

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lewis, Mary G.	2. Court or Organization United States District Court, South Carolina	3. Date of Report 05/11/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge - Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 201 Magnolia Street Spartanburg, South Carolina 29306		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1
2.	Member	Quincunx, LLC
3.	Trustee	Trust #2
4.	Member	L&B Holdings, LLC
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2013	Agreement with L&B Holding, LLC. See VIII on the 2012 Financial Disclosure Report for terms
2. 2013	Participant in the Lewis, Babcock & Griffin, LLP 401(K) Plan
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 24

Name of Person Reporting

Lewis, Mary G.

Date of Report

05/11/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Lewis, Babcock & Griffin, LLP - Income derived from practice of law
2. 2016	L&B Holdings, LLC - Income derived from practice of law
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Lewis, Mary G.	05/11/2017

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. Bank of America (Merrill lynch Wealth Management)	Line of Credit	N
2. American Express	Credit Card	J
3. Capital One	Credit Card	J
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 24

Name of Person Reporting

Lewis, Mary G.

Date of Report

05/11/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Geiger Partnership, partnership interest with no control	A	Distribution	M	W					
2. Quincunx, LLC. member of LLC (H)	D	Distribution	L	W					
3. - Rental office building & land, Columbia, SC									
4. Congaree Hunt Club, partnership interest	A	Distribution	M	W					
5. BB&T Common Stock	A	Dividend	J	T					
6. Investment Rental Property, Columbia, SC	D	Rent	L	W					
7. Investment Property, Georgetown, SC		None	O	W					
8. Investment Property, Georgetown, SC		None	O	W					
9. Investment Rental Property, Columbia, SC	E	Rent	O	W					
10. Investment Rental Property, Columbia, SC	E	Rent	M	W					
11. Investment Rental Property, Columbia, SC	D	Rent	M	W					
12. Trust #1 (H)									
13. - Cocoa Cola Com	C	Dividend	M	T					
14. - INTL Business Machines Corp IBM	C	Dividend	M	T					
15. - VANGUARD GROWTH ETF	B	Dividend	L	T	Sold (part)	08/10/16	L	E	
16. - BLACKROCK GLOBAL LONG SHORT CREDIT FUND CL I		None			Sold	01/11/16	K		
17. - FIRST EAGLE GLOBAL CLASS I	D	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 24

Name of Person Reporting

Lewis, Mary G.

Date of Report

05/11/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - GOLDMAN SACHS RISING DIVIDEND GROWTH FD INSTL	C	Dividend	L	T	Sold (part)	08/10/16	L	E	
19. - LOOMIS SAYLES SR FLOAT RTE & FIXD INC FD CL Y	D	Dividend	M	T	Buy (add'l)	12/01/16	J		
20. - T ROWE PRICE CAPITAL APPRECIATION FD RETAIL	D	Dividend	M	T	Sold (part)	09/14/16	J	B	
21. - THORNBURG INVSMT INCOME BUILDER FUND CL I	D	Dividend	M	T	Buy (add'l)	09/14/16	J		
22. - UAM FPA CRESCENT PORT	B	Dividend			Sold	08/10/16	M	D	
23. - ALPHARETTA GA DEV AUTH REV GA ST UNIV FNDTN RF MAY09 05.000%NOV01 16	A	Interest			Redeemed	11/01/16	K		
24. - GRAND STRAND WSA SC WWKS-SS RV C RF TAXABLE NOV11 02.862%JUN01 18	A	Interest	K	T					
25. - STEPUP RNG ACRU ISS BAC MAX CPN 8.35% DUE 1/18/2023 CALL	A	Interest			Redeemed	01/19/16	J		
26. - ML BANK DEPOSIT PROGRAM	A	Interest	M	T					
27. - ANGELOAK MULT STRT INC I	C	Dividend	L	T					
28. - BLACKROCK STRATEGIC INCOME OPPRTNTS PTF INST	B	Dividend	L	T					
29. - WELLS FARGO ADV ABSOLUTE RETURN FD		None			Sold	01/11/16	K		
30. - GEB LEV STEPUP ISS BAC		None	J	T					
31. -BLACKSTONE ALT MULTISTRATEGY FUND CLASS 1	A	Dividend	L	T	Buy (add'l)	01/23/16	K		
32. -DEUTSCHE X-TRACKERS MSCI EAFE HEDGED EQUITY ETF	B	Dividend	L	T					
33. -PIMCO FDS INCOME FD CL	C	Dividend	L	T	Buy (add'l)	12/01/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 24

Name of Person Reporting

Lewis, Mary G.

