

FINANCIAL DISCLOSURE REPORT INITIAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) WALKER, CHARLES M.	2. Court or Organization USBC (M.D. TENN.)	3. Date of Report 01/12/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2016
7. Chambers or Office Address 701 BROADWAY CUSTOMS HOUSE, 2D FLOOR NASHVILLE, TN 37203		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Partner	G Investment Group
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. National Conference of
Bankruptcy Judges

October 26 - 29, 2016

San Francisco, CA

Speaker at CLE Seminar

Lodging and travel

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Compass Emp US 500 Enhanc Volatility Weighted A	B	Dividend	J	T					
2. Charles Schwab US REIT ETF	B	Dividend	J	T					
3. Powershares Fundame H Yield Corp ETF	B	Dividend	J	T					
4. SPDR S&P Global Dividend ETF	B	Dividend	J	T					
5. SPDR S&P 400 MID CAP Growth ETF	B	Dividend	J	T					
6. Schwab US Small Cap ETF	B	Dividend	J	T					
7. Guggenheim S&P 500 Equal Weight ETF	B	Dividend	J	T					
8. Schwab Int'l Small Cap EQY ETF	B	Dividend	J	T					
9. Schwab Fundamental US Small Com ETF	B	Dividend	J	T					
10. Wisdomtree Inter HDG QLYDIV GRT ETF	B	Dividend	J	T					
11. Schwab Emerging Markets Equity ETF	B	Dividend	J	T					
12. Powershares S&P Emerging Markets ETF	B	Dividend	J	T					
13. Schwab US Large Cap ETF	B	Dividend	J	T					
14. Guggenheim Multi Asset Income ETF	B	Dividend	J	T					
15. ALPS Emerging Sector DVDDOGS ETF	B	Dividend	J	T					
16. Schwab Int'l Equity ETF	B	Dividend	J	T					
17. Powershares Int'l DVDND ACHVRS ETF	B	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18. Schwab Fundamental INL Large Com ETF	B	Dividend	J	T					
19. Franklin INCM FD CL A	B	Dividend	J	T					
20. American Century UTIL FD INV	B	Dividend	J	T					
21. Forward INTL Real Estate A	B	Dividend	J	T					
22. PIMCO All Asset All Authority CL D	B	Dividend	J	T					
23. Loomis Sayles Core Plus Bond Fund CL A	B	Dividend	J	T					
24. Lord Abbett Bond Debenture Fund Class A	B	Dividend	J	T					
25. Oppenheimer Gold & Spec Minerals CL A	B	Dividend	J	T					
26. PIMCO INCM CL D	B	Dividend	J	T					
27. Templeton Global Bond Fund CL A	B	Dividend	J	T					
28. Compass EMP US 500 ENHAN Volatility Weighted A	B	Dividend	J	T					
29. PIMCO Real Estate Real Return Strat D	B	Dividend	J	T					
30. Ivy Asset Strategy Fund CL A	B	Dividend	J	T					
31. Pioneer Strategic Income FD CL A	B	Dividend	J	T					
32. Hennessy Cornerstone MID CAP 30 INV	B	Dividend	J	T					
33. Compass EMP US SM CAP 500 Volatility Weighted	B	Dividend	J	T					
34. Forward INTL Dividend FD INV CL	B	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Dreyfus INTL Bond FD A	B	Dividend	J	T					
36. Compass EMP INTL 500 Enhanced Volatility	B	Dividend	J	T					
37. PIMCO Rae Fundamental Plus D	B	Dividend	J	T					
38. Compass EMP Ultra Short Term Fix INCM A	B	Dividend	J	T					
39. Loomis Sayles Bond Fund CL R	B	Dividend	J	T					
40. Guggenheim S&P 500 Equal WEI UTI ETF	B	Dividend	J	T					
41. Schwab Fundamental INL Large ETF IV	B	Dividend	J	T					
42. Schwab US Large Cap ETF	B	Dividend	J	T					
43. Guggenheim S&P 500 EQL Weight ETF IV	B	Dividend	J	T					
44. Wisdomtree INTER HDG QLYDIV ETF IV	B	Dividend	J	T					
45. Powershares INT'L DIVDND ACHVRS ETF IV	B	Dividend	J	T					
46. Direxion NASDAQ 100 EQL WGHT IDX ETF	B	Dividend	J	T					
47. SPDR Dow Jones Global Real Estate ETF	B	Dividend	J	T					
48. Schwab Emerging Markets Equity ETF	B	Dividend	J	T					
49. Schwab US Small Cap ETF	B	Dividend	J	T					
50. Powershares Preferred Portfolio ETF	B	Dividend	J	T					
51. Salient INTL Real Estate A	B	Dividend	J	T					

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52. PIMCO StocksPlus Small D	B	Dividend	J	T					
53. Salient INTL Dividend Signal INV	B	Dividend	J	T					
54. Fidelity Destiny II	C	Dividend	J	T					
55.									
56.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ CHARLES M. WALKER**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544