

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Stapleton, Walter K.	2. Court or Organization U.S. Court of Appeals for the Third Circuit	3. Date of Report 06/10/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address Federal Building 844 King Street - Lockbox 33 Wilmington, DE 19801		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2015

Chester County Country Properties (real estate brokerage partnership)

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Baxter Int'l Com.	A	Dividend	K	T					
2. Conoco Phillips Com.	B	Dividend	K	T					
3. J&J Com.	A	Dividend	K	T					
4. Marathon Oil Corp. Com.	A	Dividend	K	T					
5. Pepsico Com.	B	Dividend	K	T					
6. Kimberly Clark Com.	B	Dividend	L	T					
7. Southern Co. Com.	B	Dividend	K	T					
8. Exxon Mobil	B	Dividend	K	T					
9. 3M Corp.	B	Dividend	L	T					
10. SEI Tax Exempt Trust Account	A	Dividend	L	T					
11. Chubb Com.	A	Dividend	L	T					
12. Emerson Elec. Com.	B	Dividend	K	T					
13. Acorn II LP (*org. inv. Ponzi scheme; present value unknown)		None							
14. Clarco Inc.	A	Dividend	K	T					
15. J.M.Schmucker Co.	B	Dividend	K	T					
16. Vanguard FTSE All World ex. U.S.	A	Dividend	K	T					
17. IShares MSCI Pac. ex. Japan	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. AT&T	B	Dividend	K	T					
19. Bar Hrb Bankshares	B	Dividend	K	T					
20. Nat'l GRI-ADR	B	Dividend	K	T					
21. Teva Phar. Ind.	A	Dividend	K	T					
22. Roche Hldg. Ltd.	A	Dividend	K	T					
23. Microsoft Corp.	B	Dividend	L	T					
24. Schlumberger Ltd.	A	Dividend	K	T					
25. Sigma Aldrich Corp.	A	Dividend			Sold	11/18/15	L	E	
26. Stericycle		None	K	T					
27. Microsoft Corp.	B	Dividend	L	T					
28. Ishares Pac. ex. Japan	B	Dividend	K	T					
29. Spectra Energy Corp.	B	Dividend	K	T					
30. Wallingford-Swart PA	A	Interest	K	T					
31. Warwick PA Sch.	B	Interest	M	T					
32. IShares Dow Jones Select	A	Dividend	K	T					
33. Duke Energy	B	Dividend	K	T					
34. Royal Dutch ADR	A	Dividend	K	T					

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35. Cincinnati Financial	B	Dividend	K	T					
36. IPG Photonics		None	J	T					
37. BCE	B	Dividend	K	T					
38. Erie Indemnity	B	Dividend	K	T					
39. General Mills	B	Dividend	K	T					
40. Royal Dutch ADR	B	Dividend			Sold	12/29/15	K	A	
41. Schlumberger	A	Dividend	J	T					
42. Cincinnati Financial	A	Dividend	J	T					
43. Erie Indemnity	B	Dividend	J	T					
44. Conoco Phillips	B	Dividend	K	T					
45. Royal Dutch ADR	B	Dividend	J	T					
46. Novartis AG ADR	A	Dividend	K	T					
47. RBC Bearings		None	J	T					
48. IPG Photonics		None	J	T					
49. Alerian MLP EJF	A	Dividend	J	T					
50. AT&T	B	Dividend	K	T					
51. BCE	B	Dividend	K	T					

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52.									
53. Buckeye Partners	D	Interest			Sold	05/06/15	K	F	
54. Medtronic PLC SHS	B	Interest			Sold	05/06/15	K	B	
55. Lower Allen TWP PA	B	Interest			Sold	05/15/15	L	A	
56. Davis Co. Utah Sch. Dist.	B	Interest	L	T	Buy	05/12/15	L		
57. South Carolina St.	B	Interest	L	T	Buy	05/12/15	L		
58. Unionville PA Sch. Dist.2021	B	Interest			Redeemed	06/01/15	L	A	
59. Unionville Sch. Dist. 2024	A	Interest			Redeemed	06/01/15	K	A	
60. Neshaminy PA Sch. Dist.	A	Interest			Sold	06/02/15	J	A	
61. Irvington NY Sch. Dist.	B	Interest	L	T	Buy	06/09/15	L		
62. Monroeville PA	A	Interest			Redeemed	06/12/15	K	A	
63. Cedar Rapids Iowa Swr.	B	Interest	L	T	Buy	06/02/15	L		
64. West Fargo ND	C	Interest	L	T	Buy	06/23/15	L		
65. Utah St. Univ.	C	Interest	L	T	Buy	07/08/15	L		
66. Maryland St. Loc.	A	Interest	K	T	Buy	07/31/15	K		
67. Clint TX Sch. Dist.	A	Interest	K	T	Buy	07/28/15	K		
68. Pine Grove PA Sch. Dist	A	Interest			Redeemed	08/17/15	K	A	