Date of Report

05/11/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
34. AQR MANAGED FUTURES STRATEGY FUND CL I (X)	A	Dividend	J	T	Buy	01/11/16	J		
35. POPLAR FOREST PARTNERS FUND CL I (X)	B	Dividend	L	T	Buy	08/10/16	L		
36. LOOMIS SAYLES STRATEGIC INCOME FUND CL Y (X)	D	Dividend	M	T	Buy (add'l)	09/14/16	J		
37.					Buy	08/10/16	M		
38. AQR STYLE PREMIA ALTERNATIVE FUND CL I (X)	A	Dividend	K	T	Buy	01/12/16	K		
39. VANGUARD VALUE ETF	B	Dividend	L	T	Buy	08/10/16	L		
40. Trust #2 (H)									
41. - ML BANK DEPOSIT PROGRAM	A	Interest	J	T					
42. - BLACKROCK GLOBAL LONG SHORT CREDIT FUND CL I		None			Sold	01/08/16	J		
43. - DOUBLELINE TOTAL RETURN BOND FUND CL I	A	Dividend	J	T	Buy (add'l)	05/13/16	J		
44. - FIDELITY ADVISOR BIOTECHNOLOGY FD CL I		None	J	T	Buy (add'l)	05/13/16	J		
45. - GOLDMAN SACHS RISING DIVIDEND GROWTH FD INSTL	A	Dividend	J	T					
46. - GOLDMAN SACHS STRATEGIC INCOME FUND CL I		None			Sold	01/21/16	J		
47. - KOPERNIK GLOBAL ALL CAP FUND INSTL CLASS	A	Dividend	J	T	Sold (part)	12/30/16	J		
48. - MATTHEWS ASIA DIVIDEND FUND INV CLASS	A	Dividend	J	T					
49. - MAINSTAY MARKETFIELD FUND CL I (Y)									
50. - T ROWE PRICE CAPITAL APPRECIATION FD RETAIL	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 24

Name of Person Reporting

Lewis, Mary G.

Date of Report

05/11/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

51. - DWS MANAGED MUNICIPAL BOND FUND CL S	A	Dividend			Sold	12/23/16	J		
52.					Buy (add'l)	05/16/16	J		
53. - FIRST EAGLE GLOBAL CLASS I	A	Dividend	J	T					
54. - THORNBURG INVSMT INCOME BUILDER FUND CL I	A	Dividend	J	T					
55. - VANGUARD GROWTH ETF	A	Dividend	J	T	Sold (part)	05/12/16	J	A	
56. - WELLS FARGO ABSOLUTE RETURN FD		None			Sold	01/07/16	J		
57. -DB X-TRACKERS MSCI EAFE HEDGED EQUITY FUND	A	Dividend	J	T	Buy (add'l)	05/18/16	J		
58. -UAM FPA CRESCENT PORT		None			Sold	05/13/16	J		
59. -GOTHAM ABS RTN FD INSTL		None	J	T	Sold (part)	01/07/16	J		
60. -POPLAR FORREST PARTNERS FUND CL I	A	Dividend	J	T	Buy (add'l)	05/19/16	J		
61. -PIMCO INCOME FUND CL P	A	Dividend	J	T	Buy (add'l)	05/16/16	J		
62. - I SHARES MSCI EAFE SMALL CAP		None			Sold	5/12/16	J	A	
63. -VANGUARD VALUE ETF	A	Dividend	J	T	Buy (add'l)	05/13/16	J		
64. -AQR MGD FUTURES STRAT I		None	J	T	Sold (part)	01/07/16	J		
65. -BLCKSTONE ALT MULT STR I	A	Dividend	J	T	Sold (part)	08/10/16	J		
66.					Buy (add'l)	01/07/16	J		
67. -FID ADV MUNI INOCME I	A	Dividend			Sold	12/22/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 24

Name of Person Reporting

Lewis, Mary G.

