

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) JONES III, JOHN E.	2. Court or Organization US COURT-MIDDLE DISTRICT OF PA	3. Date of Report 05/11/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 228 WALNUT STREET HARRISBURG, PA 17108		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.	TRUSTEE - TRUST	TRUST 1
3.	TRUSTEE - TRUST	TRUST 2
4.	TRUSTEE - TRUST	TRUST 3
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2002	THE STATE EMPLOYEES RETIREMENT SYSTEM (PENNSYLVANIA) FORMER EMPLOYER - VESTED PENSION
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 18

Name of Person Reporting

JONES III, JOHN E.

Date of Report

05/11/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	AMERICAN BAR ASSN	2/17 - 2/19	PHOENIX, AZ	LECTURE	AIRFARE, HOTEL, AND MEALS
2.	HARVARD ARBORETUM	3/27 - 3/29	BOSTON, MA	LECTURE	MILEAGE, HOTEL, AND MEALS
3.	COMMUNITY COLLEGE OF PHILA.	4/23	PHILADELPHIA, PA	LECTURE	MILEAGE AND HOTEL
4.	NATIONAL ALCOHOL BEVERAGE CONTROL ASSN.	5/11 - 5/14	PHOENIX, AZ	LECTURE	AIRFARE, HOTEL, AND MEALS
5.	SOUTHERN OREGON UNIVERSITY	10/12 - 10/15	ASHLAND, OR	LECTURE	AIRFARE, HOTEL, MEALS, AND PARKING

FINANCIAL DISCLOSURE REPORT

Page 3 of 18

Name of Person Reporting JONES III, JOHN E.	Date of Report 05/11/2012
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6.	PENN STATE UNIVERSITY	10/18	HAZLETON, PA	LECTURE	MILEAGE
7.	MT HOLYOKE COLLEGE	10/24 - 10/26	SOUTH HADLEY, MA	LECTURE	MILEAGE, HOTEL, AND MEALS
8.	PENNSYLVANIA BAR INSTITUTE	11/11	PHILADELPHIA, PA	LECTURE	MILEAGE

FINANCIAL DISCLOSURE REPORT

Page 4 of 18

Name of Person Reporting

JONES III, JOHN E.

Date of Report

05/11/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	TRUST	CASH	\$13,000.00
2.	TRUST	CASH	\$13,000.00
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 18

Name of Person Reporting

JONES III, JOHN E.

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05/11/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. [REDACTED]	A	Distribution	O	W					
2. [REDACTED]	A	Distribution	N	W					
3. [REDACTED]	A	Distribution	O	W					
4. [REDACTED]	A	Distribution	M	W					
5. [REDACTED]	A	Distribution	N	W					
6. [REDACTED]	A	Distribution	K	W					
7. [REDACTED]		None	J	W					
8. [REDACTED]	A	Distribution	M	W					
9. NATIONWIDE-ANNUITY	A	Interest	J	T					
10. UNION BANK & TRUST CO.- CHECKING	A	Interest	K	T					
11. QUALITY VENDING INC-50% INTEREST	D	Distribution	L	W					
12. LOAN RECEIVABLE-PHOENIX CONTRACTING	C	Interest	M	T					
13. PENN NATIONAL GAMING - COMMON STOCK		None	J	T	Sold (part)	5/3/2011	J	B	
14. CHEVRON - CVX COMMON STOCK- IRA	B	Dividend	J	T					
15. HPQ COMMON STOCK-IRA	A	Dividend	J	T					
16. XOM COMMON STOCK-IRA	A	Dividend	J	T					
17. GE COMMON STOCK-IRA	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 18

