

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MOSIER, R. KIMBALL	2. Court or Organization U.S.BANKRUPTCY COURT, DISTRICT OF UTAH	3. Date of Report 05/14/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address UNITED STATES BANKRUPTCY COURT 350 SOUTH MAIN STREET, ROOM 365 SALT LAKE CITY, UT 84101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Zions Bank	Credit Card/overdraft	J
2.	Bank of America	Credit Card	K
3.	American Express	Credit Card	K
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA FIDELITY ROLLOVER #1	F	Int./Div.	L	T					
2. -Fidelity Cash Reserve					Distributed	1/4/12	L	F	Client Distribution
3. IRA- Met Life Variable Annuity Series #2 (Y)									
4. IRA ROLLOVER FIDELITY #3	E	Int./Div.	K	T					
5. -DoubleLine Bond N					Sold (part)	06/27/12	J		
6.					Sold	12/11/12	J	C	
7. -Fidelity Adv I New Insights					Sold	03/14/12	J	D	
8. -Fidelity Select Technology					Sold (part)	03/14/12	J		
9.					Sold	12/11/12	J	B	
10. -First Eagle A Global					Buy	03/14/12	J		
11.					Sold	12/11/12	J	A	
12. -First Eagle Gold Fund					Sold (part)	03/14/12	J		
13.					Sold	12/11/12	J	B	
14. -Grandeur Peak Gbl Oppty- Inv.					Buy	3/14/12	J		
15.					Sold	12/11/12	J	C	
16. -IVA A Worldwide					Sold	03/14/12	J	D	
17. -iShares S&P MidCAP 400 Growth Index					Buy	3/14/12	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Sold	12/11/12	J	B	
19. -iShares S&P 500 Growth Index					Buy	3/14/12	J		
20.					Sold	12/11/12	J	D	
21. -iShares Dow Jones Select Dividend Index					Buy	3/14/12	J		
22.					Sold	12/11/12	J	C	
23. -John Hancock A Core High Yield					Buy	5/23/12	J		
24.					Sold (part)	08/28/12	J		
25.					Sold	12/11/12	J	B	
26. -John Hancock A Global Opportunity					Sold	05/23/12	J	B	
27. -JPMorgan Alerian					Sold (part)	03/14/12	J		
28.					Sold (part)	09/12/12	J		
29.					Sold	12/11/12	J	B	
30. -JP Morgan A Highbridge Dynamic Commodity Strat					Sold	03/14/12	J	C	
31. -Loomis Sayles Bond Retail					Buy (add'l)	03/14/12	J		
32.					Sold	12/11/12	J	C	
33. -Lord Abbett A Short Duration Inc.					Sold	12/18/12	J	A	
34. -Lord Abbett A Value Opportunities					Sold	03/14/12	J	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -Nuveen A Preferred Securities					Sold (part)	06/27/12	J		
36.					Sold	12/11/12	J	B	
37. -Oppenheimer Dv.					Sold	12/11/12	J	C	
38. -Putnam A Equity Spectrum					Sold (part)	03/14/12	J		
39.					Sold	12/11/12	J	B	
40. -Templeton A Global Bond					Sold	3/14/12	J	C	
41. -Wasatch Emerging Mkts Small Cap					Sold	12/11/12	J	B	
42. -Wasatch Global Opportunities					Sold	03/14/12	J	D	
43. -Yacktman Focused Fund					Sold	03/14/12	J	D	
44. -Fidelity Cash Reserves					Buy (add'l)	1/30/12	J		
45.					Sold (part)	01/30/12	J		
46.					Sold (part)	01/31/12	J		
47.					Buy	3/14/12	K		
48.					Sold (part)	3/19/12	K		
49.					Sold (part)	04/05/12	K		
50.					Buy (add'l)	5/30/12	J		
51.					Sold (part)	06/04/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52.					Buy (add'l)	06/28/12	J		
53.					Sold (part)	07/02/12	J		
54.					Buy (add'l)	08/29/12	J		
55.					Buy (add'l)	08/31/12	J		
56.					Sold (part)	09/04/12	J		
57.					Buy (add'l)	09/13/12	J		
58.					Buy (add'l)	09/17/12	J		
59.					Sold (part)	09/19/12	J		
60.					Buy (add'l)	12/12/12	K		
61.					Buy (add'l)	12/14/12	J		
62.	-Fidelity Cash Fund				Buy	1/3/12	J		
63.					Sold (part)	1/30/12	J		
64.					Distributed (part)	1/31/12	J	C	Client Distribution
65.					Buy (add'l)	1/31/12	J		