Date of Report

05/11/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
68.					Buy (add'l)	05/13/16	J		
69.					Buy (add'l)	01/21/16	J		
70. -JP MORGAN GLOBAL ALLOCATION FD SELECT CL	A	Dividend	J	T					
71. -HENDERSON EUROPEAN FOCUS FUND CLASS I	A	Dividend	J	T	Buy (add'l)	05/18/16	J		
72. -KINDER MORGAN INC	A	Dividend	J	T	Buy (add'l)	05/18/16	J		
73. -AMEX TECHN LGY SELCT SPDR	A	Dividend	J	T	Buy (add'l)	05/18/16	J		
74. EV TABS 5 TO 15 YR LADDERED MUNI BOND FD I (X)	A	Dividend	J	T	Buy	12/22/16	J		
75. FIDELITY ADVISOR REAL ESTATE INCOME FUND CL I (X)	A	Dividend	J	T	Buy	08/10/16	J		
76. FIRST TRUST ETFIV FIRST FIRST TRUST TACTICAL HIGH YIELD ETF (X)	A	Dividend	J	T	Buy (add'l)	05/13/16	J		
77.					Buy	01/21/16	J		
78. LOOMIS SAYLES SR FLOAT RAT & FIXD INC FD CL Y (X)	A	Dividend	J	T	Buy	05/13/16	J		
79. MAINSTAY HIGH YIELD MUNICIPAL BOND FUND CL I(X)	A	Dividend	J	T	Buy	12/22/16	J		
80. LOOMIS SAYLES STRATEGIC INC FD CL Y (X)	A	Dividend	J	T	Buy	05/12/16	J		
81. VANECK VECTORS OIL (X)	A	Dividend	J	T	Buy	05/02/16	J		
82. T ROWE PRICE SUMMIT MUNI INTERMEDIATE FD CL NON (X)	A	Dividend	J	T	Buy	12/22/16	J		
83. AQR STYLE PREMIA ALTERNATIVE FUND CL (X)	A	Dividend	J	T	Buy	01/07/16	J		
84. Individual Retirement Account (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 24

Name of Person Reporting

Lewis, Mary G.

Date of Report

05/11/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

85. - DB X-TRACKERS MSCI EAFE HEDGED EQUITY FUND	A	Dividend	J	T					
86. - GOLDMAN SACHS RISING DIVIDEND GROWTH FD INSTL	A	Dividend	J	T					
87. - POPLAR FOREST PARTNERS FUND CL I	A	Dividend	J	T					
88. - KOPERNIK GLOBAL ALL CAP FUND INSTL CLASS	A	Dividend	J	T	Sold (part)	08/10/16	J	A	
89. - MAINSTAY MARKETFIELD FUND CL I (Y)									
90. - T ROWE PRICE CAPITAL APPRECIATION FD RETAIL	A	Dividend	J	T					
91. - FIRST EAGLE GLOBAL CLASS I	A	Dividend	J	T					
92. - VANGUARD VALUE ETF	A	Dividend	J	T	Buy (add'l)	05/13/16	J		
93. - VANGUARD GROWTH ETF	A	Dividend	J	T	Sold (part)	05/12/16	J	A	
94. - WELLS FARGO ABSOLUTE RETURN FD		None			Sold	01/07/16	J		
95. -OAKMARK INTL SML CAP FD		None			Sold	05/12/16	J		
96. -BLKRRK CLB LNG SHRT		None			Sold	01/20/16	J		
97.					Sold (part)	01/07/16	J		
98. -DOUBLELINE TOT RTRN BD	A	Dividend	J	T	Buy (add'l)	05/13/16	J		
99. -GOTHAM ABSOLUTE RETURN FUND INSTL CLASS (Y)									
100. -GOLDMAN SACHS STG INC FD C	A	Dividend			Sold	01/21/16	J		
101. -HENDERSON EUROPEAN FOCUS FUND CLASS I	A	Dividend	J	T	Buy (add'l)	05/18/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 24

Name of Person Reporting

Lewis, Mary G.

