

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                          |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>Preston, C. Kathryn                                                                                                                  | <b>2. Court or Organization</b><br><br>Bankruptcy Court, S.D. Ohio                                                                                                                                                                                                                       | <b>3. Date of Report</b><br><br>05/14/2013                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>U.S. Bankruptcy Judge                                                         | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2012<br>to<br>12/31/2012 |
| <b>7. Chambers or Office Address</b><br><br>U.S. Bankruptcy Court<br>170 North High Street<br>Columbus, Ohio 43215                                                                                        |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

|    |  |  |
|----|--|--|
| 1. |  |  |
| 2. |  |  |
| 3. |  |  |
| 4. |  |  |
| 5. |  |  |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

|         |                                                                                                                                          |
|---------|------------------------------------------------------------------------------------------------------------------------------------------|
| 1. 1991 | Huntington Bancshares Retirement Plan - former Employer; no control - Opinion of Committee on Code of Conduct obtained. (See Part VIII). |
| 2.      |                                                                                                                                          |
| 3.      |                                                                                                                                          |

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|------------------------|----------------------------------------|
| 1.          |                        |                                        |
| 2.          |                        |                                        |
| 3.          |                        |                                        |
| 4.          |                        |                                        |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

| <u>DATE</u>   | <u>SOURCE AND TYPE</u>                                                                             |
|---------------|----------------------------------------------------------------------------------------------------|
| 1. Jan 2012   | City of Columbus, Ohio (salary and unused vacation, sick leave, compensatory time and holiday pay) |
| 2. Feb-Dec 12 | Ohio Police & Fire Pension Fund (retirement benefits)                                              |
| 3.            |                                                                                                    |
| 4.            |                                                                                                    |

**IV. REIMBURSEMENTS** *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

| <u>SOURCE</u>                                                        | <u>DATES</u>       | <u>LOCATION</u>   | <u>PURPOSE</u>            | <u>ITEMS PAID OR PROVIDED</u>           |
|----------------------------------------------------------------------|--------------------|-------------------|---------------------------|-----------------------------------------|
| 1. Midwest Regional Bankruptcy Seminar                               | August 16-18, 2012 | Cincinnati, Ohio  | CLE Speaker               | Transportation, Lodging, Meals          |
| 2. American Bankruptcy Institute, Central States Bankruptcy Workshop | June 7-10, 2012    | Traverse City, MI | CLE Speaker               | Transportation, Lodging, Meals, Tuition |
| 3. Columbus Bar Association Bankruptcy Law Institute                 | May 10 -11, 2012   | Columbus, OH      | Planning Committee Member | Tuition, Meals                          |
| 4.                                                                   |                    |                   |                           |                                         |
| 5.                                                                   |                    |                   |                           |                                         |

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

|    | <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|----|---------------|--------------------|--------------|
| 1. |               |                    |              |
| 2. |               |                    |              |
| 3. |               |                    |              |
| 4. |               |                    |              |
| 5. |               |                    |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

|    | <u>CREDITOR</u>          | <u>DESCRIPTION</u>                                                                      | <u>VALUE CODE</u> |
|----|--------------------------|-----------------------------------------------------------------------------------------|-------------------|
| 1. | Huntington National Bank | Mortgage on Rental Prop. #1, Cape Coral, FL. (Pt. VII, line 1)                          | M                 |
| 2. | Huntington National Bank | Second Mortgage/Personal Credit Line, Rental Prop. #1 Cape Coral, FL. (Pt. VII, line 1) | K                 |
| 3. |                          |                                                                                         |                   |
| 4. |                          |                                                                                         |                   |
| 5. |                          |                                                                                         |                   |

