

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Levy, Robert M.	2. Court or Organization USDC EDNY	3. Date of Report 12/21/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 225 Cadman Plaza East Brooklyn, NY 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Adjunct Professor of Law	New York University School of Law
2. Adjunct Professor of Law	Brooklyn Law School
3. Lecturer in Law	Columbia Law School
4. Limited Partner	Fourlee Partnership
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. ongoing	ACLU Employee Retirement Plan
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	New York University School of Law	\$14,000.00
2. 2015	Columbia Law School	\$7,605.00
3. 2015	Brooklyn Law School	\$5,450.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase	credit card	K
2.	Citibank	credit card	J
3.	Mass Mutual	policy loan	J
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Mass Mutual Life Ins--MML Gr Allocn	A	Dividend	J	T					
2. Mass Mutual Life Ins	A	Dividend	K	T					
3. Mass Mutual Life Ins	A	Dividend	K	T					
4. Mass Mutual Life Ins	A	Dividend	J	T					
5. Mass Mutual Life Ins	B	Dividend	K	T					
6. Mass Mutual Life Ins	C	Dividend	L	T					
7. Mass Mutual Variable Life MMI Equity	A	Dividend	J	T					
8. Mass Mutual Life	A	Dividend	J	T					
9. Four Lee Limited Partnership	B	Int./Div.	L	T					
10. Deferred Compensation Plan	E	Dividend	O	T					
11. -Copeland Stable Income Fd	C	Dividend	J	T	Sold (part)	08/20/15	L	B	
12. -Fidelity OTC	E	Dividend	M	T					
13. -Vanguard Cap Oppr Adml	D	Dividend	L	T					
14. -Vanguard Prem Cap Adml	D	Dividend	L	T					
15. -TRowePrRetirement 2040	C	Dividend	L	T					
16. - WFA SmCap Val Inst	A	Dividend			Sold	10/31/15	L	B	
17. -Vanguard Inst Indx Inst Pls	C	Dividend	L	T	Buy	08/20/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Vanguard MdCap Indx Inst Pls	B	Dividend	K	T	Buy	08/20/15	K		
19. IRA: Stifel Nicolaus	B	Dividend	J	T					
20. First Trust Sabrient Div 9 Mo Ca	A	Dividend	J	T	Buy	04/10/15	J		
21. First Trust Equity Income Sel	A	Dividend	J	T					
22. Ameriprise	D	Dividend	M	T					
23. -Pimco Total Return	A	Dividend	J	T					
24. -CNL Properties (REIT)	C	Distribution	K	T					
25. -Eaton Vance Strategic Income A	A	Dividend	J	T					
26. Janus Bal A	A	Dividend	J	T					
27. AMG Yaktman	A	Dividend	J	T	Buy	01/05/15	J		
28. Fidelity Contrafund	A	Dividend	J	T	Buy	01/05/15	J		
29. Mut Global Discovery	A	Dividend	J	T					
30. -Fidelity Adv Small Cap-A	A	Dividend	J	T					
31. -Fid Adv Strat Income-A	A	Dividend	J	T					
32. First Trust Euro Deep Bal Sub10 Div 8	A	Dividend			Closed	06/25/15	J	A	
33. -Fid Adv New Insights	A	Dividend	J	T					
34. -Thorn Intl Val-A	A	Dividend	J	T	Sold (part)	05/07/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -1st Eagle Fund	A	Dividend	J	T					
36. -Doubleline Tot Ret Bd-N Doubleline Fds	A	Dividend	J	T					
37. -Franklin Income CL A	A	Dividend	J	T					
38. -Lord Abett Short Duration Income C1 A	A	Dividend	J	T					
39. -Trans America Short Term Bond	A	Dividend	J	T					
40. -Virtus Multi Sector Short Term Bond	A	Dividend			Sold	11/05/15	J	A	
41. Rental of Second Home, Suffolk County, NY	E	Rent	O	W					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 16: WFA was sold on 10/31/14, but sale was inadvertently omitted from 2014 FDR. I report it here.

Part VII, line 32: First Trust Euro Deep Val Sub10 Div8 was no longer in my portfolio as a result of a "return of capital" on 6/25/15.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Robert M. Levy**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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