Date of Report

05/11/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
102. -MATTHEWS ASIA DIVIDEND FUND	A	Dividend	J	T					
103. -PIMCO FDS INCOME	A	Dividend	J	T	Buy (add'l)	05/13/16	J		
104. -AMEX TECHNOLOGY SELCT SPDR	A	Dividend	J	T					
105. -AQR MGD FUTURES STRAT 1 (Y)									
106. -BLKSTONE ALT MLT STR 1	A	Dividend	J	T	Sold (part)	08/10/16	J		
107.					Buy (add'l)	01/07/16	J		
108. -JP MORGAN GLOBAL ALLOCATION FD	A	Dividend	J	T					
109. FIDELITY ADVISOR BIOTECHNOLOGY FD CL (X)		None	J	T	Buy	05/13/16	J		
110. FIDLEITY ADVISOR REAL ESTATE INCOME FUND CL (X)	A	Dividend	J	T	Buy	08/10/16	J		
111. KINDER MORGAN INC (X)	A	Dividend	J	T	Buy (add'l)	05/18/16	J		
112. LOOMIS SAYLES STRATEGIC INCOME FD CL Y (X)	A	Dividend	J	T	Buy	05/12/16	J		
113. AQR STYLER PREMIA ALTERNATIVE FUND CL 1 (X)	A	Dividend	J	T	Buy	01/07/16	J		
114. THORNBURG INVESTMENT INCOME BUILDER FUND CL 1 (X)	A	Dividend	J	T	Buy	08/10/16	J		
115. Individual Retirement Account (H)									
116. ACE LIMITED	A	Dividend	J	T					
117. - AUTOMATIC DATA PROC	A	Dividend	J	T					
118. - AMERICAN WTR WKS CO INC NEW	A	Dividend	J	T	Sold (part)	12/07/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 24

Name of Person Reporting

Lewis, Mary G.

Date of Report

05/11/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
119. - BLACKROCK GLOBAL LONG SHORT CREDIT FUND CL I		None			Sold	01/20/16	J		
120.					Sold (part)	01/07/16	J		
121. - CITIGROUP INC COM NEW	A	Dividend	J	T	Buy (add'l)	12/07/16	J		
122. - CARDINAL HEALTH INC OHIO	A	Dividend			Sold	07/08/16	J	A	
123. - COMCAST CORP NEW CL A	A	Dividend	J	T	Buy (add'l)	06/09/16	J		
124. - COSTCO WHOLESALE CRP DEL	A	Dividend	J	T	Sold (part)	12/07/16	J	A	
125. - CVS CAREMARK CORP	A	Dividend	J	T					
126. - DOMINION RES INC NEW VA	A	Dividend			Sold	03/21/16	J	A	
127. - DB X-TRACKERS MSCI EAFE HEDGED EQUITY FUND	A	Dividend	K	T	Buy (add'l)	05/18/16	J		
128. - DOUBLELINE TOTAL RETURN BOND FUND CL I	A	Dividend	K	T	Buy (add'l)	08/10/16	J		
129.					Buy (add'l)	05/13/16	J		
130.					Buy (add'l)	01/21/16	J		
131. - FIDELITY ADVISOR BIOTECHNOLOGY FD CL I		None	K	T	Buy (add'l)	05/13/16	J		
132. - FEDEXCORP DELAWARE COM	A	Dividend	J	T					
133. - UAM FPA CRESCENT PORT		None			Sold	05/12/16	J		
134. - GOTHAM ABSOLUTE RETURN FUND INSTL CLASS		None	J	T	Sold (part)	01/07/16	J		
135. - GOLDMAN SACHS RISING DIVIDEND GROWTH FD INSTL	A	Dividend	K	T	Buy (add'l)	05/18/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 24

Name of Person Reporting

Lewis, Mary G.