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18. HON COMMON STOCK-IRA	A	Dividend	J	T					
19. KMB COMMON STOCK-IRA	A	Dividend	J	T					
20. MRK COMMON STOCK-IRA	A	Dividend	J	T					
21. MMM COMMON STOCK-IRA	A	Dividend	J	T					
22. PEP COMMON STOCK-IRA	A	Dividend	J	T					
23. PFE COMMON STOCK-IRA	A	Dividend	J	T					
24. UNION BANCORP INC - IRA		None			Sold	1/10/11	J		
25. NATIONWIDE MUTUAL FUND CLASS A	A	Dividend			Sold	4/19/11	J		
26. SIRIUS SATELLITE RADIO - COMMON STOCK		None	J	T					
27. FIDELITY BLUE CHIP VALUE FUND - MUTUAL FUND - FBCVX	A	Dividend	J	T					
28. VANGUARD PA LONG TERM TAX EX - MUTUAL FUND	A	Dividend			Sold	1/12/11	J		
29. FIDELITY REAL ESTATE INCOME FUND - MUTUAL FUND - FRIFX	A	Dividend	J	T					
30. FIDELITY INVESTMENT - M/M FUND	A	Dividend	J	T					
31. AFLAC INC - COMMON STOCK - IRA	A	Dividend	J	T					
32. HOME DEPOT INC - COMMON STOCK - IRA	A	Dividend	J	T					
33. VERIZON - COMMON STOCK - IRA	A	Dividend	J	T					
34. FNB DAILY FUND - IRA	A	Dividend	L	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 18

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35. APPLE COMPUTER - COMMON STOCK		None	J	T	Sold (part)	2/28/11	J	D	
36. GLD - COMMON STOCK		None			Sold	01/10/11	J	B	
37. FEDERATED TOTAL RETURN BD MUTUAL FUND - IRA - FTRBX	B	Dividend	K	T					
38. TARGET CORP - COMMON STOCK - IRA	A	Dividend	J	T					
39. WELLS FARGO & CO - COMMON STOCK - IRA - WFC	A	Dividend	J	T					
40. UNITED PARCEL SERVICE CL B - COMMON STOCK - IRA	A	Dividend	J	T					
41. APPLIED MATERIALS - COMMON STOCK - IRA	A	Dividend	J	T					
42. INTEL CORP - COMMON STOCK - IRA	A	Dividend	J	T					
43. MICROSOFT CORP - COMMON STOCK - IRA	A	Dividend	J	T					
44. DODGE & COX STOCK FUND - MUTUAL FUND - IRA	B	Dividend	L	T					
45. FIDELITY FUND (FFIDX) - MUTUAL FUND	A	Dividend	J	T					
46. CITIGROUP - IRA		None	J	T					
47. FEDERATED STRATEGIC VALUE FUND #662 - IRA	A	Dividend	K	T					
48. OAKMARK INT'L #109 - IRA	A	Dividend	J	T					
49. HARBOR INT'L FUND #11 - IRA	A	Dividend	K	T					
50. LIBERTY GLOBAL INC		None	J	T					
51. S&P MID CAP 400 - MDY	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 18

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52. ORRSTOWN FINANCIAL SERVICES		None	J	T					
53. SUSQUEHANNA BANK (SUSQ)	A	Dividend	J	T					
54. AMERICAN CENTURY MID CAP VALUE - ACMVX	A	Dividend	J	T					
55. BRIDGEWAY LARGE CAP GROWTH - BRLGX	A	Dividend	J	T					
56. FIDELITY BALANCED FBALX	A	Dividend	J	T					
57. VANGUARD US VALUE VUVLX	A	Dividend	J	T					
58. WALT DISNEY CO	A	Dividend	J	T					
59. PHOENIX CONTRACTING - BUSINESS		None	J	W					
60. IPI COMMON STOCK		None			Sold	4/7/11	J	A	
61. MFN COMMON STOCK		None			Sold	4/6/11	J	A	
62. SUNCOR ENERGY	A	Dividend			Sold	3/7/11	J	A	
63. CHESAPEAKE ENERGY CORP		None			Sold	2/8/11	J	A	
64. ALLIED NEVADA GOLD CORP		None			Sold	3/3/11	J	A	
65. EXETER RESOURCE CORP		None			Sold	2/15/11	J	A	
66. FRONTEER GOLD INC		None			Sold	2/3/11	J	A	
67. INTERNATIONAL TOWER HILL MINES		None			Sold	3/7/11	J	A	
68. SPROTT PHYSICAL GOLD TR UNITS		None			Sold	9/14/11	J	A	

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FINANCIAL DISCLOSURE REPORT

Page 9 of 18

Name of Person Reporting

JONES III, JOHN E.

