

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Roby, Karen W.	2. Court or Organization Eastern District of Louisiana	3. Date of Report 10/30/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge-Fulltime	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 500 Poydras Street B437 New Orleans, Louisiana 70131		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board Member	ABA National Conference of Federal Trial Judges
2. Commissioner	Louisiana Commission on Civic Education
3. Commissioner	ABA Commission on Racial and Ethnic Diversity
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Self-Employed Attorney
2. 2015	Clerk of Court Orleans Parish
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Bar Association	01/08/2015-01/09/2015	Laguna Beach, CA	Leadership Meeting	Meals, Hotel & Transportation
2. American Bar Association	02/06/2015-02/08/2015	Houston Tx	National Conference of Federal Trial Judges Executive Board Meeting	Meals, Hotel & Transportation
3. American Bar Association	06/23/2015-06/25/2015	Washington DC	ABA Women's Day on the Hill	Meals, Hotel & Transportation
4. American Bar Association	07/29/2015-08/02/2015	Chicago, IL	Annual Meeting	Meals, Hotel & Transportation
5. American Bar Association	10/08/2015-10/10/2015	Memphis, TN	Leadership Meeting	Meals, Hotel & Transportation

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6.	American Bar Association	11/12/2015-11/13/2015	Chicago, IL	The Women of the Litigation Section	Meals, Hotel & Transportation
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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Liberty Bank & Trust	A	Int./Div.	J	T					
2. Vanguard Inflation Protected Securites	B	Dividend	J	T					
3. Louisiana Start 529 Plan-Principle Protexion Pln	A	Dividend	J	T	Buy (add'l)	01/03/15	J	B	
4. Fidelity Cash Reserves	A	Int./Div.	J	T					
5. Microsoft Corp	A	Dividend	J	T					
6. Exxon Mobil Corp	A	Dividend	J	T					
7. Capitol One Money Market	B	Dividend	J	T					
8. Loomis Global Salyes Bond Retail	A	Dividend	K	T	Sold	03/03/15	K	A	MONEY MARKET
9. Fareholme Fund	A	Dividend	J	T	Sold	12/22/15	J	A	MONEY MARKET
10. SPDR TRUST GOLD	A	Dividend	J	T					
11. (SPIDER)SPDR Gold Trust Fund ETF	A	Dividend	K	T					
12. Amazon	A	Dividend	J	T					
13. AIG	A	Dividend	J	T					
14. Fidelity Select Biotechnology	B	Dividend	J	T	Buy	08/05/15			
15. Wells Fargo	A	Dividend	J	T					
16. Berkshire Hathaway Inc.		None	J	U					
17. Sector SPDR TR SHS Ben Inc. Consumer	A	Dividend	J	T	Sold (part)	06/15/15			MONEY MARKET

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. AT&T	A	Dividend	J	T	Sold	06/15/15	J	A	MONEY MARKET
19. The Walt Disney Co.	A	Dividend	J	T					
20. Facebook	A	None	J	T					
21. FedexCorp	A	Dividend	J	T					
22. Twitter	A	Dividend	J	T	Sold	06/09/15	J		MONEY MARKET
23. Fidelity Contrafund	A	Dividend	J	T					
24. Gamestop	B	Dividend	J	T	Buy	06/15/15	J	A	MONEY MARKET
25. Ambarella Inc.			J	T	Sold	11/17/15	J		MONEY MARKET
26. Ambarella Inc.		None	J	T	Buy	11/05/15	J		
27. Cyber-ark software			J	T	Buy	11/05/15	J		MONEY MARKET
28. Cyber-ark software			J	T	Sold	11/15/15			MONEY MARKET
29. Fidelity Select Retail	B	Int./Div.	J	T	Buy	04/07/15	J	A	MONEY MARKET
30. FITBIT Inc.	A	Dividend	J	T	Buy	08/13/15			
31. Netflix	A	Int./Div.	J	T	Buy	07/21/15	J	A	
32. Paypal	A	Dividend	J	T	Buy	07/21/15	J	A	
33. Receptos	A	Dividend	J	T	Buy	07/21/15	J	A	
34. Receptos					Redeemed	08/26/15	J	A	MONEY MARKET

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35. AOL	A	Dividend	J	T	Buy	05/12/15	J	A	
36.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Karen W. Roby**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544