Date of Report

05/11/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
136. - GOLDMAN SACHS STRATEGIC INCOME FUND CL I	A	Dividend			Sold	01/21/16	K		
137. - HOME DEPOT INC	A	Dividend	J	T	Buy	06/09/16	J		
138. - HESS CORP		None			Sold	02/16/16	J		
139. - HONEYWELL INTL INC DEL	A	Dividend	J	T					
140. - FIA CARD SVS NA RASP (Y)									
141. - POPLAR FOREST PARTNERS FUND CL I	A	Dividend	K	T	Buy (add'l)	05/18/16	J		
142. - KOPERNIK GLOBAL ALL CAP FUND INSTL CLASS	A	Dividend	K	T	Sold (part)	08/10/16	J	A	
143. - LOOMIS SAYLES SR FLOAT RTE & FIXD INC FD CL Y	A	Dividend	J	T	Buy (add'l)	05/13/16	J		
144. - MATTHEWS ASIA DIVIDEND FUND INV CLASS	A	Dividend	J	T	Buy (add'l)	05/28/16	J		
145. - NIKE INC CL B	A	Dividend	J	T	Sold (part)	06/09/16	J	A	
146.					Buy (add'l)	02/16/16	J		
147. - ORACLE CORP \$0.01 DEL		None			Sold	01/14/16	J		
148. - PIMCO INCOME FUND CL P	A	Dividend	K	T	Buy (add'l)	08/10/16	J		
149.					Buy (add'l)	05/13/16	J		
150.					Buy (add'l)	01/21/16	J		
151. - T ROWE PRICE CAPITAL APPRECIATION FD RETAIL	A	Dividend	J	T					
152. - FIRST EAGLE GLOBAL CLASS I	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 24

Name of Person Reporting

Lewis, Mary G.

Date of Report

05/11/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
153. - SCHLUMBERGER LTD	A	Dividend			Sold	07/27/16	J		
154. - THORNBURG INVSMT INCOME BUILDER FUND CL I	A	Dividend	J	T					
155. - THERMO FISHER SCIENTIFIC INC	A	Dividend	J	T					
156. - VISA INC CL A SHRS	A	Dividend	J	T					
157. - VANGUARD VALUE ETF	B	Dividend	K	T	Buy (add'l)	05/13/16	J		
158. - VANGUARD GROWTH ETF	A	Dividend	K	T	Sold (part)	05/12/16	J	A	
159. - VERIZON COMMUNICATNS COM	A	Dividend	J	T					
160. - WELLS FARGO ABSOLUTE RETURN FD		None			Sold	01/20/16	J		
161.					Sold (part)	01/07/16	J		
162. - WELLS FARGO & CO NEW DEL	A	Dividend			Sold	08/24/16	J		
163. -AMERICAN TOWER REIT INC	A	Dividend	J	T	Buy (add'l)	08/24/16	J		
164. -DISNEY (WALT) CO	A	Dividend	J	T	Buy (add'l)	06/09/16	J		
165. -HENDERSON EUROPEAN FOCUS FUND	A	Dividend	J	T	Buy (add'l)	05/18/16	J		
166. -FIRST TRUST HY ETF	A	Dividend	J	T	Buy (add'l)	05/13/16	J		
167. -MONDELEZ INTL INC	A	Dividend	J	T	Sold (part)	12/07/16	J	A	
168. -THE OAKMARK INTL SML CAP FD		None			Sold	05/12/16	J		
169. -MARKET VECTORS OIL SERVICE ETF	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 24

Name of Person Reporting

Lewis, Mary G.

Date of Report

05/11/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
170. -AMEX TECHNOLOGY SELECT SPDR	A	Dividend	K	T	Buy (add'l)	05/18/16	J		
171. -AQR MGD FUTURES STRAT 1		None	J	T	Sold (part)	09/11/16	J		
172. -BLACKROCK INC	A	Dividend	J	T					
173. -BLACKSTONE ALT MULTI STRATEGY FUND CLASS 1	A	Dividend	J	T	Sold (part)	08/10/16	J		
174.					Buy (add'l)	01/07/16	J		
175. -CITIZENS FINAL GROUP INC	A	Dividend	J	T	Buy (add'l)	12/07/16	J		
176. FORTIVE CORP	A	Dividend	J	T					
177. -DEVON ENERGY CORP	A	Dividend	J	T	Buy (add'l)	07/27/16	J		
178.					Sold (part)	02/16/16	J		
179.					Buy (add'l)	01/21/16	J		
180. -ECOLAB INC	A	Dividend	J	T					
181. -JP MORGAN GLOBAL ALLOCATION FD	A	Dividend	J	T	Buy (add'l)	05/18/16	J		
182. -KINDER MORGAN INC	A	Dividend	J	T	Buy (add'l)	05/18/16	J		
183. -LOCKHEED MARTIN COPR	A	Dividend	J	T	Buy (add'l)	02/16/16	J		
184. -MEDTRONIC PLC	A	Dividend	J	T	Sold (part)	07/18/16	J	A	
185. JANUS FLEXIBLE BOND FD CL 1 (X)	A	Dividend	J	T	Buy (add'l)	05/13/16	J		
186.					Buy	01/21/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 24

Name of Person Reporting

Lewis, Mary G.

