

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) HOLLAND, H. Russel	2. Court or Organization District of Alaska	3. Date of Report 08/09/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge (Senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2016 to 12/31/2016
5b. ☒ Amended Report		
7. Chambers or Office Address United States District Court 222 West 7th Avenue - Unit 54 Anchorage, Alaska 99513		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CMS Energy	A	Dividend	K	T					
2. Nordstrom, Inc.	B	Dividend	L	T					
3. MS Liquid Asset Fund (Individual Liquid...)	A	Dividend	L	T					
4. Chitina, Alaska, Lot		None	J	W					
5. First National Bank Alaska	A	Interest	N	T					
6. Bank of America / Portland, Oregon	A	Interest	J	T					
7. Pepsico	A	Dividend	K	T					
8. Allianz Valumark II Var. Annuity <Header> [Lines 9 through 14, incl.]									
9. --AZL Mid Cap Index Fund C1	A	Dividend	J	T					
10. --Franklin Rising Dividends VIP	A	Dividend	J	T					
11. --AZL Russell 1000 Growth Idx C1	A	Dividend	J	T					
12. --AZL International Index C1	A	Dividend	J	T					
13. --Franklin Mutual Shares VIP	A	Dividend	J	T					
14. --Franklin Income VIP Fund	A	Dividend	J	T					
15. ML Bank Deposit Program	A	Dividend	K	T					
16. Yum Brands (formerly Tri-Global Restaurant)	A	Dividend	J	T					see Note, Part VIII
17. Yum China (YUMC)	A	Dividend	J	T	Spinoff (from line 16)	11/01/16			see Note, Part VIII

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Franklin Income Fund	D	Dividend	M	T					
19. Hewlett Packard, Inc.	A	Dividend	J	T					see Note, Part VIII
20. HP Enterprises	A	Dividend	J	T	Spinoff (from line 19)				see Note, Part VIII
21. Boeing Co.	A	Dividend	K	T					
22. Northrim Bank	A	Dividend	K	T					
23. Caterpillar, Inc.	A	Dividend	K	T					
24. MetLife Common Stock	A	Dividend	J	T					
25. Trust #1 [Lines 26 through 45 inclusive]: (H)									
26. --MS Bank (formerly MSDW Active Assets Money Trust)	A	Interest	M	T					
27. --Coca Cola Co.	B	Dividend	K	T					
28. Alphabet Inc CLA (GOOGL)		None	K	T					
29. --Alphabet Inc. CLC (GOOG)		None	K	T					
30. --Intel Corp.	A	Dividend	K	T					
31. --Johnson & Johnson	B	Dividend	L	T					
32. --Microsoft Corp.	A	Dividend	K	T					
33. --3M Company	B	Dividend	L	T					
34. --Novartis AG ADR	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. --Proctor & Gamble	B	Dividend	L	T					
36. --Eaton Vance / Muni. Bond	A	Dividend	K	T					
37. --Exelon Corp.	A	Dividend	J	T					
38. --Abbott Laboratories (ABT)	A	Dividend	J	T					
39. --ABBVIG (ABBV)	A	Dividend	K	T					
40. --Apple Inc.	A	Dividend	K	T					
41. --Goldman Sachs (bond)	A	Interest			Redeemed	04/12/16	K	A	
42. --Franklin OR / tax free	C	Interest	L	T					
43. --Schlumberger (SLB)	A	Dividend	K	T					
44. --Kayne Anderson (KYN)	A	Dividend	J	T					
45. --CTF ProShares TR (SQQQ)		None	J	T					
46. Comcast Corp.	A	Dividend	J	T					
47. One Point, f/k/a Portland Teachers Cr.Union - savings A/C	A	Interest	J	T					
48. Met Life - whole life	A	Interest	J	T					
49. Met Life - whole life	A	Interest	J	T					
50. Americo Financial Life - whole life	A	Interest	L	T					
51. American General - whole life	A	Interest	K	T					

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52. American General - whole life	A	Interest	K	T					
53. Canada Life - whole life	A	Interest	J	T					
54. MONY - whole life	A	Interest	J	T					
55. Massachusetts Mutual - flexible retirement annuity	D	Dividend	J	T					
56. Wells Fargo & Co.	A	Dividend	J	T					
57. Nationwide Annuity (formerly AIG Annuity) (Fixed Annuity)	C	Dividend	M	T					
58. ML Personal Advisor Multi-Asset Sector ETF Portfolio	C	Dividend	M	T					
59. Alaska Communications Systems		None	J	T					
60. General Communications		None	J	T					
61. First National Bank Alaska	A	Dividend	K	T					
62. Franklin Oregon Tax Free Fund	C	Interest	M	T					
63. Blackrock Global Allocation (IRA account)	A	Dividend	J	T					
64. Northrim Bank (CDs)	A	Interest	K	T					
65. Angel Oak Multi-Strategy (ANCGX)	C	Dividend	K	T					
66.									
67.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, lns. 16 & 17: Yum Brands spin-off: reflected in the entry at ln.11.

Part VII, lns. 19 & 20: Hewlett Packard spin-off (11/1-30/2015): now reflected in the entry at ln.14 (Hewlett Packard, Inc., and HP Enterprises [Split should have been included in last year's report.]

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **H. Russel HOLLAND**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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