

FINANCIAL DISCLOSURE REPORT INITIAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Peterson, Rosanna M.	2. Court or Organization U.S. District Court	3. Date of Report 5/15/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 04/15/2017
7. Chambers or Office Address U.S. District Court for the Eastern District of WA 920 W. Riverside Spokane WA 99210		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Peterson, Rosanna M.

Date of Report

5/15/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2015

Washington State University--Teaching

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Peterson, Rosanna M.

Date of Report

5/15/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Peterson, Rosanna M.

Date of Report

5/15/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CNA whole life insurance		None	J	T					
2. TIAA Traditional	A	Dividend	P1	T	Redeemed (part)	10/26/16	K		
3. CREF Stock		None			Redeemed (part)	10/26/16	K		
4. CREF Stock		None			Merged (with line 2)	11/02/16	L		
5. T-C Intl Eq Index-Rtmt	A	Dividend							
6. T-C Intl Eq Index-Rtmt		None			Merged (with line 2)	11/02/16	K		
7. T-C Large Cap Val-Rtmt	A	Dividend							
8. T-C Large Cap Val-Rtmt		None			Merged (with line 2)	11/02/16	L		
9. T-C Sm-Cap Eq-Rtmt	A	Dividend							
10. T-C Sm-Cap Eq-Rtmt		None			Merged (with line 2)	11/02/16	K		
11. TIAA Real Estate		None			Redeemed (part)	10/26/16	J		
12. TIAA Real Estate		None			Merged (with line 2)	11/02/16	M		
13. CREF Bond Market		None			Redeemed (part)	10/26/16	J		
14. CREF Bond Market		None			Merged (with line 2)	11/02/16	L		
15. CREF In'l Linked Bond		None							
16. CREF In'l Linked Bond		None			Merged (with line 2)	11/02/16	J		
17. CREF Growth	A	Dividend			Redeemed (part)	04/19/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

Peterson, Rosanna M.

Date of Report

5/15/2017

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. CREF Growth	A	Dividend			Redeemed (part)	10/26/16	J		
19. CREF Growth		None			Merged (with line 2)	11/02/16	K		
20. CREF Social Choice	A	Dividend			Redeemed (part)	10/26/16	J		
21. CREF Social Choice		None			Merged (with line 2)	11/02/16	M		
22. T-C Mid-Cap Val-Rtmt		None							
23. T-C Mid-Cap Val-Rtmt		None			Merged (with line 2)	11/02/16	K		
24. T-C Lg-Cap Val-Inst		None			Redeemed (part)	04/19/16	J		
25. T-C Lg-Cap Val-Inst		None			Redeemed (part)	10/26/16	J		
26. T-C Lg-Cap Val-Inst		None			Redeemed (part)	09/01/16	J		
27. T-C Lg-Cap Val-Inst		None			Merged (with line 2)	11/02/16	L		
28. T-C Mid-Cap Gr-Inst		None			Redeemed (part)	04/19/16	J		
29. T-C Mid-Cap Gr-Inst		None			Redeemed (part)	09/01/16	J		
30. T-C Mid-Cap Gr-Inst		None			Merged (with line 2)	11/02/16	J		
31. T-C Mid-Cap Val-Inst		None			Redeemed (part)	10/26/16	J		
32. T-C Mid-Cap Val-Inst		None			Merged (with line 2)	11/02/16	M		
33. T-C Intl Eq Idx-Inst		None			Redeemed (part)	04/19/16	J		
34. T-C Intl Eq Idx-Inst		None			Redeemed (part)	10/26/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

Peterson, Rosanna M.

Date of Report

5/15/2017

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. T-C Intl Eq Idx-Inst		None			Merged (with line 2)	11/02/16	M		
36. T-C Lg-Cap Gr Idx-Inst		None			Redeemed (part)	04/19/16	J		
37. T-C Lg-Cap Gr Idx-Inst		None			Redeemed (part)	09/01/16	J		
38. T-C Lg-Cap Gr Idx-Inst		None			Redeemed (part)	10/26/16	J		
39. T-C Lg-Cap Gr Idx-Inst		None			Merged (with line 2)	11/02/16	K		
40. T-C Int'l Eq-Rtmt		None			Redeemed (part)	10/26/16	J		
41. T-C Int'l Eq-Rtmt		None			Merged (with line 2)	11/02/16	J		
42. T-C High-Yield Rtmt	A	Dividend							
43. T-C High-Yield Rtmt		None			Merged (with line 2)	11/02/16	J		
44. T-C Bond Rtmt	A	Dividend							
45. T-C Bond Rtmt		None			Merged (with line 2)	11/02/16	J		
46. T-C Int'l Eq Inst		None			Redeemed (part)	04/19/16	J		
47. T-C Int'l Eq Inst		None			Redeemed (part)	10/26/16	J		
48. T-C Int'l Eq Inst		None			Merged (with line 2)	11/02/16	J		
49. T-C S7P 500 Idx-Inst		None			Redeemed (part)	04/19/16	J		
50. T-C S&P 500 Idx-Inst		None			Redeemed (part)	09/01/16	J		
51. T-C S&P 500 Idx-Inst		None			Redeemed (part)	10/26/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

Peterson, Rosanna M.

Date of Report

5/15/2017

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52. T-C S&P 500 Idx-Inst		None			Merged (with line 2)	11/02/16	L		
53. T-C Sm-Cap Bl Idx-Inst		None							
54. T-C Sm-Cap Bl Idx-Inst		None			Merged (with line 2)	11/02/16	K		
55. CREF Global Equities		None			Redeemed (part)	04/19/16	J		
56. CREF Global Equities		None			Redeemed (part)	10/26/16	J		
57. CREF Global Equities		None			Merged (with line 2)	11/02/16	M		
58. T-C Growth & Inc.-Rtmt	A	Dividend							
59. T-C Growth & Inc.-Rtmt		None			Merged (with line 2)	11/02/16	K		
60. T-C Infl-Linkd Bond-Rtmt	A	Dividend							
61. T-C Infl-Linked Bond-Rtmt		None			Merged (with line 2)	11/02/16	K		
62. T-C Social Choice Bond Rtmt	A	Dividend							
63. T-C Social Choice Bond Rtmt		None			Merged (with line 2)	11/02/16	K		
64. T-C Sm-Cap Eq-Inst	A	Dividend			Redeemed (part)	04/19/16	J		
65. T-C Sm-Cap Eq-Inst	A	Dividend			Redeemed (part)	09/01/16	J		
66. T-C Sm-Cap Eq-Inst	A	Dividend			Redeemed (part)	10/26/16	J		
67. T-C Sm-Cap Eq-Inst		None			Merged (with line 2)	11/02/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Peterson, Rosanna M.

Date of Report

5/15/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The remaining value in the assets listed on lines 3 through 41 all were merged into the asset listed on line 2, which is all managed by the same financial institution.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Peterson, Rosanna M.

Date of Report

5/15/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Rosanna M. Peterson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544