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Rental Property #1, Cape Coral, FL<br>(Purchased 2005 \$679,900)                                                         | D                                       | Rent                                          | O                                               | R                                         |                                                 |                         |                                 |                                |                                                                   |
| 2. Investment Property, N. Captiva Island, FL                                                                               |                                         | None                                          | N                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 3. Huntington Bank Accounts                                                                                                 | A                                       | Interest                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 4. Bank of America Accounts                                                                                                 | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 5. Fifth Third Bank Account                                                                                                 | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 6. CME Fed. Credit Union Accounts                                                                                           | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 7. Chase Bank Accounts                                                                                                      |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 8. Trust #1-income beneficiary                                                                                              | C                                       | Distribution                                  | N                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 9. -Ashland, Inc.                                                                                                           |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 10. -AT&T, Inc., f/k/a SBC Communications                                                                                   |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 11. -BRE Properties, Inc.                                                                                                   |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 12. -Chubb Corp.                                                                                                            |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 13. -Cimarix Energy Co.                                                                                                     |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 14. -Cisco Systems, Inc.                                                                                                    |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 15. -Conoco Philips                                                                                                         |                                         |                                               |                                                 |                                           |                                                 | 5/9/12                  | J                               |                                | Phillips 66 Spinoff                                               |
| 16. -Denison Mines Corp.                                                                                                    |                                         |                                               |                                                 |                                           |                                                 | 7/2/12                  | J                               |                                | Energy Fuels Spinoff                                              |
| 17. -Energy Fuels Inc.                                                                                                      |                                         |                                               |                                                 |                                           | Spinoff<br>(from line 16)                       | 7/2/12                  | J                               |                                | from Denison Mines<br>Corp.                                       |

|                                                  |                                                                                         |                                                        |                                                                                          |                                                              |                                                       |
|--------------------------------------------------|-----------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------------------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                         | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>I = More than \$5,000,000          | E = \$15,001 - \$50,000<br>J = More than \$50,000,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$250,000,001 - \$500,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                                                       |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                         | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                                                       |

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                       |  |  |  |  |                           |        |   |  |                               |
|-------------------------------------------------------|--|--|--|--|---------------------------|--------|---|--|-------------------------------|
| 18. -Dodge & Cox Int'l Stock Fund                     |  |  |  |  |                           |        |   |  |                               |
| 19. -EMC Corp.                                        |  |  |  |  |                           |        |   |  |                               |
| 20. -Fairpoint Communications, Inc. (Y) see Part VIII |  |  |  |  |                           |        |   |  |                               |
| 21. -Frontier Communications Corp.                    |  |  |  |  |                           |        |   |  |                               |
| 22. -General Dynamics Corp.                           |  |  |  |  |                           |        |   |  |                               |
| 23. - Greater China Fund Inc.com                      |  |  |  |  |                           |        |   |  |                               |
| 24. -Heartland Express, Inc.                          |  |  |  |  |                           |        |   |  |                               |
| 25. -Ishares S&P Latin America                        |  |  |  |  |                           |        |   |  |                               |
| 26. -M&T Bank Corporation                             |  |  |  |  |                           |        |   |  |                               |
| 27. -Marathon Oil                                     |  |  |  |  |                           |        |   |  |                               |
| 28. -Marathon Petroleum Corp.                         |  |  |  |  |                           |        |   |  |                               |
| 29. -Merck & Co., Inc.                                |  |  |  |  |                           |        |   |  |                               |
| 30. -Microsoft Corp.                                  |  |  |  |  |                           |        |   |  |                               |
| 31. -Phillips 66                                      |  |  |  |  | Spinoff<br>(from line 15) | 5/9/12 | J |  | Spinoff from Conoco<br>Philps |
| 32. -Raytheon Co.                                     |  |  |  |  |                           |        |   |  |                               |
| 33. -Southern Co.                                     |  |  |  |  |                           |        |   |  |                               |
| 34. -Tupperware Corp.                                 |  |  |  |  |                           |        |   |  |                               |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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| 35. -Verizon Communications                                                                                                 |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 36. -Walmart Stores, Inc.                                                                                                   |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 37. -Whirlpool Corp.                                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 38. -Wells Fargo Government Money Market Fund                                                                               |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 39. -Farm Property (127.92 acres) Jasper Co., IA                                                                            |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 40. Huntington Bancshares Pension Plan (See part VIII)                                                                      |                                         | None                                          | M                                               | V                                         |                                                 |                         |                                 |                                |                                                                   |
| 41. Hartford Life Ins. Policy                                                                                               |                                         | None                                          | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 42. - American Funds Ins. Asset Alloc. Fund                                                                                 |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 43. - American Funds Ins. Bond Fund                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 44. -American Funds Ins. Growth Income Fund                                                                                 |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 45. -Franklin Templeton Mutual Shares Security Fund                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 46. -Fidelity VIP Contra Fund Portfolio                                                                                     |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 47. -Fidelity VIP Mid Cap Portfolio                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 48. -Hartford HLS Int'l Sm Co Fund n/k/a HLS Int'l Opport. Fnd                                                              |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                | fund merger                                                       |
| 49. -Oppenheimer Global Securities Fund                                                                                     |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 50. -Van Kampen LIT Comstock Portfolio n/k/a INV VK Comstock                                                                |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                | name change                                                       |
| 51. Brokerage Account #1 (H)                                                                                                |                                         |                                               | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
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|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                               |   |           |   |   |             |          |   |   |  |
|-----------------------------------------------|---|-----------|---|---|-------------|----------|---|---|--|
| 52. - Exxon Mobile Corp. - common stock       | A | Dividend  | J | T |             |          |   |   |  |
| 53. -IBM                                      | A | Dividend  | J | T |             |          |   |   |  |
| 54. -Principle Financial Group, Inc. (Y)      | A | Dividend  |   |   | Sold        | 11/30/12 | J | D |  |
| 55. - Proctor & Gamble Co. - common stock     | A | Dividend  | J | T |             |          |   |   |  |
| 56. - United Technologies Corp.               | A | Dividend  | J | T |             |          |   |   |  |
| 57. Walt Disney Co.                           | A | Dividend  | J | T | Buy         | 12/6/12  | J |   |  |
| 58. -Fed OH Muni Income Fund                  | A | Int./Div. | J | T |             |          |   |   |  |
| 59. Brokerage Account #2 (H)                  |   |           | M | T |             |          |   |   |  |
| 60. - American Balanced Fund - Class A Bonds  | A | Dividend  | K | T |             |          |   |   |  |
| 61. -American Europacific Growth Fund Class A | A | Dividend  | K | T | Buy (add'l) | 1/9/12   | J |   |  |
| 62.                                           |   |           |   |   | Buy (add'l) | 2/9/12   | J |   |  |
| 63.                                           |   |           |   |   | Buy (add'l) | 3/8/12   | J |   |  |
| 64.                                           |   |           |   |   | Buy (add'l) | 4/10/12  | J |   |  |
| 65.                                           |   |           |   |   | Buy (add'l) | 5/9/12   | J |   |  |
| 66.                                           |   |           |   |   | Buy (add'l) | 6/7/12   | J |   |  |
| 67.                                           |   |           |   |   | Buy (add'l) | 7/10/12  | J |   |  |
| 68.                                           |   |           |   |   | Buy (add'l) | 8/9/12   | J |   |  |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Preston, C. Kathryn

