

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lettow, Charles F.	2. Court or Organization U.S. Court of Federal Claims	3. Date of Report 04/26/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Ct. of Fed. Claims Judge - - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address National Courts Building 717 Madison Place, N.W. Washington, D.C.		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. President and Director	Busy Way Farms, Inc. (Subchapter S farm entity wholly owned by family members; position is not compensated)
2. Partner	Homestead partnership (small farm entity wholly owned by family members; position is not compensated)
3. Trustee	Family trust (position is not compensated)
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1/1/1993	Cleary, Gottlieb, Steen & Hamilton retirement plan with former law firm, no control
2.	(NOTE: An opinion from the Committee on Codes of Conduct dated June 12, 2003, considers that receipt of the retirement annuity provided under this plan accords
3.	with the Code of Conduct for United States Judges.)

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	Cleary, Gottlieb, Steen & Hamilton (retirement annuity)	\$844,764.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Morgan Stanley	Portfolio Loan Account	O
2.	Pacific Life	Life Policy Loan	O
3.	Morgan Stanley	Portfolio Loan Account	O
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. (H) IRA #1									
2. -Morgan Stanley Bank	A	Interest	J	T					
3. -AEE	A	Interest			Sold (part)	06/13/17	K	D	
4.					Sold	09/15/17	J	A	
5. -BBT	E	Dividend	O	T					
6. -BGS	E	Dividend	O	T					
7. -CAT	A	Dividend			Sold	06/13/17	J	A	
8. -CVX	E	Dividend	O	T					
9. -CL	D	Dividend	O	T					
10. -INGR	D	Dividend	N	T					
11. -GE	C	Dividend			Sold	09/15/17	M	D	
12. -NGVT		None			Sold	06/12/17	J	B	
13. -WRK	C	Dividend			Sold	06/12/17	N	G	
14. -PKG	E	Dividend	P1	T					
15. -PX	D	Dividend	N	T					
16. -SJM	D	Dividend	O	T					
17. -XOM	C	Dividend			Sold	09/15/17	M		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. (H) Brokerage Act. #1									
19. -Morgan Stanley Bank	A	Interest	J	T					
20. -FITB	A	Dividend			Sold	03/10/17	K	E	
21. -BBT	D	Dividend	N	T					
22. -BPT	A	Royalty	J	T					
23. -CL	D	Dividend	O	T					
24. -XOM	D	Dividend	M	T					
25. -NGVT		None			Sold	03/10/17	J	A	
26. -KMI	A	Dividend			Sold	03/10/17	K		
27. -PKG	D	Dividend	O	T					
28. -PEP	D	Dividend	M	T					
29. -QEP		None	J	T	Sold (part)	11/13/17	L		
30. -COF	D	Dividend	O	T					
31. --SJM	D	Dividend	M	T					
32. -LNT	A	Dividend			Sold	03/10/17	J	B	
33. -WRK	A	Dividend			Sold	03/10/17	J	C	
34. -PX	B	Dividend	M	T					

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(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. (H) Trust #1									
36. -Morgan Stanley Bank	A	Interest	K	T					
37. -MMM (3M)	D	Dividend	N	T					
38. -BBT	D	Dividend	O	T					
39. -COF	D	Dividend	O	T					
40. -CL	E	Dividend	O	T					
41. -GIS	D	Dividend	M	T					
42. -JNJ	B	Dividend			Sold	12/14/17	J	B	
43. -KMI	C	Dividend	M	T	Sold (part)	12/14/17	M		
44. -MNK		None			Sold	12/14/17	J		
45. -MDT	A	Dividend	J	T					
46. -MRK	B	Dividend			Sold	12/14/17	K		
47. -PKG	D	Dividend	O	T					
48. -PEP	D	Dividend	M	T	Sold (part)	12/14/17	J	B	
49. -PX	C	Dividend	M	T					
50. -SJM	D	Dividend	M	T					
51. -VZ	A	Dividend			Sold	12/14/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. -WFC	B	Dividend	L	T					
53. -QEP		None	L	T	Sold (part)	12/14/17	J		
54. -LNT	A	Dividend	J	T					
55. -BPT	A	Royalty	J	T					
56. -XOM	D	Dividend	M	T					
57. -Stoneham Partners	A	Interest	P1	Q					See Part VIII
58. -Vorbeck Materials pfd. stock		None	N	Q					See Part VIII
59. -Vorbeck Materials bridge loan		None	P1	Q	Buy	09/28/17	L		See Part VIII
60.					Buy	10/17/17	M		
61.					Buy	12/20/17	K		
62.					Buy	12/27/17	K		
63. USAA Money Mkt. Fund	A	Dividend	L	T					
64. USAA VA Money Market Fund	A	Dividend			Redeemed	07/21/17	J		
65. Thrivent Whole Life	A	Distribution	J	U					
66. USAA Whole Life 1	B	Distribution	K	U					
67. USAA Whole Life 2	B	Distribution	K	U					
68. Pacific Life Variable (Fixed LT Account)	E	Distribution	O	U					

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69. USAA Subsc. Sav. Act.	A	Distribution	K	U					
70. Busy Way Farms	E	Int./Div.	O	U					
71. B&T Bank Act.		None	J	T					
72. Homestead Partnership	B	Distribution	L	U					
73. Stoneham Partners	A	Interest	P1	U					
74. Vorbeck Materials pfd. stock		None	O	U					
75. Vorbeck Materials bridge loan		None	P1	U	Buy	01/29/17	K		
76.					Buy	02/08/17	L		
77.					Buy	03/02/17	L		
78.					Buy	03/28/17	L		
79.					Buy	04/03/17	L		
80.					Buy	05/27/17	L		
81.					Buy	06/14/17	L		
82.					Buy	06/27/17	K		
83.					Buy	09/05/17	L		
84.					Buy	09/20/17	M		
85.					Buy	09/28/17	L		

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86.					Buy	10/31/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Regarding lines 57, 58, and 59, appraisals for estate purposes were made in late 2016, as of June 12, 2016. There have been no material changes in the pertinent assets since then, except for the cash purchases listed for line 59.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Charles F. Lettow

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544