

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Peterson, Rosanna M.	2. Court or Organization U.S. District Court	3. Date of Report 6/7/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address U.S. District Court for the Eastern District of WA 920 W. Riverside Spokane WA 99210		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2011

Washington State University--Teaching

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CNA whole life insurance		None	J	T					
2. U.S. Treasuries Securities		None			Redeemed	05/15/11	J	A	
3. U.S. Government Bonds		None			Redeemed	08/15/11	J	A	
4. Costco (Y)									
5. Ford Motor Co (Y)									
6. Allianz OCC Renaissance Fund (Y)									
7. Dreyfus Worldwide Growth (Y)									
8. Growth Fund of America (Y)									
9. Investment Co. of America (Y)									
10. John Hancock Lifestyle Growth (Y)									
11. Washington Mutual Investors Fund (Y)									
12. TIAA Traditional		None	N	T					
13. CREF Stock		None	M	T					
14. CREF Equity Index		None	J	T					
15. CREF Global Equities (Y)									
16. T-C Social Choice Eq-Rtmt (Y)									
17. T-C Intl Eq-Rtmt (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. T-C Intl Eq Index-Rtmt		None	J	T					
19. T-C Large Cap Gr Index-Rtmt (Y)									
20. T-C Large Cap Val-Rtmt		None	J	T					
21. T-C Mid-Cap Gr-Rtmt		None	J	T					
22. T-C Mid-Cap Val-Rtmt (Y)									
23. T-C Sm-Cap Eq-Rtmt		None	J	T					
24. TIAA-CREF Equity Index Fund		None	J	T					
25. TIAA Real Estate		None	K	T					
26. CREF Bond Market		None	L	T					
27. CREF Int'l Linked Bond		None	L	T					
28. CREF Money Market		None	J	T					
29. TIAA-CREF Money Market (Y)									
30. TIAA-CREF Int'l Eq Idx-Inst		None	K	T					
31. TIAA-CREF Lg-Cap Gr Idx-Inst		None	J	T					
32. TIAA-CREF Lg-Cap Val-Prem		None	K	T					
33. TIAA-CREF Mid-Cap Gr-Prem		None	K	T					
34. TIAA-CREF Mid-Cap Val-Prem		None	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. TIAA-CREF Social Ch Eq-Prem		None	K	T					
36. CREF Growth		None	J	T					
37. CREF Stock IRA Distribution (Y)									
38. Alliance Bernstein Wealth Mgt (Y)									
39. USAA Int'l Stocks/funds (Y)									
40. USAA Large Cap stocks/funds (Y)									
41. USAA Taxable bonds & CD (Y)									
42. USAA Cash/Money Market		None	J	T					
43. CREF Social Choice (X)		None	J	T					
44. USAA Global Opportunities Portfolio (X)		None	M	T					
45. USAA Strategic Fund Adviser (X)		None	M	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section VII, line 2 The US Government Securities were redeemed
Section VII, line 3 The US Government Bonds were redeemed
Section VII, line 4 The Costco stock was transferred into a different asset
Section VII, line 5 The Ford stock was transferred into a different asset
Section VII, line 6 The Allianz OCC Renaissance Fund was transferred into a different asset
Section VII, line 7 The Dreyfus Worldwide Growth Fund was transferred into a different asset
Section VII, line 8 The Growth Fund of America was transferred into a different asset
Section VII, line 9 The Investment Co. of America was transferred into a different asset
Section VII, line 10 The John Hancock Lifestyle Growth Fund was transferred into a different asset
Section VII, line 11 The Washington Mutual Investors Fund was transferred into a different asset
Section VII, line 15 CREF Global Equities was transferred into a different asset
Section VII, line 16 T-C Social Choice Eq-Rtmt was transferred into a different asset
Section VII, line 17 T-C Intl Eq-Rtmt is less than \$1000
Section VII, line 19 T-C Large Cap Gr Index-Rtmt was transferred into a different asset
Section VII, line 22 T-C Mid-Cap Val-Rtmt is less than \$1000
Section VII, line 29 TIAA-CREF Money Market was transferred into a different asset
Section VII, line 37 CREF Stock IRA distribution was transferred into a different asset
Section VII, line 38 Alliance Bernstein Wealth Mgt was transferred into a different asset
Section VII, line 29 USAA Int'l Stocks/funds was transferred into a different asset
Section VII, line 40 USAA Large Cap stocks/funds was transferred into a different asset
Section VII, line 41 USAA Taxable bonds & CD was transferred into a different asset
Section VII, line 43 CREF Social Choice was transferred from a different asset
Section VII, line 44 USAA Global Opportunities Portfolio was transferred from a different asset
Section VII, line 45 USAA Strategic Fund Adviser was transferred from a different asset

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Rosanna M. Peterson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544