

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Brown, Joe	2. Court or Organization Middle District TN	3. Date of Report 08/28/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (Recall Full)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Initial ☒ Annual ☒ Amended Report ☐ Date ☐ Final	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address Room 783 U.S. Courthouse 801 Broadway Nashville, TN 37203		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ **NONE** *(No reportable positions.)*

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Co-Trustee . Assets listed Part VII line 183	Y P Trust. Trust for Family Cemetary Taylorsville KY
2. Custodian Assets listed Part VII line 119	Uniform Gift to Minors, Granddaughter C
3. Custodian Assets listed Part VII line 119	Education trust for Granddaughter W
4. Custodian Assets listed Part VII line 119	Education Trust for Granddaughter C
5. Custodian Assets listed Part VII line 119	Education trust forGranddaughter J
6. Custodian Assets listed Part VII line 119	Education trust for Granddaughter MH
7. Custodian Assets listed Part VII line 119	Education trust for Granddaughter K
8. Custodian Assets listed Part VII line 119	Education trust for Granddaughter CA

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ **NONE** *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 12/22/89	Nashville School of Law, Agreement to teach a Course on Federal Criminal Law as scheduled. None taught 2016
2. 4/5/06	Vanderbilt University School of Law, Agreement to teach course on Pretrial Litigation as scheduled. None taught 2016
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Abbvie Inc (ABBV)	A	Dividend	J	T					o
2. Adobe Systems (ADBE)	A	Dividend	J	T					o
3. Aecom Com (ACM)	A	Dividend	J	T	Buy	05/19/16	J		o
4. Allergan PLC,comm (AGN)	A	Dividend	J	T					o
5. AllstateCorp (ALL)	A	Dividend	J	T	Buy	03/23/16	J		o
6. Alphabet Inc Class A (GOOGL)	A	Dividend	J	T					o
7. Amazon (AMZN)		None	J	T	Buy (add'l)	08/10/16	J		o
8. American Beacon (ABEYX)	A	Dividend	K	T	Sold (part)	08/09/16	J	A	o
9.					Sold (part)	08/09/16	J		part sold loss
10.					Buy (add'l)	02/16/16	J		o
11. American Beacon MNCD Futures (AHLIX)	A	Dividend	J	T	Buy	02/25/16	J		o
12. American Capitol World Grth (CWGIX)	B	Dividend	J	T					o
13. American Century Small Cap (ACVIX)	A	Dividend	J	T					o
14. American Express Stock (AXP)	A	Dividend	K	T					o
15. Ameriprise Finl Inc (AMP)	A	Dividend	J	T					o
16. Anadarko Pete Corp (APC)	A	Dividend			Sold	01/19/16	J		all sold loss
17. Apple (AAPL)	A	Dividend	K	T					o

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Aplied Materials (AMAT)	A	Dividend	J	T					o
19. AQR Small Cap (QSERX)	A	Dividend	K	T	Sold (part)	10/06/16	J	A	o
20.					Buy (add'l)	02/16/16	J		o
21. AQS Tryle Piremia Style fd cl rs(QSPRX)	A	Dividend	J	T	Buy	02/16/16	J		o
22. AT&T Common USD1 (T)	A	Dividend	J	T	Buy	07/11/16	J		o
23. Baird Core Plus Bond institutional (BCOIX)	A	Interest	J	T	Buy (add'l)	10/24/16	J		o
24.					Buy (add'l)	02/16/16	J		o
25. Bank Of America (BAC)	A	Dividend	J	T					o
26. BB&T Corp (BBT)	A	Dividend			Sold	01/19/16	J	A	all sold
27. Bed Bath & Beyond (BBBY) (X)	A	Dividend	J	T					Value >1000 in 2016
28. Bemis Company (BMS)	A	Dividend			Buy	01/13/16	J		o
29.					Sold	12/15/16	J	A	all sold
30. Blackrock Util and Telecom (MDGUX)(Y)									Value under 1000
31. Broadcom Corp (BRCM)(Y)									Value went under 1000 2015
32. Bunge Limited (BG)	A	Dividend	J	T	Buy	03/02/16	J		o
33.					Buy (add'l)	07/19/16	J		o
34. Canadian Picific Railray LTD (CP)	A	Dividend	J	T					o

