

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bourgeois, Richard L.	2. Court or Organization Middle District of Louisiana, District Court	3. Date of Report 07/13/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge - Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 777 Florida Street Suite 278 Baton Rouge, LA 70801		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. ex officio board member	Federal Bar Association - Middle District of Louisiana Chapter
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2016

Bienvenue, Bonnecaze, Foco, Viator and Holinga - Self Employed Attorney disbursements

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Louisiana State Bar Association	Judicial membership (dues, disciplinary board assessment, member privileges)	\$435.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Business First Bank (formerly American Gateway Bank)	Mortgage on lot/land purchase, Baton Rouge, LA (Part VII, Line 15)	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. JP Morgan Chase Accounts	A	Interest	L	T					
2. Business First Bank Money Market Account	A	Interest	K	T					
3. LA Start Saving 529 Plan, Age Based Agressive Track Fund	A	Int./Div.	K	T	Open	09/01/16	J		
4. LA Start Saving 529 Plan, Age Based Agressive Track Fund	A	Int./Div.	J	T					
5. LA Start Saving 529 Plan, Age Based Agressive Track Fund	A	Int./Div.	J	T					
6. BBFVH 401(k) and Profit Sharing Plan (H)									
7. - Vanguard 500 Index Fund Invest	B	Int./Div.	M	T	Buy (add'l)	01/11/16	J		
8.					Buy (add'l)	03/30/16	K		
9. - Hancock Horizon Burkenroad Fnd	A	Int./Div.	L	T	Buy (add'l)	01/11/16	J		
10.					Buy (add'l)	03/30/16	J		
11. Lot/Land (purchased on May 30, 2014 for \$300,000), Baton Rouge, LA		None	N	W					
12.									
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 2 - this line identifies a Business First Bank Money Market Account that was not on my 2015 financial disclosure yet appears on this 2016 disclosure for the first time, without corresponding opening information in Column D. After receiving a letter from the Committee on Financial Disclosure instructing me to revise this report to document why this asset is now reportable, I reviewed my records to determine this discrepancy. Upon review, I have realized that the issue was an oversight in the 2015 report. I erroneously omitted this account in that report. It was a deposit account that I opened on June 8, 2015. I spoke with an examiner with the committee by telephone on 6/29/2017 and was advised to provide this explanation in Part VIII. The examiner advised that I did not need to go back and amend the 2015 report.

Part VII, Line 3 - following the receipt of the letter from the Committee on Financial Disclosure, I have added the appropriate account opening information for the third 529 plan.

Part VII, Lines 12-17 - the letter from the Committee on Financial Disclosure instructed me to delete the unnecessary blank lines when amending my report. I have highlighted the lines, right clicked the mouse, and followed the instructions to delete them. They will not go away.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Richard L. Bourgeois**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544