

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) TRIMBLE, JR., JAMES T.	2. Court or Organization U S DISTRICT COURT FOR THE WESTERN DISTRICT OF LOUISIANA	3. Date of Report 11/19/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U S SENIOR DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address U S DISTRICT COURT FOR THE WESTERN DISTRICT OF LOUISIANA P O BOX 1031 ALEXANDRIA, LOUISIANA 71309		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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TRIMBLE, JR., JAMES T.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ADP	A	Dividend	J	T					
2. KO		None			Sold	03/04/14	J	A	
3. CREE		None	J	T	Sold (part)	12/29/14	J	A	
4. NYVTX		None	L	T					
5.	D	Dividend	K	T					
6.					Buy (add'l)	06/30/14	J		
7.					Buy (add'l)	08/14/14	J		
8.					Buy (add'l)	12/22/14	J		
9. DE	A	Dividend	K	T					
10.					Buy (add'l)	10/06/14	J		
11. DUR	A	Dividend			Sold	08/16/14	J	A	
12. KRFT	A	Dividend	J	T					
13. RTN	A	Dividend	J	T					
14. ETN	A	Dividend	J	T					
15. GSDTX	A	Dividend	K	T					
16. GSZAX	A	Dividend	J	T					
17.					Buy (add'l)	08/11/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. HTGC	B	Dividend	J	T					
19. AFPAX	B	Dividend	K	T					
20.					Sold (part)	03/07/14	J	A	
21.					Buy (add'l)	06/30/14	J		
22.					Buy (add'l)	08/08/14	J		
23.					Buy (add'l)	12/22/14	J		
24. AA	E	Dividend	J	T					
25.					Buy	03/31/14	J		
26.					Buy (add'l)	08/28/14	J		
27. COST	D	Dividend	J	T					
28.					Buy	10/13/14	J		
29. FLS	A	Dividend	J	T					
30.					Buy	03/04/14	J		
31. PVA		None	J	T					
32.					Buy	08/26/14	J		
33.					Buy (add'l)	10/06/14	J		
34.					Sold (part)	12/29/14	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. PWR		None	J	T	Buy	07/14/14	K		
36. RDS/A	A	Dividend	J	T					
37.					Buy	07/11/14	J		
38. WM	A	Dividend	J	T					
39.					Buy	10/13/14	J		
40. AMBA		None	K	T					
41.					Buy	07/14/14	J		
42. HCOAX	B	Dividend	K	T					
43.					Buy	09/16/14	K		
44.					Buy (add'l)	12/23/14	J		
45. FCSAX	C	Dividend	L	T					
46.					Buy (add'l)	01/24/14	J		
47.					Buy (add'l)	06/05/14	J		
48.					Buy (add'l)	07/03/14	J		
49.					Buy (add'l)	08/05/14	J		
50.					Buy (add'l)	08/08/14	J		
51.					Buy (add'l)	10/16/14	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52.					Sold (part)	08/16/14	J	A	
53.					Buy (add'l)	12/29/14	J		
54. FSGFX	B	Dividend	K	T					
55.					Buy (add'l)	07/03/14	J		
56.					Sold (part)	10/16/14	J	A	
57.					Sold (part)	12/05/14	J	A	
58. FVSAX	C	Dividend	K	T					
59.					Buy (add'l)	07/03/14	J		
60.					Sold (part)	10/16/14	J	A	
61. FSAMX	A	Dividend	J	T					
62. FUSOX	A	Dividend			Sold (part)	01/24/14	J	A	
63.					Sold (part)	08/05/14	J	A	
64.					Sold	08/08/14	J	A	
65. FSCFX	B	Dividend	K	T					
66.					Buy (add'l)	08/16/14	J		
67. FILEFX	B	Dividend	L	T					
68.					Buy (add'l)	01/24/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69.					Sold (part)	10/16/14	J	A	
70.					Buy (add'l)	12/29/14	J		
71. FTBFX	A	Dividend	J	T					
72. FAUDX	A	Dividend	J	T					
73.					Sold (part)	01/24/14	J	A	
74.					Buy (add'l)	07/16/14	J		
75.					Sold (part)	08/05/14	J	A	
76. FPIOX	A	Dividend	J	T					
77.					Sold (part)	06/30/14	J	A	
78. FPCIX	A	Dividend	K	T					
79.					Sold (part)	02/07/14	J	A	
80.					Buy (add'l)	03/06/14	J		
81.					Sold (part)	06/05/14	J	A	
82.					Sold (part)	10/16/14	J	A	
83.					Buy (add'l)	12/05/14	J		
84.					Sold (part)	12/11/14	J	A	
85. FNSXX	A	Dividend	J	T					

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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86. ARDNX	A	Dividend	J	T					
87. PTRAX	A	Dividend	J	T					
88. PSFAX	A	Dividend			Sold	01/24/14	J	A	
89. PTINX	A	Dividend	J	T					
90. BXMMX	A	Dividend	J	T					
91.					Buy (add'l)	12/11/14	J		
92. FSHBX	A	Dividend	J	T					
93. FASMXX	A	Dividend	J	T					
94.					Buy	06/02/14	J		
95. PFF	B	Dividend	K	T					
96. SLMCA	B	Dividend	K	T					
97.					Buy (add'l)	12/10/14	J		
98. FKUTX	A	Dividend	K	T					
99. TAGRX	A	Dividend	K	T					
100.					Buy (add'l)	07/16/14	J		
101. FAFTX	A	Dividend	K	T					
102. PRTNX	A	Dividend			Sold	09/29/14	K	A	

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103. CPHYX	B	Dividend	K	T					
104. FRDPX	A	Dividend	J	T					
105. IVV	A	Dividend	K	T					
106. FDAGX	B	Dividend	K	T					
107. FTR	A	Dividend	J	T					
108. VIG	A	Dividend	K	T					
109. VZ	B	Dividend	K	T					
110. CWGIX	A	Dividend	K	T					
111. SMCWX		None	K	T					
112. SGOVX	A	Dividend	K	T					
113.					Sold (part)	08/14/14	J	A	
114. FRDPX	C	Dividend	K	T					
115.					Buy (add'l)	12/29/14	J		
116. LDLFX	B	Dividend	K	T					
117.					Sold (part)	08/04/14	J	A	
118. PBSMX	A	Dividend	K	T					
119. BARKSDALE FEDERAL CREDIT UNION	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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120. CAPITAL ONE	A	Interest	J	T					
121. LPL FINANCIAL	A	Interest	J	T					
122. EXXON	A	Dividend	J	T					
123. FIDELITY MANAGEMENT	A	Interest	J	T					
124. STIFEL NICOLAUS	A	Interest	J	T					
125. RAINTREE ASSOCIATES GULFPORT, MS.	D	Rent	N	W					
126. U S SAVINGS BONDS SERIES EE	A	Interest	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

TRIMBLE, JR., JAMES T.

Date of Report

11/19/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In Part VII Line 19 AFPAX in the 2014 report is the same as (AM FIRST QUANT DEF GRW INC) Line 19 of the 2013 Report. Line 19= Line 19.

When I prepared the 2013 Report I failed to include the IRA investments. These are the investments listed on 107, 109-111, 115 and 116 on the 2014 Original Report.

Line 93 FASMC is a new investment. I inserted the purchase information on line 94.

I added the Raintree Associates and the US Saving Bonds to the bottom of the report.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

TRIMBLE, JR., JAMES T.

Date of Report

11/19/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ JAMES T. TRIMBLE, JR.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544