Date of Report

05/11/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
187. UNION PACIFIC CORP (X)	A	Dividend	J	T	Buy	07/08/16	J		
188. AQR STYLE PREMIA ALTERNATIVE FUND CL I (X)	A	Dividend	K	T	Buy	01/07/16	J		
189. LOOMIS SAYLES STRATEGIC INC FC CL Y (X)	A	Dividend	J	T	Buy	05/12/16	J		
190. FIDELITY ADVISOR REAL ESTATE INCOME FUND CL I (X)	A	Dividend	J	T	Buy	08/10/16	J		
191. NEXTERA ENERGY CORP (X)	A	Dividend	J	T	Sold (part)	12/07/16	J	A	
192.					Buy	03/03/16	J		
193. MARATHON OIL CORP (X)	A	Dividend	J	T	Buy	08/24/16	J		
194. MICROSOFT CORP (X)	A	Dividend	J	T	Buy (add'l)	12/07/16	J		
195. CISCO SYSTEMS INC (X)	A	Dividend			Sold	12/07/16	J	A	
196.					Buy	01/21/16	J		
197. TEXAS INSTRUMENTS		None	J	T	Buy	12/07/16	J		
198. AAPL INC (X)	A	Dividend	J	T	Buy	01/14/16	J		
199. TOTAL S.A. SP ADR (X)	A	Dividend	J	T	Buy	02/16/16	J		
200. CHUBB LIMITED (FORMERLY ACE LIMITED)	A	Dividend	J	T	Buy (add'l)	12/07/16	J		
201.					Spinoff (from line 116)	01/15/16	J		
202. DANAHER CORP (FORMERLY FORTIVE CORP)	A	Dividend	J	T	Buy (add'l)	07/08/16	J		
203.					Spinoff (from line 176)	07/08/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 24

Name of Person Reporting

Lewis, Mary G.

Date of Report

05/11/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
204. TRUST #1 (H)									
205. - ML BANK DEPOSIT PROGRAM	A	Interest	J	T					
206. - ACE LIMITED	A	Dividend	K	T					
207. - AUTOMATIC DATA PROC	A	Dividend	K	T	Sold (part)	08/19/16	J	A	
208. - AMERICAN WTR WKS CO INC NEW	A	Dividend	J	T	Sold (part)	12/08/16	J	A	
209.					Sold (part)	08/19/16	J	A	
210.					Sold (part)	01/20/16	J	A	
211. - CITIGROUP INC COM NEW	A	Dividend	K	T	Buy (add'l)	12/07/16	J		
212.					Buy (add'l)	08/19/16	J		
213. - CARDINAL HEALTH INC OHIO	A	Dividend			Sold	07/08/16	K	C	
214. - COMCAST CORP NEW CL A	A	Dividend	K	T	Buy (add'l)	06/03/16	J		
215. - COSTCO WHOLESALE CRP DEL	A	Dividend	K	T	Sold (part)	12/08/16	J	A	
216.					Sold (part)	08/19/16	J	A	
217.					Sold (part)	01/19/16	J	A	
218. - CVS CAREMARK CORP	A	Dividend	K	T	Sold (part)	12/08/16	J		
219.					Buy (add'l)	08/19/16	J		
220. - DOMINION RES INC NEW VA		None			Sold	02/19/16	K	B	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 17 of 24

Name of Person Reporting

Lewis, Mary G.