66.					Buy (add'l)	3/14/12	J		
67.					Sold (part)	03/14/12	J		
68.					Sold (part)	03/15/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69.					Buy (add'l)	03/19/12	K		
70.					Buy (add'l)	4/5/12	K		
71.					Distributed (part)	04/05/12	K	E	Client Distribution
72.					Buy (add'l)	05/23/12	J		
73.					Sold (part)	05/23/12	J		
74.					Sold (part)	05/29/12	J		
75.					Buy (add'l)	06/04/12	J		
76.					Distributed (part)	06/04/12	J	B	Client Distribution
77.					Buy (add'l)	06/27/12	J		
78.					Sold (part)	06/28/12	J		
79.					Buy (add'l)	07/02/12	J		
80.					Distributed (part)	07/02/12	J	C	Client Distribution
81.					Buy (add'l)	08/28/12	J		
82.					Sold (part)	08/29/12	J		
83.					Distributed (part)	09/04/12	J	C	Client Distribution
84.					Sold (part)	09/13/12	J		
85.					Sold (part)	09/17/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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86.					Buy (add'l)	09/19/12	J		
87.					Buy (add'l)	12/11/12	J		
88.					Sold (part)	12/14/12	J		
89.					Distributed (part)	12/14/12	J	D	Client Distribution
90. Fidelity (ROTH) #4	A	Int./Div.	J	T					
91. -Fidelity Cash Reserves					Buy (add'l)	03/15/12	J		
92.					Sold (part)	03/19/12	J		
93. -Fidelity Cash Fund					Buy	01/03/12	J		
94.					Buy (add'l)	03/14/12	J		
95.					Sold (part)	03/15/12	J		
96.					Buy (add'l)	03/19/12	J		
97.					Sold	12/31/12	J	A	
98. -Steelpath A Sel 40					Sold	03/14/12	J	A	
99. -Doubleline N. Bond									
100. -Wasatch Global Opportunities					Sold	03/14/12	J	A	
101. -Grandeur Peak Gbl Oppty					Buy	03/14/12	J		
102. -IVA A Worldwide					Sold	03/14/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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103. -Nuveen A Preferred Securities					Buy	03/14/12	J		
104. -iShares S&P 500 Growth Index					Buy	03/14/12	J		
105. -iShares S&P MidCap 400 Growth Index					Buy	03/14/12	J		
106. -Shares Dow Jones Select Dividend Index					Buy	03/14/12	J		
107. -John Hancock A. Global Opportunity					Sold	05/23/12	J	A	
108. -John Hancock A. High Yield									
109. -Lord Abbett A Value Opportunities					Sold	03/14/12	J	A	
110. -Lord Abbett A Short Duration, Inc.									
111. -Loomis Sayles Bond Retail					Buy	03/14/12	J		
112. -Fidelity Adv. I New Insights					Sold	03/14/12	J	B	
113. -First Eagle A Gold Fund									
114. -First Eagle Global					Buy	03/14/12	J		
115. -Templeton A Global Bond					Sold	03/14/12	J	A	
116. -Oppenheimer A Developing Markets									
117. -Yacktman Fund					Sold	03/14/12	J	B	
118. -Putnam A Equity Spectrum									
119. -Wasatch Emerging Mkts Small Cap									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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120. -Lord Abbett A Short Duration, Inc.(Y)									
121. -Fidelity Select Technology									
122. -JP Morgan A Highbridge Dynamic Commodity Strait					Sold	03/14/12	J	A	
123. CM Life Insurance Company #2	A	Int./Div.			Sold	01/16/12	J	D	
124. State Farm Insurance	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

MOSIER, R. KIMBALL

Date of Report

05/14/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII/Investments and Trusts - Line 120 is a duplicate of Line 120

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Name of Person Reporting

MOSIER, R. KIMBALL

Date of Report

05/14/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **R. KIMBALL MOSIER**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544