Date of Report

05/14/2013

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period           |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)                  | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69.                                                                                                                         |                                                   |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 9/7/12                  | J                               |                                |                                                                   |
| 70.                                                                                                                         |                                                   |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/10/12                | J                               |                                |                                                                   |
| 71.                                                                                                                         |                                                   |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 11/8/12                 | J                               |                                |                                                                   |
| 72.                                                                                                                         |                                                   |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/7/12                 | J                               |                                |                                                                   |
| 73.                                                                                                                         | - American Growth Fund of America - Class A Bonds | A                                             | Dividend                                        | L                                         | T                                               |                         |                                 |                                |                                                                   |
| 74.                                                                                                                         | IRA # 1                                           | D                                             | Int./Div.                                       | N                                         | T                                               |                         |                                 |                                |                                                                   |
| 75.                                                                                                                         | -ABALX - Class A Bonds                            |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/4/12                 | J                               | A                              |                                                                   |
| 76.                                                                                                                         |                                                   |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/5/12                 | J                               |                                |                                                                   |
| 77.                                                                                                                         | -ABNDX - Class A Bonds                            |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 78.                                                                                                                         | -AEPGX - Class A Bonds                            |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 79.                                                                                                                         | -AGTHX Class A Bonds                              |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 80.                                                                                                                         |                                                   |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/5/12                 | J                               |                                |                                                                   |
| 81.                                                                                                                         | -AHITX - Class A Bonds                            |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 82.                                                                                                                         | -AIVSX - Class A Bonds                            |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/5/12                 | J                               |                                |                                                                   |
| 83.                                                                                                                         | -AMECX - Class A Bonds                            |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/5/12                 | J                               |                                |                                                                   |
| 84.                                                                                                                         | -CWGIX - Class A Bonds                            |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 85.                                                                                                                         | -NEWFX - Class A Bonds                            |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                                                                          |                                                                                                                                                            |                                                                                                                                                                                         |                                                                                                                                         |                         |
|--------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000<br>J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000<br>K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000<br>R = Cost (Real Estate Only)<br>V = Other | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000<br>L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000<br>S = Assessment<br>W = Estimated | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000<br>M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000<br>T = Cash Market | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        |                                                                                                                                          |                                                                                                                                                            |                                                                                                                                                                                         |                                                                                                                                         |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                                                                          |                                                                                                                                                            |                                                                                                                                                                                         |                                                                                                                                         |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Preston, C. Kathryn

