

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2013**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Connelly, Rebecca B.	2. Court or Organization US Bankruptcy Court for the Western District of Virginia	3. Date of Report 05/13/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 116 North Main Street Harrisonburg VA 22802		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☒ **NONE** *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☒ **NONE** *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Connelly, Rebecca B.

Date of Report

05/13/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2013

Rowman and Littlefield royalty \$61.42

2. 2013

Washington and Lee University Salary

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Connelly, Rebecca B.

Date of Report

05/13/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Connelly, Rebecca B.

Date of Report

05/13/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of Botetourt savings account	A	Interest	J	T					
2. Cornerstone Bank CD	A	Interest	J	T					
3. Cornerstone Bank CD	A	Interest	J	T					
4. Cornerstone Bank CD	A	Interest	J	T					
5. Cornerstone Bank stock	A	Interest	K	T					
6. Cornerstone Bank savings account	A	Interest	J	T					
7. SunTrust Bank joint money market bank account	A	Interest	K	T					
8. SunTrust Bank joint checking account	A	Interest	J	T					
9. Ameriprise Money Market Acct	A	Interest	J	T					
10. Ameriprise AIM Invesco Equity & Inc Fund	A	Dividend	K	T					
11. Ameriprise Columbia Funds Account	A	Dividend	N	T	Redeemed (part)	04/16/13	L		
12. Ameriprise Columbia Pacific Mutual Fund	A	Dividend	J	T					
13. Ameriprise Columbia Mid Cap Growth Fund CL A	A	Dividend	K	T	Buy	04/16/13	K		
14. Ameriprise Columbia Acorn Thermostat CLA	A	Dividend	K	T	Buy	05/02/13	K		
15. Ameriprise Columbia Intermediate Municipal Bond CL A		None	K	T	Buy	04/18/13	K		
16. Ameriprise Eaton Vance Floating Rate CL A	A	Dividend	K	T	Buy	04/16/13	K		
17. Ameriprise Fidelity Advisor Emerging Markets CL A	A	Dividend	K	T	Buy	04/16/13	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Ameriprise Legg Mason BW Global Opportunities Bond CL A	A	Dividend	K	T	Buy	04/16/13	K		
19. Ameriprise Gabelli Asset Mutual Fund	A	Dividend	J	T					
20. Ameriprise Goldman Sachs Rising Dividned Growth CL A	A	Dividend	K	T	Buy	04/18/13	K		
21. Ameriprise Metro West Bond Fund	A	Dividend			Sold	08/08/13	J	A	
22. Ameriprise Putnam US Gov Inc Mutual Fund	A	Dividend			Sold	04/16/13	J	A	
23. Ameriprise Quaker Mid Cap Value Fund	A	Dividend			Sold	04/16/13	J	A	
24. Ameriprise Virtus Small Cap Core Fund	A	Dividend			Sold	04/18/13	L	A	
25. TRP Mid Cap Growth fund	A	Dividend	K	T					
26. TRP Spectrum Income fund	A	Dividend	K	T					
27. Vanguard Tax Mangd Balanced Fund	A	Dividend			Sold	11/19/13	K	C	
28. Vanguard Target Ret 2015 mutual fund	A	Dividend			Sold	11/19/13	K	C	
29. Vanguard Target Ret 2020 mutual fund	A	Dividend			Sold	11/19/13	K	C	
30. Vanguard Vanguard Balanced Index mutual fund	A	Dividend			Sold	11/19/13	K	C	
31. Vanguard Wellesley Inc Fund mutual fund	A	Dividend	K	T					
32. Vanguard Wellington Fund mutual fund	A	Dividend			Sold	11/19/13	K	C	
33. JP Morgan Income Builder fund UGTMA	A	Dividend	J	T					
34. Prudential Jennison Equity Income UGTMA	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 9

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35. JPMorgan Income Builder UGTMA	A	Dividend	J	T					
36. Prudential Jennison Equity Income UGTMA	A	Dividend	J	T					
37. Janney Montgomery Sweep Acct	A	Interest	J	T					
38. Alger Spectra CL C	A	Dividend	J	T					
39. Alger Spectra CL I	A	Dividend	K	T	Buy	12/19/13	K		
40. Baron Growth CL I	A	Dividend	K	T	Buy	12/19/13	K		
41. Columbia Acorn EM Class Z	A	Dividend	J	T	Buy	12/19/13	J		
42. Highmark Geneva Mid Cap Growth CL C	A	Dividend	J	T					
43. MFS Emerging Markets Debt CL B	A	Dividend	J	T					
44. MFS International Value CL I		None	J	T	Buy	12/30/13	J		
45. Mainstay High Yield Corp Bond CL B	A	Dividend	J	T					
46. Oppenheimer Developing Markets CL C	A	Dividend	J	T					
47. Oppenheimer Global Opportunities CL C	A	Dividend	L	T					
48. Oppenheimer Steelpath Alpha CL Y		None	J	T	Buy	12/30/13	J		
49. PIMCO Income CL C	A	Dividend	J	T					
50. Putnam Diversified In CL Y		None	J	T	Buy	12/30/13	J		
51. Sterling Capital Equity Income CL C	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 9

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52. Yacktmann Service CL X		None	K	T	Buy	12/30/13	K		
53. Bank Montreal Autocallable Note	A	Interest			Redeemed	09/30/13	J	A	
54. Bank Montreal Autocallable Note new note purchased 2013		None	J	T	Buy	09/30/13	J		
55. Government I Bonds	A	Dividend	J	T					
56. Government I Bond	A	Dividend	J	T					
57. Gov Series EE Bonds	A	Dividend	J	T					
58. Government Series EE Bonds	A	Dividend	J	T					
59. Government Series EE Bonds	A	Dividend	J	T					
60. Government Series EE Bonds	A	Dividend	J	T					
61. TIAA Cref Retirement Account	A	Dividend	O	T					
62. Virginia 529 Potomac Plan (age based) (no control)	A	Dividend	J	T					
63. Virginia 529 Chesapeake Plan (age based) (no control)	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Connelly, Rebecca B.

Date of Report

05/13/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII Investments and Trusts line 53. The Bank of Montreal Note was redeemed. A new Bank of Montreal note was purchased with the proceeds. Thus the current value at the end of the period for line 53 is -0- but on line 54 the value of the new Bank of Montreal note is reported.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Connelly, Rebecca B.

Date of Report

05/13/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Rebecca B. Connelly**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544