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Capital One Financial (COF)	A	Dividend			Sold	02/04/16	J	A	all sold
36. Cardinal Health Inc (CAH)	A	Dividend			Sold	08/01/16	J	A	all sold
37. Carlisle Cos Inc. (CSL)	A	Dividend	J	T					o
38. Carmax Inc (KMX)	A	Dividend			Sold	01/07/16	J		all sold at loss
39. Carvinal Corp (CCL)	A	Dividend			Sold	10/29/16	J	A	all sold
40. CBS Corp (CBS)	A	Dividend	J	T					o
41. Chubb Limited Common (CB)	A	Dividend	J	T	Buy	01/19/16	J		o
42. Cisco Sys Inc (CSCO)	A	Dividend	K	T					o
43. CITIGROUP Inc Com New (C)	A	Dividend	J	T					o
44. CMS Energy (CMS)	A	Dividend	J	T					o
45. Conocophillips (COP)	A	Dividend	J	T					o
46. Costco (COST)	A	Dividend	J	T	Buy	03/10/16	J		o
47. CVSHealth Corp (CVS)	A	Dividend			Sold	11/16/16	J	B	all sold
48. Delaware Value Instl (DDVIX)	A	Dividend	K	T					o
49. Deplhi Automotive (DLPH)	A	Dividend	J	T					o
50. Dollar General Corp (DG)	A	Dividend			Sold	12/04/16	J	A	all sold
51. Eaton Corp PLC (ETN)	A	Dividend	J	T					o

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52. EOG Resources ((EOG)(X))	A	Dividend	J	T	Buy	01/15/16	J		value >1000
53. Facebook Inc Common (FB)	A	Dividend	J	T	Buy	01/14/16	J		o
54. Freeport McMoran inc (FCX)	A	Dividend			Sold	01/19/16	J		all sold at loss
55. General Electric (GE)	A	Dividend	J	T					o
56. Gilead Scientific Inc (GILD)	A	Dividend			Sold	05/17/16	J	A	all sold
57. Halliburton (HAL)	A	Dividend	J	T					o
58. Harding Loevner Intl Equity (HLMIX)	A	Dividend	L	T	Buy (add'l)	02/16/16	J		o
59.					Buy (add'l)	08/09/16	J		o
60. Hartford Shroders Emg Mkts (SENMIX)	A	Dividend	J	T	Buy	12/29/16	J		o
61. Health Stream Stock (HSTM)	A	Dividend	K	T					o
62. Heartland Value Plus (HNVIX)	A	Dividend			Sold	02/24/16	J		all sold at loss
63.					Sold (part)	02/16/16	J		sold at loss
64. Helmerich&Payne inc (HP)(Y)									Value under 1000/200
65. Hologic Inc (HOLX)	A	Dividend			Sold	06/23/16	J		all sold at loss
66. Home Depot (HD)	A	Dividend	J	T					o
67. Honeywell Int Inc (HON)	A	Dividend			Sold	05/11/16	J	A	all sold
68. Hotckins & Wiley FDS (HWSIX)	A	Dividend			Sold	02/24/16	J		all sold loss

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69.					Sold (part)	02/16/16	J		sold at loss
70. Hyatt Hotels Corp (H) (Y)									Value under \$1000/200
71. Huntsman Corp (HUN)	A	Dividend			Sold	08/16/16	J	A	all sold
72. Intel Stock (INTC)	B	Dividend	K	T					o
73. International Business Machine (IBM)(Y)									value under 1000/200
74. Intercontinental Exchange (ICE)	A	Dividend	J	T					o
75. Isares Iboxx invest Grade (LQD)	A	Int./Div.			Buy	02/22/16	J		o
76.					Sold	12/22/16	J	A	all sold
77.					Buy (add'l)	07/18/16	J		o
78.					Sold (part)	09/01/16	J	A	o
79. Ishares 7-10 year treasury bonds (IEF)	A	Interest			Buy	02/24/16	J		o
80.					Sold	07/18/16	J	A	all sold
81. IsharesNational Amt Free Muni (MUB)	A	Dividend			Sold	02/22/16	J	A	all sold
82. Ishares MSCI EAFE IDX (EFA)	A	Dividend			Sold	02/22/16	J		All sold Loss
83. Ishares Russell 1000 Value (IWB)	A	Dividend	J	T	Buy (add'l)	12/06/16	J		o
84.					Buy (add'l)	09/01/16	J		o
85.					Sold (part)	11/02/16	J		part sold loss

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86.					Sold (part)	02/22/16	K		part sold loss
87. Ishares Russell 2000 (IWN)	A	Dividend			Sold	02/22/16	J		all sold loss
88. Ishares TR IBOXX Hi YD EFT (HYG)	A	Dividend	J	T	Buy	12/16/16	J		o
89. Ishares core trust (IFEA)	A	Int./Div.			Buy	02/22/16	J		o
90.					Sold	05/06/16	J	A	all sold
91. Ishares TR JP MOR Free BD (EMB)	A	Int./Div.			Buy	04/05/16	J		o
92.					Buy (add'l)	04/07/16	J		o
93.					Buy (add'l)	04/11/16	J		o
94.					Buy (add'l)	04/12/16	J		o
95.					Sold	11/18/16	J		all sold loss
96. Johnson Controls (JCI)	A	Dividend	J	T	Buy (add'l)	09/06/16	J		o
97. Johnson & Johnson (JNJ)	A	Dividend	J	T					o
98. JPMORGAN (JPM)	A	Dividend	J	T					o
99. Juniper Networks (JNPR)	A	Dividend			Sold	09/13/16	J		all sold loss
100. Keycorp new (KEY)	A	Dividend	J	T					o
101. Kraft Heintz co (KHC)	A	Dividend	J	T	Buy	04/15/16	J		o
102. Kroger Co (KR)	A	Dividend	J	T					o

