

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Linn, Richard	2. Court or Organization U.S. Court of Appeals, Fed Cir	3. Date of Report 04/24/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address U.S. Court of Appeals 717 Madison Place, N.W. Washington, DC 20439		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member		Intellectual Property Advisory Board, George Washington University Law School
2. Past-President		The Giles S. Rich American Inn of Court
3. Member		The Richard Linn American Inn of Court
4. Visiting Member		The Hon. William C. Conner American Inn of Court
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	The Hon. William C. Conner American Inn of Court	January 18-19, 2011	New York, NY	Inn of Court Dinner	Transportation, Meals, and Hotel
2.	New York Intellectual Property Law Association	March 25-27, 2011	New York, NY	Annual Judges Dinner	Transportation, Meals, and Hotel
3.	The Colorado IP Inn of Court	April 13-14, 2011	Denver, CO	Inn of Court Meeting	Transportation, Meals, and Hotel
4.	The Richard Linn American Inn of Court	April 14-17, 2011	Chicago, IL	Inn of Court Meeting	Transportation
5.	New Jersey Intellectual Property Law Association	June 3-4, 2011	Short Hills, NJ	Annual Judges Dinner	Transportation, Meals, and Hotel

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6.	The Richard Linn American Inn of Court	June 18-20, 2011	Chicago, IL	Inn of Court Dinner	Transportation, Meals, and Hotel
7.	Federal Circuit Bar Association	September 27, 2011	Dallas, TX	EDTX Judicial Conference	Transportation
8.	The Hon. Barbara Lynn American Inn of Court	September 27, 2012	Dallas, TX	Inn of Court Meeting	Transportation and Meals
9.	The Austin Intellectual Property American Inn of Court	September 29-30, 2011	Austin, TX	Inn of Court Meeting	Transportation and Meals
10.	Federal Circuit Bar Association	October 21-24, 2012	Tokyo, Japan	Joint Judicial Conference	Transportation, Meals and Hotel
11.	U.S. Patent & Trademark Office	October, 24-31, 2012	Tokyo, Japan	Joint Judicial Conference	Transportation, Meals, and Hotel

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	American Bar Association	Mark Banner Award Glass Plaque	\$595.00
2.	Richard Linn American Inn of Court	Presentation Binder and Book for Letters of Recommendation for ABA Award	\$1,701.00
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America Cash Equivalent Account	A	Interest	J	T					
2. Brokerage Account # 7									
3. - American Funds: AMCAP Fund Class 529A	A	Dividend	J	T					
4. - American Funds: EuroPacific Growth Fund Class 529A	A	Dividend	J	T					
5. - American Funds: Washington Mutual Investors Fund Class 529A	A	Dividend	J	T					
6. Brokerage Account # 9									
7. - American Funds: AMCAP Fund Class 529A	A	Dividend	J	T					
8. - American Funds: EuroPacific Growth Fund Class 529A	A	Dividend	J	T					
9. - American Funds: Washington Mutual Investors Fund Cl. 529A	A	Dividend	J	T					
10. - American Funds: Intermediate Bond Fund of America Cl. 529A	A	Dividend	J	T					
11. Brokerage Account # 10									
12. - American Funds: AMCAP Fund Class 529A	A	Dividend	J	T					
13. - American Funds: EuroPacific Growth Fund Class 529A	A	Dividend	J	T					
14. - American Funds: Washington Mutual Investors Fund Class 529A	A	Dividend	J	T					
15. - American Funds: Intermediate Bond Fund of America Cl. 529A	A	Dividend	J	T					
16. Brokerage Account # 11									
17. - American Funds: AMCAP Fund Class 529A	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - American Funds: EuroPacific Growth Fund Class 529A	A	Dividend	J	T					
19. - American Funds: Washington Mutual Investors Fund Class 529A	A	Dividend	J	T					
20. - American Funds: Intermediate Bond Fund of America Cl. 529A	A	Dividend	J	T					
21. Brokerage Account # 13									
22. - American Funds Growth Separate Account		None	M	T	Sold (part)	07/19/11	K	E	
23. - Artio Int'l Stock Portfolio Separate Acct		None	M	T					
24. Neuberger Berman Genesis Portfolio		None	K	T					
25. Davis Venture Value Portfolio		None	M	T					
26. Clarion Global Real Estate Portfolio		None	K	T					
27. Brokerage Account # 14									
28. - T. Rowe Price Small Cap Growth Portfolio		None	K	T					
29. - T. Rowe Price Mid Cap Growth Portfolio		None	K	T					
30. - Neuberger Berman Mid Cap Value		None	K	T					
31. MetLife Bank Money Market Account	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Richard Linn**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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