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69. YAMANA GOLD INC	A	Dividend			Sold	4/7/11	J	A	
70. NATIONAL FINANCIAL SERVICES - MM	A	Dividend			Redeemed	9/30/11	J	A	
71. CHANGYOU COM LTD - CYOU		None			Sold	12/12/11	J	A	
72. GENERAL MOTORS CO - GM		None	J	T					
73. FIDELITY CONTRA FUND - FCNTX	A	Dividend	J	T					
74. HEARTLAND VALUE PLUS - HRVIX	A	Dividend	J	T					
75. TCW EMERGING MARKETS INCOME - TGINX	A	Dividend	J	T					
76. FEDERATED ARMS FUND - FEUGX - IRA	A	Dividend	K	T					
77. FEDERATED INST HIGH YIELD BD - FIHBX - IRA	B	Dividend	J	T					
78. VANGUARD SHORT-TERM BOND INDEX - VBSSX - IRA	A	Dividend	K	T					
79. VANGUARD INTER-TERM INV GRADE - VFIDX - IRA	A	Dividend	K	T					
80. VANGUARD SHORT-TERM INV GRADE - VFSUX - IRA	A	Dividend	L	T					
81. BARON SMALL CAP FUND - BSFIX - IRA		None	J	T					
82. COHEN & STEERS REALTY SHARES - CSRSX - IRA	A	Dividend	J	T					
83. FEDERATED INTERCONTINENTAL FD - ICFIX - IRA	A	Dividend	K	T					
84. GOLDMAN SACHS M/C VALUE INST - GSMCX - IRA	A	Dividend	K	T					
85. PERKINS SMALL CAP VALUE - JSCOX - IRA	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 10 of 18

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86. PIMCO COMMODITY TOTAL RETURN - PCRIX - IRA	A	Dividend	J	T					
87. T ROWE PRICE MID CAP GWTH - RPMGX - IRA	A	Dividend	K	T					
88. T ROWE PRICE EMERGING MKT ST - PRMSX - IRA	A	Dividend	J	T					
89. AT&T INC - T - IRA	A	Dividend	J	T					
90. ANALOG DEVICES - ADI - IRA	A	Dividend	J	T					
91. AUTOMATIC DATA PROCESSING - ADP - IRA	A	Dividend	J	T					
92. INTERNATIONAL BUSINESS MCHS - IBM - IRA	A	Dividend	J	T					
93. PAYCHEX INC - PAYX - IRA	A	Dividend	J	T					
94. QUALCOMM INC - QCOM - IRA	A	Dividend	J	T					
95. VISA INC - V - IRA	A	Dividend	J	T					
96. GENUINE PARTS CO - GPC - IRA	A	Dividend	J	T					
97. MCDONALDS CORP - MCD - IRA	A	Dividend	J	T					
98. H J HEINZ CO - HNZ - IRA	A	Dividend	J	T					
99. PROCTER & GAMBLE CO - PG - IRA	A	Dividend	J	T					
100. WALMART STORES INC - WMT - IRA	A	Dividend	J	T					
101. CHESAPEAKE ENERGY CORP - CHK - IRA	A	Dividend	J	T					
102. CONOCO PHILLIPS - COP - IRA	A	Dividend	J	T					

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Page 11 of 18

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103. OCCIDENTAL PETROLEUM - OXY - IRA	A	Dividend	J	T					
104. TIDEWATER INC - TDW - IRA	A	Dividend	J	T					
105. CHUBB CORP - CB - IRA	A	Dividend	J	T					
106. FEDERATED INVS INC PA CLB - FII - IRA	A	Dividend	J	T					
107. NYSE EURONEXT - NYX - IRA	A	Dividend	J	T					
108. ABBOTT LABS - ABT - IRA	A	Dividend	J	T					
109. BRISTOL MYERS SQUIBB - BMY - IRA	A	Dividend	J	T					
110. JOHNSON AND JOHNSON - JNJ - IRA	A	Dividend	J	T					
111. ELI LILLY & CO - LLY - IRA	A	Dividend	J	T					
112. EMERSON ELECTRIC - EMR - IRA	A	Dividend	J	T					
113. NORFOLK SOUTHERN CO - NSC - IRA	A	Dividend			Sold	11/25/11	J	B	
114. DUPONT EI DE NEMOURS - DD - IRA	A	Dividend	J	T					
115. FREEPORT - MCMORAN - FCX - IRA	A	Dividend	J	T					
116. PPG INDUSTRIES INC - PPG - IRA	A	Dividend	J	T					
117. WINDSTREAM CORP - WIN - IRA	A	Dividend	J	T					
118. DOMINION RES INC VA - D - IRA	A	Dividend	J	T					
119. EXELON CORP - EXC - IRA	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 12 of 18

Name of Person Reporting

JONES III, JOHN E.