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103. Lazard Emerging Markets (EMBIX)	A	Dividend	J	T					o
104. Lazard Intl Strategic Equity Portfolio (LISIX)	A	Dividend	K	T	Sold (part)	02/24/16	J	A	o
105. LKCM Small-Mid Cap Equity (LKSMX)	A	Dividend			Sold	02/24/16	J		all sold loss
106.					Sold (part)	02/16/16	J	A	o
107. Lyondellbasell Industries (LYB)	A	Dividend	J	T	Buy	09/11/16	J		o
108. Maxim Integrated Products Inc (MXIM)(Y)									y value >1000
109. Medtronic PLC (MDT)	A	Dividend	J	T					o
110. Merck & Company (MRK)	A	Dividend	J	T					o
111. Metlife (MET)	A	Dividend			Sold	02/10/16	J	A	all sold
112. MFS Growth Fund (MFEIX)	A	Dividend	K	T					o
113. Micron Technology (MU)	A	Dividend			Sold	03/09/16	J		all sold loss
114. Microsoft Stock (MSFT)	B	Dividend	L	T					o
115. Morgan Stanley (MS)	A	Dividend	J	T	Buy (add'l)	02/26/16	J		o
116. Nashville School of Law IRA Unit Investment Trusts(	A	Dividend	J	T					o
117. NXP Semiconductor (NXPI)	A	Dividend	J	T					o
118. Occidental Petroleum Co (OXY)	A	Dividend	J	T					o
119. OHIO College Advantage 529 plan Black Rock Growth Portfolio (ALL GC)	A	Dividend	O	T					o

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Brown, Joe

Date of Report

08/28/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Omnicom Group (OMC)	A	Dividend	J	T					o
121. Oracle Corp Common (ORCL)	A	Dividend	J	T					o
122. PepsiCo Inc (PEP)	A	Dividend	J	T					o
123. Pfizer Inc (PFE)	A	Dividend	J	T					o
124. PPL Corp (PPL)	A	Dividend	J	T	Buy	06/24/16	J		o
125. Procter & Gamble Co (PG)	A	Dividend	J	T					o
126. Progressive Corp Ohio (PGR)	A	Dividend	J	T	Buy	06/24/16	J		o
127. Reynolds American Corp (RAL)	A	Dividend	J	T	Buy	02/08/16	J		o
128. Royal Caribbean Cruises (RCL)	A	Dividend	J	T					o
129. Schlumberger Limited (SLB)	A	Dividend	J	T					o
130. Schroder Emerging Markets (SEMNX)	A	Dividend	J	T					o
131. Signet Jewelers LTD (SIG)	A	Dividend			Sold	07/01/16	J		all sold loss
132. Southwest Airlines (LUV)	A	Dividend	J	T					o
133. Spirit Aerosystems Hldgs Inc (SPR)(X)	A	Dividend	J	T					over 1000/200
134. SPDR Barclays Municipal Bond ETF (TFI)	A	Int./Div.			Sold (part)	03/12/16	J	A	o
135.					Sold	04/26/16	J	A	all sold
136. State STR Corp (STT)	A	Dividend			Sold	09/29/16	J		all sold loss

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Synchrony Financial Com (SYF)	A	Dividend	J	T	Buy	06/14/16	J		o
138. Target Corp (TGT)	A	Dividend	J	T					o
139. Texas Instruments Inc (TXN)	A	Dividend	J	T					o
140. Thermo Fisher Scientific (TMO)	A	Dividend	J	T					o
141. TJX COS Inc (TJX)	A	Dividend	J	T					o
142. Tractor Supply (TSCO)	A	Dividend	K	T					o
143. TRW Automotive Holdings Corp (TRW)(Y)									o Value < 1000
144. Ultra Salon Cosmetics & Frag inc (ULTA)	A	Dividend	J	T	Buy	10/07/16	J		o
145. United Continental Holding Inc (UAL)(X)	A	Dividend	J	T					o
146. United Health Group (UNH)	A	Dividend	J	T					o
147. United Parcel Service (UPS)	A	Dividend	J	T					o
148. United Technologies Corp (UTX)	A	Dividend	J	T	Buy	03/04/16	J		o
149. USAA Agressive Grwth Fund (UIAGX) (Y)									Value under 1000 in 2014
150. USAA Emerging Markets (UIEMX)	A	Dividend	J	T	Sold (part)	10/06/16	J		part sold at loss
151. USAA High Income Fund (UIHIX)	A	Dividend			Sold	02/16/16	J		all sold loss
152. USAA Income Fund Institutional Shares (UIINX)	A	Dividend	J	T	Buy (add'l)	02/16/16	J		o
153. USAA Managed Allocation (UMAFX)	A	Int./Div.	J	T	Sold (part)	02/16/16	J		part sold at loss

