

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Springmann, Theresa L.	2. Court or Organization Northern District of Indiana	3. Date of Report 05/13/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address U.S. Courthouse 1300 South Harrison Street Fort Wayne, IN 46802		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase Visa Card	Credit Card	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Annuity - Riversource Fixed Annuity	A	Dividend	K	T					
2.									
3. IRA #3									
4. -Riversource Life Ins. Fixed Account	B	Int./Div.	L	T					
5. -Riversource COL VP Div Bond C13	B	Dividend	L	T					
6.									
7. IRA #4									
8. - Riversource Life Ins. Fixed Account	A	Dividend	K	T					
9. -Riversource COL VP Div Bond C13	A	Interest	K	T					
10. -Riversource COL VP Cash Mgmt C13	A	Interest	J	T					
11.									
12. Chase Bank Account	A	Interest	K	T					
13.									
14. T.Rowe Price Summit Cash Reserve	A	Interest	J	T	Buy (add'l)	01/13/14	J		
15.					Buy (add'l)	01/31/14	J		
16.					Buy (add'l)	06/02/14	J		
17.					Buy (add'l)	08/22/14	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Buy (add'l)	08/29/14	J		
19.					Buy (add'l)	10/09/14	J		
20.					Buy (add'l)	10/31/14	J		
21.					Buy (add'l)	11/07/14	J		
22.					Buy (add'l)	11/14/14	J		
23.					Sold (part)	06/09/14	J		
24.					Sold (part)	09/02/14	J		
25.					Sold (part)	10/21/14	J		
26.					Sold (part)	11/10/14	J		
27.	T. Rowe Price Capital Appreciation Fund	C	Dividend	K	T	Buy (add'l)	12/08/14	J	
28.					Buy (add'l)	12/12/14	J		
29.					Buy (add'l)	12/12/14	J		
30.					Buy (add'l)	12/12/14	J		
31.					Sold (part)	02/24/14	J		
32.					Sold (part)	02/26/14	J		
33.					Sold (part)	03/03/14	J		
34.					Sold (part)	08/19/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Nike Common shares	A	Dividend			Buy	06/04/14	J		
36. Nike common shares	A	Dividend			Sold	08/19/14	J		
37. Union Pacific Common shares	A	Dividend			Buy	08/27/14	J		
38. Union Pacific common shares	A	Dividend			Sold	10/06/14	J		
39. Clorox common shares	A	Dividend			Buy	10/16/14	J		
40. Clorox common shares	A	Dividend			Sold (part)	11/04/14	J		
41. Clorox common shares	A	Dividend			Sold	11/14/14	J		
42. T. Rowe Price New Income Fund	A	Dividend	J	T	Buy (add'l)	01/31/14	J		
43.					Buy (add'l)	02/24/14	J		
44.					Buy (add'l)	02/26/14	J		
45.					Buy (add'l)	02/28/14	J		
46.					Buy (add'l)	03/03/14	J		
47.					Buy (add'l)	03/31/14	J		
48.					Buy (add'l)	04/30/14	J		
49.					Buy (add'l)	05/30/14	J		
50.					Sold (part)	06/02/14	J		
51.					Buy (add'l)	06/30/14	J		

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52.					Sold (part)	07/14/14	J		
53.					Buy (add'l)	07/31/14	J		
54.					Buy (add'l)	08/29/14	J		
55.					Buy (add'l)	09/30/14	J		
56.					Buy (add'l)	10/31/14	J		
57.					Buy (add'l)	11/28/14	J		
58.					Buy (add'l)	12/31/14	J		
59. T. Rowe Price Retirement Income Fund	B	Dividend	L	T	Buy (add'l)	01/13/14	J		
60.					Sold (part)	01/27/14	J		
61.					Buy (add'l)	01/31/14	J		
62.					Buy (add'l)	02/28/14	J		
63.					Buy (add'l)	03/31/14	J		
64.					Buy (add'l)	04/30/14	J		
65.					Buy (add'l)	05/30/14	J		
66.					Buy (add'l)	06/30/14	J		
67.					Buy (add'l)	07/31/14	J		
68.					Buy (add'l)	08/19/14	J		

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69.					Buy (add'l)	08/29/14	J		
70.					Buy (add'l)	09/30/14	J		
71.					Buy (add'l)	10/31/14	J		
72.					Buy (add'l)	11/10/14	J		
73.					Buy (add'l)	11/10/14	J		
74.					Buy (add'l)	11/28/14	J		
75.					Sold (part)	12/08/14	K		
76.					Buy (add'l)	12/19/14	J		
77.					Buy (add'l)	12/16/14	J		
78.					Buy (add'l)	12/31/14	J		
79. T. Rowe Price Global Technology Fund	B	Dividend	J	T	Buy (add'l)	07/14/14	J		
80.					Buy (add'l)	12/16/14	J		
81.					Buy (add'l)	12/16/14	J		
82. T. Rowe Price Helath Sciences Fund	A	Dividend	J	T	Buy (add'l)	01/27/14	J		
83.					Sold (part)	11/10/14	J		
84.					Buy (add'l)	12/15/14	J		
85.					Buy (add'l)	12/15/14	J		