Date of Report

05/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. SOUTHERN CO - SO - IRA	A	Dividend	J	T					
121. ROYAL DUTCH SHELL - RDS - IRA	A	Dividend	J	T					
122. ACCENTURE PLC IRELAND - ACN - IRA	A	Dividend	J	T					
123. CNINSURE INC		None			Buy	1/31/11	J		
124. CNINSURE INC		None			Sold	7/27/11	J		
125. NOAH HOLDINGS - NOAH	A	Distribution	J	T	Buy	1/31/11	J		
126. IFM INVESTMENTS LTD ADS		None			Buy	2/2/11	J		
127. IFM INVESTMENTS LTD ADS		None			Sold	7/27/11	J		
128. FIRST TR VALUE LINE 100 EXCHANGE TR FD - FVL	A	Dividend	J	T	Buy	4/4/11	J		
129. VANGUARD INDEX FDS TOTAL STOCK - VTI	A	Dividend	J	T	Buy	4/4/11	J		
130. DUNKIN BRANDS GROUP INC - DNKN	A	Dividend	J	T	Buy	9/9/11	J		
131. BLACKROCK MUNIHOLDINGS QUALITY FD II - MUE	A	Dividend	J	T	Buy	12/12/11	J		
132. TARGET CORP - TGT	A	Dividend	J	T	Buy	12/13/11	J		
133. ADVANTAGE OIL & GAS		None			Buy	5/27/11	J		
134. ADVANTAGE OIL & GAS		None			Sold	7/19/11	J		
135. CHESAPEAKE ENERGY CORP		None			Buy	6/23/11	J		
136. CHESAPEAKE ENERGY CORP		None			Sold	7/21/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 18

Name of Person Reporting

JONES III, JOHN E.

Date of Report

05/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. GOLD RESOURCE CORP	A	Dividend			Buy	6/24/11	J		
138. GOLD RESOURCE CORP	A	Dividend			Sold	8/4/11	J	A	
139. GOLDCORP INC	A	Dividend			Buy	9/8/11	J		
140. GOLDCORP INC	A	Dividend			Sold	9/14/11	J		
141. MARKET VECTORS ETF TR JR GOLD MINES ETF		None			Buy	2/15/11	J		
142. MARKET VECTORS ETF TR JR GOLD MINES ETF		None			Sold	2/16/11	J		
143. MARKET VECTORS ETF TR JR GOLD MINES ETF		None			Buy	9/8/11	J		
144. MARKET VECTORS ETF TR JR GOLD MINES ETF		None			Sold	9/14/11	J		
145. MARKET VECTORS ETF TR JR GOLD MINES ETF		None			Buy	9/9/11	J		
146. MARKET VECTORS ETF TR JR GOLD MINES ETF		None			Sold	9/14/11	J		
147. MINEFINDERS CORP		None			Buy	6/20/11	J		
148. MINEFINDERS CORP		None			Sold	8/4/11	J	A	
149. NEW GOLD INC		None			Buy	6/17/11	J		
150. NEW GOLD INC		None			Sold	8/4/11	J	A	
151. NEWMONT MINING CORP HOLDING CO	A	Dividend			Buy	3/9/11	J		
152. NEWMONT MINING CORP HOLDING CO	A	Dividend			Sold	4/12/11	J	A	
153. NEWMONT MINING CORP HOLDING CO		None			Buy	7/5/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 18

Name of Person Reporting

JONES III, JOHN E.