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. USAA Precious Metals & Minerals Funds (UIPMX)	A	Int./Div.			Sold	02/24/16	J		all sold loss
155. USAA Real Return UIRRX)	A	Int./Div.			Sold	02/16/16	J		All sold loss
156. USAA Short Term Bond Fund (UISBX)	A	Int./Div.	J	T	Buy (add'l)	06/13/16	J		o
157. USAA S&P Index (USPRX)(X)	A	Dividend	J	T					Value under 1000 in 2015
158. USAA Tax Exempt Intermediate (USATX)	D	Int./Div.	M	T	Sold (part)	02/24/16	J	A	o includes line 160
159.					Buy (add'l)	10/06/16	J		o
160. USAA Tax Exempt Long Term (USTEX)									Combined with line 158
161. USAA Tax Exempt Short Term (USSTX)	A	Int./Div.	J	T	Sold (part)	02/24/16	K		part sold loss
162.					Buy (add'l)	10/06/16	J		o
163. USAA Tax-Exempt Market (USEXX)	A	Int./Div.	K	T	Sold (part)	02/17/16	J	A	o
164. USAA Bank Checking Accounts 1408, 6058	A	Int./Div.	L	T					o
165. Vanguard FTSE Europe ETF (VGK)	A	Int./Div.			Sold	02/22/16	J		all sold at loss
166. Vanguard Index Funds (VOO)	A	Dividend			Buy (add'l)	01/20/16	J		o
167.					Sold	02/22/16	J	A	all sold
168. Vanguard Intl Equity Index Fund (VWO)	A	Dividend	J	T	Buy	05/06/16	J		o
169. Verizon Communications (VZ)	A	Dividend	J	T					o
170. Victory Sycamore Established Values (VEVIX)	A	Dividend	K	T	Buy (add'l)	02/24/16	K		o

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	02/16/16	J		o
172.					Sold (part)	02/24/16	J	A	o
173. Virtus Quality Small Cap (PXQX)(Y)									Value went < 1000
174. Visa (V)	A	Dividend	J	T					o
175. VOYA Intermediate Bonds Class W (IIBWX)	A	Interest	J	T	Buy (add'l)	02/16/16	J		o
176. VWR Corp (VWR)(Y)									y value < 1000
177. Wal Mart Stock (WMT)	C	Dividend	L	T					o
178. Wells Fargo Stock (WFC)	A	Dividend	K	T					o
179. Wells Fargo CMA, Checking	A	Interest	J	T					o
180. Wells Fargo Sweep	A	Interest	J	T					o
181. 1/2 Interest Knox Brown Farms, Taylorsville, KY	A	Rent	N	W					o
182. 1/2 Interest Knox Brown Farms, Laporte, IN	F	Rent	P1	W					o
183. Fidelity Mutual Life Ins Co.	A	Interest	J	T					o
184. Mass Mutual Life Insurance Policies	B	Dividend	M	T					o
185. Peoples Bank Taylorsville Sav Act (Family Cemetery Trust)	A	Interest	J	T					o
186. REGIONS Bank, Nashville Checking	A	Interest	K	T					o
187. USAA Money Market(USAXX)	A	Int./Div.	J	T					Omitted in error

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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Brown, Joe

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII

Line 64. I purchased this 8/8/2016 for less than \$1000. I listed it so I could keep track of it for 2017 if it went above \$1000. I did not own in 2015

Line 108 I purchased this 11/18/2016 for less than \$1000. I listed it so I could keep track of it for 2017 if it went above \$1000. I did not own in 2015

Line 185. Cemetery trust assets .

line 119. This includes all funds for Grandchildren (GC) as custodial Part I lines 4-10. All shares in Ohio 529 plan and managed by Black Rock.

Line 158 and 160 combined 2016. Entries are correct as reported. As far as I can tell they were combined and there was no sale or purchase to report. Combined value on continuing fund is reported in Column B line 158.

Line 187 USAA Money Market was omitted by error from the first report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Joe Brown**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544