

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Duval, Stanwood R.	2. Court or Organization U. S. District Court, Eastern District of Louisiana	3. Date of Report 05/06/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address U. S. District Court 500 Poydras St., Rm. C-368 New Orleans, LA 70130		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Board Member	Covenant House, Non-Profit Organization
2.	Board Member	New Orleans Shakespeare Festival at Tulane, Non-Profit Organization
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Donald "Boysie" Bollinger	August 21-25, 2015	Argentina	Dove hunting	Travel, meals and lodging
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Parcel #2, Terrebonne Parish, LA		None	J	W					
2. Whitney Bank (Accounts)	A	Interest	M	T					
3. Judicial & Justice Fed. Credit Union (Accounts)	A	Interest	J	T					
4. Mineral Interest #1, Terrebonne Parish, LA	C	Rent	J	W					
5. Mineral Interest #2, Terrebonne Parish, LA		None	J	W					
6. IRA #1	C	Int./Div.	M	T					
7. -Wells Fargo Sweep Account									
8. -CAIFX					Sold	11/16/15	K		
9. -EAAIX					Sold	11/16/15	J		
10. -FSMXX					Sold	11/16/15	J		
11. -AGG					Sold	11/16/15	K	A	
12. -T					Sold	11/16/15	K	C	
13. -PCY					Sold	11/16/15	K	B	
14. -BND					Sold	11/16/15	J	A	
15. -FGD					Sold	11/16/15	J		
16. -DVY					Sold	11/16/15	J	C	
17. -DEM					Sold	11/16/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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18. - TLT					Sold	11/16/15	J		
19. - PIMSX					Sold	11/16/15	J		
20. - ALLY BANK CD					Buy	11/19/15	K		
21. - AMERICAN EXP CENT BK CD					Buy	11/19/15	K		
22. - GOLDMAN SACHS BK USA CDs					Buy	11/19/15	L		
23. IRA #2	A	Int./Div.	M	T					See Part VIII
24. -Blackrock Fundamental Growth					Sold	09/17/15	M	E	
25. -Blackrock Global Allocation C+					Sold	09/17/15	L	D	
26. -Blackrock Global Smallcap C+					Sold	09/17/15	L		
27. -Invesco Comstock Fund+Class C					Sold	09/17/15	L	E	
28. - Raymond James Citibank - Sweep Acct					Open	09/22/15	L		
29. - EFA					Buy	10/12/15	J		
30.					Buy (add'l)	11/12/15	J		
31.					Buy (add'l)	12/11/15	J		
32. - NOBL					Buy	10/12/15	J		
33.					Buy (add'l)	11/12/15	J		
34.					Buy (add'l)	12/11/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. - SDY					Buy	10/12/15	J		
36.					Buy (add'l)	11/12/15	J		
37.					Buy (add'l)	12/11/15	J		
38. - VIG					Buy	10/12/15	J		
39.					Buy (add'l)	11/12/15	J		
40.					Buy (add'l)	12/11/15	J		
41. - VWO					Buy	10/12/15	J		
42.					Buy (add'l)	11/12/15	J		
43.					Buy (add'l)	12/11/15	J		
44. Merrill Lynch CMA Account	A	Int./Div.			Closed	08/12/15	L		
45. -Blackrock Global Allocation FD Inc C					Sold	08/12/15	J		
46. Raymond James Bank Deposit Program	A	Int./Div.	N	T					See Part VIII
47. - Raymond James Citibank NA					Open	08/12/15	M		
48. - Raymond James Bank NA					Open	08/12/15	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART IV. REIMBURSEMENTS

Line 1 - Donald "Boysie" Bollinger is a lifelong friend of mine. I have always recused myself from any cases involving Donald Bollinger or any of his companies.

PART VII. INVESTMENTS AND TRUSTS

Line 23 - IRA #2 is the sole financial interest of my spouse, of which I do not derive or expect to derive a benefit.

Line 46 - Raymond James Bank Deposit Program is the sole financial interest of my spouse, of which I do not derive or expect to derive a benefit.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Stanwood R. Duval**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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