Date of Report

05/14/2013

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 86. -SMCWX - Class A Bonds                                                                                                  |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 87. IRA # 2                                                                                                                 | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 88. - Dodge & Cox Bal. Fund                                                                                                 |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 89. - Hershey Foods Corp.                                                                                                   |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 90. Ohio Police & Fire Pension Fund (Y) see Part VIII                                                                       |                                         | None                                          | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 91. Ohio Police & Fire Pension Fund DROP                                                                                    | E                                       | Interest                                      | O                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 92. Ohio Public Employees Deferred Comp. Prog. (                                                                            | D                                       | Dividend                                      | N                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 93. - Dodge & Cox Balanced Fund                                                                                             |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 94. - Dodge & Cox Stock                                                                                                     |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 95. - Fidelity Contra Fund                                                                                                  |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 96. -Fidelity Growth Co.                                                                                                    |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 97. - FPA Capital Fund                                                                                                      |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 98. - PIMCO Total Return Fund                                                                                               |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 99. - Vanguard Inst. Index Fund                                                                                             |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 100. - Vanguard Capital Opportunity Fund                                                                                    |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 101. Investment Accounts (as Custodian for                                                                                  | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 102. - CAIBX                                                                                                                |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

Preston, C. Kathryn

Date of Report

05/14/2013

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 103. WG Holding Co., LLC                                                                                                    |                                         | None                                          | K                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 104. Rum Cove, LLC                                                                                                          |                                         | None                                          | N                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 105. -Rental prop N.Captiva Isl, FL(purchased<br>2011 \$350,000)                                                            |                                         |                                               | O                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 106. -Personal property attendant to rental<br>property                                                                     |                                         |                                               | K                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|--------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A ~\$1,000 or less<br>F ~\$50,001 - \$100,000                                      | B ~\$1,001 - \$2,500<br>G ~\$100,001 - \$1,000,000   | C ~\$2,501 - \$5,000<br>H1 ~\$1,000,001 - \$5,000,000                                  | D ~\$5,001 - \$15,000<br>H2 ~More than \$5,000,000         | E ~\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J ~\$15,000 or less<br>N ~\$250,001 - \$500,000<br>P3 ~\$25,000,001 - \$50,000,000 | K ~\$15,001 - \$50,000<br>O ~\$500,001 - \$1,000,000 | L ~\$50,001 - \$100,000<br>P1 ~\$1,000,001 - \$5,000,000<br>P4 ~More than \$50,000,000 | M ~\$100,001 - \$250,000<br>P2 ~\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                    | R = Cost (Real Estate Only)<br>V = Other             | S = Assessment<br>W = Estimated                                                        | T = Cash Market                                            |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Preston, C. Kathryn

Date of Report

05/14/2013

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part II Line 1 - Retirement plan of former employer; I have no control; I will receive retirement annuity upon reaching retirement age. I obtained the opinion of the Committee on Codes of Conduct on 9-27-06; They found that a conflict exists and directed that in actions involving Huntington (1) the parties must be notified of the conflict and that I am disqualified from presiding, and (2) the parties may be offered an opportunity to waive the conflict.

Part VII Line 40 - The value of my interest in the Huntington Bancshares Retirement Plan is determined by calculation of the present value of the anticipated future benefits. The calculation is performed by Huntington or its administrative service provider.

Part VII line 20- This asset has been declared worthless by the Trust and will not appear on future reports.

Part VII Line 90- To assure full and complete disclosure, Ohio Police and Fire Pension Fund has been listed in this and previous reports. Filer's [REDACTED] is now receiving benefits (see Part III) and this will be deleted from future reports in as much as it is not in actuality an asset. Benefits cease upon [REDACTED] demise. Filer has no interest in the fund.

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Name of Person Reporting

Preston, C. Kathryn

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ C. Kathryn Preston**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544