

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Seybert, Joanna	2. Court or Organization U.S. District Court, Eastern District of New York	3. Date of Report 05/09/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address U.S. District Court 100 Federal Plaza, Rm. 1034 PO Box 9014 Central Islip, NY 11722	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ **NONE** (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Officer	Federal Judges Association
2. Director	St. John's School of Law Alumni Association
3. Officer	International Association of Judges
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2011	NYS Retirement Pension	\$21,523.68
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. US Patent and Trademark Office/Office of Policy and External Affairs	March 6-11	Dubai, UAE	Participate in IPR Judicial Roundtable	Transportation, Food, Lodging
2. Virtue Foundation and Avon Global Center for Women and Justice	March 31 - April 1	Washington, DC	Participate in Senior Roundtable on Women and the Judiciary	Transportation, Food, Lodging
3. Federal Judges Association	April 12-14	Washington, DC	Board Meeting	Transportation, Food, Lodging
4. International Judicial Relations Committee of the Judicial Conference	May 24-27	Washington, DC	Meeting	Transportation, Food, Lodging

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5.	International Association of Judges	Sept. 2-10	Istanbul, Turkey	Annual Meeting	Transportation, Lodging
6.	International Judicial Relations Committee of the Judicial Conference	Dec. 12-14	Key Biscayne, FL	Meeting	Transportation, Food, Lodging

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Wells Fargo Advantage IRA					Sold	05/11/11	L	C	Rolled over - see VIII
2. NYS Deferred Comp. Plan					Sold	05/23/11	M	B	Rolled over - see VIII
3. MFS Total Return Fund-A IRA					Sold	05/11/11	K	B	Rolled over - see VIII
4. Aspire Federal Credit Union	A	Interest	L	T					
5. IRA Brokerage Acct #1:	B	Int./Div.	L	T	Open	05/11/11	L	B	
6. SNIDX					Buy	05/11/11	K		
7. AMTOX					Buy	05/11/11	J		
8. AWAYX					Buy	05/11/11	K		
9. IRA Brokerage Acct #2:	A	Int./Div.	M	T	Open	06/07/11	M	A	
10. SNIDX					Buy	06/07/11	K		
11.					Buy (add'l)	07/26/11	J		
12.					Buy (add'l)	12/14/11	J		
13. AMTOX					Buy	06/07/11	K		
14.					Buy (add'l)	07/26/11	J		
15.					Buy (add'l)	10/04/11	J		
16.					Buy (add'l)	10/24/11	J		
17. AWAYX					Buy	06/07/11	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Buy (add'l)	06/22/11	J		
19.					Buy (add'l)	07/26/11	J		
20.					Buy (add'l)	08/23/11	J		
21.					Buy (add'l)	09/22/11	J		
22.					Buy (add'l)	10/04/11	J		
23.					Buy (add'l)	11/22/11	J		
24.					Buy (add'l)	12/21/11	J		
25.					Buy (add'l)	12/28/11	J		
26. SAOOX					Buy	06/07/11	K		
27.					Buy (add'l)	12/13/11	J		
28. SBOOX					Buy	06/07/11	J		
29.					Buy (add'l)	12/13/11	J		
30. Brokerage Account	B	Int./Div.	L	T	Open	05/11/11	L	B	
31. SNNYX					Buy	05/11/11	K		
32. ATWYX					Buy	05/11/11	K		
33.					Buy (add'l)	05/24/11	J		
34.					Buy (add'l)	06/22/11	J		

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35.					Buy (add'l)	07/26/11	J		
36.					Buy (add'l)	08/23/11	J		
37.					Buy (add'l)	09/22/11	J		
38.					Buy (add'l)	10/24/11	J		
39.					Buy (add'l)	11/22/11	J		
40.					Buy (add'l)	12/28/11	J		
41. SATOX					Buy	05/11/11	J		
42.					Buy (add'l)	12/13/11	J		
43. SNTOX					Buy	05/11/11	J		
44.					Buy (add'l)	12/13/11	J		
45. College Bond 529 Fund			J	T	Open	06/01/11	J		
46.									
47.									

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3. Value Method Codes (See Column C2)					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. 1. This account was formerly "Evergreen Fund." This account was rolled over to "IRA Brokerage Account #1."

VII. 2. This account was rolled over to "IRA Brokerage Account #2,"

VII. 3. This account was rolled over to "IRA Brokerage Account #1."

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Joanna Seybert**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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