

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Barash, Martin R.	2. Court or Organization Bankruptcy Court, Central District of California	3. Date of Report 05/15/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 21041 Burbank Boulevard Woodland Hills, CA 91367		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Partner	Klec, Tuchin, Bogdanoff & Stern LLP
2. Director and President	The Junior Statesmen Foundation
3. Director	American Bankruptcy Institute
4. Director	Los Angeles Bankruptcy Forum
5. President	The Princeton University Class of 1989

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Barash, Martin R.

Date of Report

05/15/2016

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2015	Klee, Tuchin, Bogdanoff & Stern LLP - Partner Distributions/ Compensation - Law Practice (Thru 03/25/2015)	\$84,508.00
2. 2015	Klee, Tuchin, Bogdanoff & Stern LLP - "Employer Contributions" to 401(k) Plan - Law Practice (Thru 03/25/2015)	\$4,826.00
3. 2015	Harvest Productions, Rental of Home for TV Commercial	\$3,000.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2015	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. American Bankruptcy Institute	04/15/2015-04/18/2015	Washington, DC	Educational seminar/board of directors meeting	Transport, lodging, food, seminar registration
2. Turnaround Managers Association	07/15/2015-07/16/2015	San Diego, CA	Educational seminar	Lodging, food
3. American Bankruptcy Institute	09/10/2015-09/12/2015	Las Vegas, NV	Educational seminar	Transport, lodging, food, seminar registration
4. National Conference of Bankruptcy Judges	09/26/2015-09/30/2015	Miami, FL	Educational seminar, professional association meeting	Lodging

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting	Date of Report
Barash, Martin R.	05/15/2016

5.	American Bankruptcy Institute	12/03/2015-12/05/2015	Phoenix, AZ	Educational seminar/board of directors meeting	Transport, lodging, food, seminar registration
----	----------------------------------	-----------------------	-------------	---	---

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Barash, Martin R.

Date of Report

05/15/2016

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

Barash, Martin R.

Date of Report

05/15/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. 401(k) Retirement Plan									
2. --Vanguard Prime Money Market Inv. Fund	A	Dividend	J	T					
3. --Lord Abbett Developing Growth I Fund	D	Dividend	M	T					
4. --Oppenheimer Developing Markets Y Fund	A	Dividend	L	T					
5. --Vanguard Inflation Protected Securities Inv Fund	A	Dividend	L	T					
6. --Franklin Balanced A Fund	B	Dividend			Closed	05/15/15	M	A	Fund Exchange - See note B
7. --Putnam Dynamic Asset Allocation Balanced A	D	Dividend	M	T	Open	05/15/15	M		Fund Exchange - See note B
8. --Prudential Jennison Natural Resources Z		None			Closed	05/15/15	L	A	Fund Exchange - See note B
9. --Vanguard Target Retirement 2030	C	Dividend	L	T	Open	05/15/15	L		Fund Exchange - See note B
10. 401(k) Rollover/IRA									
11. --Vanguard 500 Index Inv Fund	C	Dividend	M	T					
12. --Vanguard US Growth Inv Fund	D	Dividend	L	T					
13. 403(b) Plan									
14. --University of California Global Equity Fund		None	K	T					
15. --University of California Bond Fund		None	J	T					
16. --University of California Savings Fund	A	Dividend	J	T					
17. Retirement Plan									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

Barash, Martin R.

Date of Report

05/15/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. --American Beacon Large Cap Value Institutional Fund	B	Dividend	J	T					
19. --Prudential Total Return Bond Q Fund	A	Dividend			Closed	06/10/15	J		Fund Exchange - See Note C
20. --Prudential Core Plus Bond Fund Unit Class 6	A	Dividend	J	T	Open	06/10/15	J		Fund Exchange - See Note C
21. --Fidelity Spartan 500 Index Fund	A	Dividend	J	T					
22. Retirement Plan #2									
23. --University of California Global Equity Fund		None	J	T					
24. --University of California Savings Fund	A	Dividend	J	T					
25. 529 Plan #1									
26. --Scholarshare Passive Age-Based Portfolio 11-12		None			Closed	06/22/15	M		Fund Exchange - See Note D
27. --Scholarshare Passive Age-Based Portfolio 12-13		None	M	T	Open	06/22/15	M		Fund Exchange - See Note D
28. 529 Plan #2									
29. --Scholarshare Passive Age-Based Portfolio 9-10		None			Closed	06/22/15	M		Fund Exchange - See Note D
30. --Scholarshare Passive Age-Based Portfolio 11-12		None	M	T	Open	06/22/15	M		Fund Exchange - See Note D
31. 529 Plan #3									
32. --Scholarshare Passive Age-Based Portfolio 5-8		None	K	T					
33. Other Investments									
34. AT&T	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

Barash, Martin R.

Date of Report

05/15/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Comcast	A	Dividend	J	T					
36. Walt Disney Corporation	A	Dividend	J	T					
37. Banc of California	A	Interest	J	T					
38. First Republic Bank Account	A	Interest	L	T					
39. RP Realty Partners Fund II LP		None	J	W					
40. Corridor Capital Advisors LLC	A	Interest	J	W					
41. Birch Run Investors LLC (nka 2400 Charleston LLC)	E	Distribution	J	W					
42. Cincinnati Investors, LLC	A	Interest			Sold	03/01/15	J	E	
43.									
44.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Barash, Martin R.

Date of Report

05/15/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Notes to Part VII:

[A] The value of mutual funds in all retirement and educational savings accounts shown at the end of the reporting period reflects: (i) the reinvestment of interest and other distributions made by those mutual funds, less deducted costs and fees; and (ii) the additional contributions made to those retirement and educational savings accounts over the course of the year (including any gain or loss on those additional contributions).

[B] On May 5, 2015, the 401(k) plan changed its investment options. Certain plan investments were discontinued and the value transferred from those investments to two new mutual fund offerings. Specifically: (1) the market value of the Franklin Balanced A Fund was transferred to the Putnam Dynamic Asset Allocation Balanced A Fund, and (2) the market value of the Prudential Jennison Natural Resources Fund was transferred to the Vanguard Target Retirement 2030 Fund.

[C] On June 10, 2015, the retirement plan (involuntarily) transferred all of the value in this account from the Prudential Total Return Bond Q Fund to the Prudential Core Plus Bond Fund Unit Class 6.

[D] On June 22, 2015, all of the value in the noted section 529 plans was transferred to the specified Scholarshare age-based funds, consistent with the advancing age of each of our children.

[E] I am advised that CR Investors, LLC, which was reported in my Initial Report, was wound up in 2014. Accordingly, there was no income or other activity in 2015 and is not listed here.

[F] Because the instructions for my Initial Report indicated that I was not to report "Transactions," I did not include Cincinnati Investors, LLC on my Initial Report. This investment was disposed of in March 2015. It is reported here because it generated a small amount of interest in 2015 and because the disposition occurred in 2015. However, it appears that my omission of the investment from my Initial Report may have been an inadvertent error because the investment generated a small amount of interest during 2014 in Category A (\$1,000 or less). The error was inadvertent.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Barash, Martin R.

Date of Report

05/15/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Martin R. Barash**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544