Date of Report

05/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. NEWMONT MINING CORP HOLDING CO		None			Sold	7/19/11	J	A	
155. ORMAT TECHNOLOGIES INC		None			Buy	1/4/11	J		
156. ORMAT TECHNOLOGIES INC		None			Sold	2/6/11	J		
157. PETROBANK ENERGY & RESOURCES		None			Buy	3/4/11	J		
158. PETROBANK ENERGY & RESOURCES		None			Sold	8/11/11	J		
159. PETROQUEST ENERGY INC		None			Buy	5/27/11	J		
160. PETROQUEST ENERGY INC		None			Sold	7/29/11	J		
161. QUICKSILVER RESOURCES INC		None			Buy	3/7/11	J		
162. QUICKSILVER RESOURCES INC		None			Sold	4/7/11	J		
163. QUICKSILVER RESOURCES INC		None			Buy	5/27/11	J		
164. QUICKSILVER RESOURCES INC		None			Sold	7/18/11	J		
165. SOUTHWESTERN ENERGY CO DELAWARE		None			Buy	2/11/11	J		
166. SOUTHWESTERN ENERGY CO DELAWARE		None			Sold	4/25/11	J	A	
167. SOUTHWESTERN ENERGY CO DELAWARE		None			Buy	5/27/11	J		
168. SOUTHWESTERN ENERGY CO DELAWARE		None			Sold	7/20/11	J	A	
169. SPROTT PHYSICAL GOLD TRUST UNITS		None			Buy	1/10/11	J		
170. SPROTT PHYSICAL GOLD TRUST UNITS		None			Sold	9/14/11	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 18

Name of Person Reporting

JONES III, JOHN E.

Date of Report

05/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. SVENSK EXPORTKREDIT AKTIEBOLAGET		None			Buy	8/15/11	J		
172. SVENSK EXPORTKREDIT AKTIEBOLAGET		None			Sold	9/14/11	J		
173. ULTRA PETROLEUM CORP COM NPV		None			Buy	2/11/11	J		
174. ULTRA PETROLEUM CORP COM NPV		None			Sold	7/19/11	J		
175. URANIUM ENERGY CORP		None			Buy	1/28/11	J		
176. URANIUM ENERGY CORP		None			Sold	8/11/11	J		
177. USEC INC		None			Buy	1/4/11	J		
178. USEC INC		None			Sold	8/5/11	J		
179. YAMANA GOLD INC		None			Buy	6/21/11	J		
180. YAMANA GOLD INC		None			Sold	8/4/11	J	A	
181. CITIGROUP FDG INC MED TERM SR (TRUST 1)	A	Interest	K	T	Buy	12/19/11	K		
182. BARCLAYS BANK PLC NOTE MTN (TRUST 1)	A	Interest	K	T	Buy	7/27/11	K		
183. MORGAN STANLEY DW DISC SRMTRIS (TRUST 1)	A	Interest	J	T	Buy	5/19/11	J		
184. SUNTRUST BANK ATLANTA CD INDEX ZERO (TRUST 1)	A	Interest	K	T	Buy	6/7/11	K		
185. SUNTRUST BANK ATLANTA CD (TRUST 1)	A	Interest	K	T	Buy	12/14/11	K		
186. WELLS FARGO & CO FIX FIT NT (TRUST 1)	A	Interest	J	T	Buy	5/19/11	J		
187. SUNTRUST MONEY MARKET A/C (TRUST 1)	A	Interest	M	T	Open	10/21/11	M		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 18

Name of Person Reporting

JONES III, JOHN E.

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. CITIGROUP FDG INC MED TERM SR (TRUST 2)	A	Interest	K	T	Buy	12/22/11	K		
189. SUNTRUST BK ATLANTA GA CD (TRUST 2)	A	Interest	K	T	Buy	12/22/11	K		
190. SUNTRUST MONEY MARKET A/C (TRUST 2)	A	Interest	M	T	Open	10/21/11	M		
191. SUNTRUST MONEY MARKET A/C (TRUST 3)	B	Interest	L	T	Open	1/4/11	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 17 of 18

Name of Person Reporting

JONES III, JOHN E.

Date of Report

05/11/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 18 of 18

Name of Person Reporting

JONES III, JOHN E.

Date of Report

05/11/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ JOHN E. JONES III**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544