J		
25. Vanguard Total Intl Bd	A	Dividend	J	T	Buy (add'l)	12/22/16	J		
26. Vanguard 500 Index	A	Dividend	K	T	Buy (add'l)	12/22/16	J		
27. Amazon Call		None			Buy	10/26/16	J		
28. Amazon Call		None			Expired	10/28/16	J	A	
29. Amazon Call		None			Buy	10/24/16	J		
30. Amazon Call		None			Expired	10/28/16	J	A	
31. Amazon Call		None			Buy	10/26/16	J		
32. Amazon Call		None			Expired	10/28/16	J	B	
33. Amazon Call		None			Buy	10/14/16	J		
34. Amazon Call		None			Expired	10/28/16	J	B	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Apple		None			Buy	09/06/16	J		
36. Apple		None			Sold	09/14/16	J	A	
37. Amazon		None			Buy	08/22/16	L		
38. Amazon		None			Sold	08/22/16	L	B	
39. Amazon Call		None			Buy	07/20/16	J		
40. Amazon Call		None			Sold	07/20/16	J	A	
41. Amazon Call		None			Buy	04/28/16	J		
42. Amazon Call		None			Sold	04/28/16	J	A	
43. Amazon Call		None			Buy	04/29/16	J		
44. Amazon Call		None			Sold	04/29/16	J	A	
45. Bristol-Meyers Squibb		None			Buy	01/04/16	J		
46. Bristol-Meyers Squibb		None			Sold	04/28/16	J	A	
47. Google		None			Buy	02/08/16	L		
48. Google		None			Sold	02/08/16	L	A	
49. Facebook Call		None			Buy	02/19/16	J		
50. Facebook Call		None			Sold	02/19/16	J	A	
51. Amazon Call		None			Buy	04/29/16	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Amazon Call		None			Sold	04/29/16	J	A	
53. Facebook		None			Buy	01/12/16	J		
54. Facebook		None			Sold	02/08/16	J	A	
55. Microsoft Call		None			Buy	02/01/16	J		
56. Microsoft Call		None			Sold	02/01/16	J	A	
57. Facebook Call		None			Buy	02/01/16	J		
58. Facebook Call		None			Sold	02/01/16	J	A	
59. Google Call		None			Buy	02/01/16	J		
60. Google Call		None			Sold	02/01/16	J	A	
61. Apple Call		None			Buy	01/05/16	J		
62. Apple Call		None			Sold	07/28/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

 Digitally Signed By
Michael J. Reagan
United States District Court
For the District of Illinois
2017.05.09
09:23:31
-05'00'

Signature: s/ Michael J. Reagan

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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