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86. 403b									
87. LVIP Managed Risk Profile 2020	A	Int./Div.	K	T	Buy (add'l)	01/02/14	J		
88.					Buy (add'l)	01/15/14	J		
89.					Buy (add'l)	01/29/14	J		
90.					Buy (add'l)	02/12/14	J		
91.					Buy (add'l)	02/25/14	J		
92.					Buy (add'l)	03/12/14	J		
93.					Buy (add'l)	03/25/14	J		
94.					Buy (add'l)	04/08/14	J		
95.					Buy (add'l)	04/23/14	J		
96.					Buy (add'l)	05/06/14	J		
97.					Buy (add'l)	05/20/14	J		
98.					Buy (add'l)	06/03/14	J		
99.					Buy (add'l)	06/17/14	J		
100.					Buy (add'l)	07/01/14	J		
101.					Buy (add'l)	07/15/14	J		
102.					Buy (add'l)	07/29/14	J		

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103.					Buy (add'l)	08/12/14	J		
104.					Buy (add'l)	08/26/14	J		
105.					Buy (add'l)	09/09/14	J		
106.					Buy (add'l)	09/23/14	J		
107.					Buy (add'l)	10/08/14	J		
108.					Buy (add'l)	10/21/14	J		
109.					Buy (add'l)	11/04/14	J		
110.					Buy (add'l)	11/19/14	J		
111.					Buy (add'l)	12/03/14	J		
112.					Buy (add'l)	12/16/14	J		
113.					Buy (add'l)	12/31/14	J		
114.					Buy (add'l)	01/13/13	J		
115.					Buy (add'l)	01/15/13	J		
116.					Buy (add'l)	01/30/13	J		
117.					Buy (add'l)	01/30/13	J		
118.					Buy (add'l)	2/13/13	J		
119.					Buy (add'l)	02/26/13	J		

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120.					Buy (add'l)	03/13/13	J		
121.					Buy (add'l)	03/26/13	J		
122.					Buy (add'l)	04/09/13	J		
123.					Buy (add'l)	05/07/13	J		
124.					Buy (add'l)	05/21/13	J		
125.					Buy (add'l)	06/05/13	J		
126.					Buy (add'l)	06/18/13	J		
127.					Buy (add'l)	07/03/13	J		
128.					Buy (add'l)	07/16/13	J		
129.					Buy (add'l)	08/01/13	J		
130.					Buy (add'l)	08/14/13	J		
131.					Buy (add'l)	08/27/13	J		
132.					Buy (add'l)	09/10/13	J		
133.					Buy (add'l)	09/24/13	J		
134.					Buy (add'l)	10/09/13	J		
135.					Buy (add'l)	10/23/13	J		
136.					Buy (add'l)	11/05/13	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	11/20/13	J		
138.					Buy (add'l)	12/04/13	J		
139. LVIP Managed Risk Profile 2020	A	Int./Div.			Buy (add'l)	12/18/13	J		
140. LVIP Managed Risk Profile 2020	A	Int./Div.			Buy (add'l)	12/19/12	J		
141. College Choice 529 Direct Savings(age based) [REDACTED]	A	Int./Div.	J	T	Spinoff (from line 157)	02/10/14	J		
142. No Control					Buy (add'l)	06/24/14	J		
143. College Choice 529 Direct Savings(age based) [REDACTED]	A	Int./Div.			Buy	09/20/08	J		
144. No Control					Closed	07/06/10	J		
145. College Choice 529 Direct Savings(age- based)	A	Int./Div.			Buy	09/20/08	J		
146. No Control [REDACTED]					Buy (add'l)	01/05/10	J		
147.					Spinoff (from line 144)	07/07/10	J		
148.					Distributed (part)	07/07/10	J		
149.					Distributed (part)	08/03/10	J		
150.					Distributed (part)	09/07/10	J		
151.					Buy (add'l)	12/21/10	J		
152.					Buy (add'l)	08/19/11	J		
153.					Distributed (part)	09/06/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Distributed (part)	10/15/12	J		
155.					Buy (add'l)	10/23/12	J		
156.					Distributed (part)	02/19/13	J		
157.			J	T	Distributed	02/10/14	J		
158.									
159.									
160.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Re: Part VII Lines 86 & 87 [REDACTED] 403b

Erroneously omitted in reports for 2013 and 2012. Transactions for 2013 begin on line 114. Transactions for 2012 begin on line 140.

Re: Part VII Lines 143 thru 157 College Choice 529 Direct Savings Plans

Erroneously omitted in reports for 2008 thru 2013. All transactions are listed from those years.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Theresa L. Springmann

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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