Date of Report

05/11/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
221. - FEDEX CORP DELAWARE COM	A	Dividend	K	T	Sold (part)	08/19/16	J	A	
222. - HOME DEPOT INC	A	Dividend	K	T	Sold (part)	09/15/16	J	A	
223.					Buy (add'l)	06/03/16	J		
224. - HESS CORP		None			Sold	02/12/16	J		
225. - HONEYWELL INTL INC DEL	A	Dividend	K	T	Sold (part)	08/19/16	J	A	
226. - NIKE INC CL B	A	Dividend			Sold	06/03/16	J	C	
227. - ORACLE CORP \$0.01 DEL	A	Dividend			Sold	01/14/16	K	A	
228. - SCHLUMBERGER LTD	A	Dividend			Sold	07/26/16	K		
229. - THERMO FISHER SCIENTIFIC INC	A	Dividend	K	T	Sold (part)	01/19/16	J	A	
230. - VISA INC CL A SHRS	A	Dividend	K	T	Sold (part)	08/19/16	J	A	
231.					Sold (part)	01/19/16	J	A	
232. -WELLS FARGO & CO NEW DEL	A	Dividend			Sold	08/24/16	J	B	
233. -AMERICAN TOWER REIT INC	A	Dividend	K	T	Buy (add'l)	08/23/16	J		
234.					Sold (part)	08/19/16	J	A	
235. -DISNEY (WALT) CO	A	Dividend	K	T	Sold (part)	08/19/16	J	A	
236.					Buy (add'l)	06/03/16	J		
237. -MONDELEZ INTERNATIONAL INC	A	Dividend	K	T	Sold (part)	12/08/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 18 of 24

Name of Person Reporting

Lewis, Mary G.

Date of Report

05/11/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

238.					Sold (part)	08/19/16	J	A	
239. -VERIZON COMMUNICATIONS COM	A	Dividend	K	T	Sold (part)	08/19/16	J	A	
240. -BLACKROACK INC	A	Dividend	K	T	Sold (part)	08/19/16	J		
241. -CITIZENS FINL GROUP INC SHS	A	Dividend	K	T	Sold (part)	08/19/16	J		
242. -DANAHER COPR DEL COM	A	Dividend	K	T	Buy (add'l)	08/19/16	J		
243.					Spinoff (from line 176)	07/07/16			
244. -DEVON ENERGY CORP	A	Dividend	K	T	Sold (part)	10/18/16	J	A	
245.					Buy (add'l)	08/19/16	J		
246.					Buy (add'l)	07/27/16	K		
247.					Sold (part)	02/12/16	J	A	
248. -ECOLAB INC	A	Dividend	J	T					
249. -LOCKHEED MARTIN CORP	A	Dividend	K	T	Buy (add'l)	02/12/16	J		
250.					Sold (part)	01/19/16	J	A	
251. -MEDTRONIC PLC	A	Dividend	K	T	Sold (part)	07/18/16	J	A	
252. -MICROSOFT CORP	A	Dividend	K	T	Buy (add'l)	12/06/16	J		
253.					Sold (part)	08/19/16	J	A	
254. APPLE INC (X)	A	Dividend	K	T	Sold (part)	08/19/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 24

Name of Person Reporting

Lewis, Mary G.

Date of Report

05/11/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

255.					Buy	01/15/16	K		
256. CISCO SYSTEMS INC (X)	A	Dividend	K	T	Sold (part)	12/06/16	J	C	
257.					Sold (part)	08/19/16	J	A	
258.					Buy	01/19/16	J		
259. MARATHON OIL CORP (X)	A	Dividend	J	T	Buy (add'l)	08/23/16	J		
260. NEXTERA ENERGY CORP (X)	A	Dividend	J	T	Sold (part)	12/06/16	J		
261.					Buy (add'l)	08/19/16	J		
262.					Buy	02/22/16	K		
263. TOTAL S.A. SP ADR VSP (X)	A	Dividend	K	T	Buy	02/16/16	K		
264. TEXAS INSTRUMENTS (X)		None	K	T	Buy	12/08/16	K		
265. UNION PACIFIC	A	Dividend	K	T	Buy	07/08/16	K		
266. CHUBB LIMITED (FORMERLY ACE LIMITED)	A	Dividend	K	T	Buy (add'l)	12/07/16	J		
267.					Sold (part)	08/19/16	J	A	
268.					Spinoff (from line 206)	01/15/16	J		
269. BROKERAGE ACCOUNTS 13920, 13578, 19020 (H)									
270. - ML BANK DEPOSIT PROGRAM	A	Interest	J	T					
271. - ML BANK DEPOSIT PROGRAM	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 20 of 24

Name of Person Reporting

Lewis, Mary G.

Date of Report

05/11/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

272. - DAVIS NY VENTURE FD CL A	A	Dividend	J	T					
273. - FIRST EAGLE GLOBAL CLASS C	A	Dividend	J	T					
274. - GOLDMAN SACHS RISING DIVIDEND GROWTH FD CL C	A	Dividend	J	T	Sold (part)	11/28/16	J	A	
275.					Sold (part)	10/03/16	J	A	
276. - THORNBURG INCOME BUILDER FUND CLASS C	A	Dividend	J	T					
277. - ML BANK DEPOSIT PROGRAM	A	Interest	J	T					
278. - BLACKROCK GLOBAL ALLOCATION FD INC C	A	Dividend	J	T					
279. -ML BANK DEPOSIT PROGRAM	A	Interest	K	T					
280. -CD CAPITAL ONE BANK	A	Interest	K	T					
281. -CD GOLDMAN SACHS BK	A	Interest	K	T					
282. -ANGEL OAK MULTI STRATEGY INC FD	B	Dividend	K	T					
283. -BLKRK GLBL LNG/SHRT CREDIT FD		None			Sold	01/12/16	K		
284. -FIDELITY ADVISOR BIOTECH FD		None	K	T					
285. -UAM FPA CRESCENT	A	Dividend			Sold	08/10/16	K		
286. -GENERAL ELEC CAP CORP BOND	B	Interest	K	T					
287. -GOLDMAN SACHS RISING DIVIDEND GROWTH FD INSTL	B	Dividend	L	T					
288. -HENDERSON EUROPEAN FOCUS FD	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 21 of 24

Name of Person Reporting

Lewis, Mary G.

Date of Report

05/11/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
289. -POPLAR FOREST PARTNERS FD	B	Dividend	L	T					
290. -LOOMIS SAYLES SR FLT RTE & FIXD INC FD	C	Dividend	L	T					
291. -MATTHEWS ASIA DIVIDEND FUND	B	Dividend	K	T					
292. -MARKET VECTORS OIL SVCS ETF	A	Dividend	J	T					
293. -PIMCO INCOME FD	B	Dividend	K	T					
294. -FIRST EAGLE GLOBAL	B	Dividend	K	T					
295. -THORNBURG INVSTMT INCOME BUILDER FD	A	Dividend	K	T					
296. -VANGUARD VALUE ETF	B	Dividend	M	T	Buy (add'l)	08/10/16	K		
297. -VANGUARD GROWTH ETF	B	Dividend	M	T	Sold (part)	08/10/16	K	D	
298. -WALMART CORP BOND	B	Interest	K	T					
299. -AMEX TECHNOLOGY SELECT SPDR	A	Dividend	K	T					
300. -BLKRK STRINC OP PTF INST	A	Dividend	K	T					
301. -BLKSTONE ALT MLT STR I	A	Dividend	L	T	Buy (add'l)	01/12/16	K		
302. -DEUTSCHE X-TRACKERS MSCI EAFE HEDGED EQUITY ETF	A	Dividend	K	T					
303. -AQR STYLE PREMIA ALTERNATIVE FUND CL 1	A	Dividend	K	T	Buy (add'l)	01/12/16	J		
304. AQR MANAGED FUTURES STRATEGY FUND CL 1 (X)	A	Dividend	J	T	Buy	01/12/16	J		
305. LOOMIS SAYLES STRATEGIC INC FD CL Y (X)	A	Dividend	K	T	Buy	08/10/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 22 of 24

Name of Person Reporting

Lewis, Mary G.

Date of Report

05/11/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
306. Account 21360									
307. BLACKSTONE STRATEGY PART SECONDARIES VII (X) TRUST		None	J	T	Buy	05/16/16	J		
308. Investors Title common stock	A	Dividend	J	T					
309. Wells Fargo Bank Deposit Sweep (H)		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 23 of 24

Name of Person Reporting

Lewis, Mary G.

Date of Report

05/11/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In 2015, we reported only a partial sale of ALPS American (AMLPS) in February of 2015, but failed to report the sale of 59 remaining shares of the security on September 4, 2015, which was valued at approximately \$840.75.

FINANCIAL DISCLOSURE REPORT

Page 24 of 24

Name of Person Reporting

Lewis, Mary G.

Date of Report

05/11/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Mary G. Lewis

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544