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69. North Pocono PA Sch. Dist.	C	Interest			Redeemed	09/16/15	M	A	
70. VA State Res.	C	Interest	L	T	Buy	09/23/15	L		
71. Pierce Co. WA sewer	A	Interest	K	T	Buy	10/14/15	K		
72. Florida St. Tpk. Auth.	B	Interest	K	T	Buy	10/09/15	K		
73. West Chester PA Sch. Dist.	A	Interest			Redeemed	11/16/15	K		
74. Northwest Harris Co. TX	A	Interest	K	T	Buy	12/07/15	K		
75.									
76. Warwick Co. PA Sch. Dist.	C	Interest			Sold	02/17/15	L	A	
77. Nuveen Perf Pls Muni	B	Interest			Sold	05/04/15	K	A	
78. Spectra Energy Corp.	A	Dividend			Sold	06/05/15	K	D	
79. JM Smucker	B	Dividend			Sold	06/09/15	K	E	
80. Schlumberger Ltd.	A	Dividend			Sold	06/19/15	K	B	
81. Novartis	A	Dividend			Sold	06/29/15	K	D	
82. Microsoft	A	Dividend			Sold	07/07/15	K	D	
83. Barharbor Bankshares	A	Dividend			Sold	07/28/15	K	D	
84. 3M Company	B	Dividend			Sold	08/24/15	L	E	
85. Colgate Palmolive	B	Dividend			Sold	08/24/15	L	E	

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86. Johnson & Johnson	B	Dividend			Sold	08/24/15	K	E	
87. Merck & Co.	B	Dividend			Sold	08/24/15	K	E	
88. Merck & Co.	B	Dividend			Sold	08/24/15	K	E	
89. Stericycle	A	Dividend			Sold	10/23/15	L	E	
90.									
91. Amex Tech Select SPDR	A	Dividend	J	T	Buy	08/04/15	J		
92. EGA Beyond Brics ETF	A	Dividend	J	T	Buy	08/04/15	J		
93. Guggenheim S&P Eq. Wt. Tech. ETF	A	Dividend	J	T	Buy	08/04/15	J		
94. IShares Core High Div. ETF	A	Dividend	J	T	Buy	08/04/15	J		
95. IShares Core S&P Small Cap. ETF	A	Dividend	J	T	Buy	08/04/15	J		
96. IShares S&P Global	A	Dividend	J	T	Buy	08/04/15	J		
97. IShares Transportation	A	Dividend	J	T	Buy	08/04/15	J		
98. IShares Trust SHS Ishare MSCI Emerging	A	Dividend	J	T	Buy	08/04/15	J		
99. ISHARES us Consmer Sersv ETF	A	Dividend	J	T	Buy	08/04/15	J		
100. Ishares US Healthcare	A	Dividend	J	T	Buy	08/04/15	J		
101. Ishares U.S. Industrials ETF	A	Dividend	J	T	Buy	08/04/15	J		
102. Ishares US Med. Devices ETF	A	Dividend	J	T	Buy	08/04/15	J		

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103. Ishares US Aerospace ETF	A	Dividend	J	T	Buy	08/04/15	J		
104. Materials Sel. Sector SPDR Fund	A	Dividend	J	T	Buy	08/04/15	J		
105. Sector SPDR Consumers STPL	A	Dividend	J	T	Buy	08/04/15	J		
106. Sector SPDR Energy	A	Dividend	J	T	Buy	08/04/15	J		
107. Sector SPDR Financial	A	Dividend	J	T	Buy	08/04/15	J		
108. SPDR KBW Reg. Banking ETF	A	Dividend	J	T	Buy	08/04/15	J		
109. SPDR S&P 500 ETF	A	Dividend	J	T	Buy	08/04/15	J		
110. SPDR S&P Midcap 400 ETF	A	Dividend	J	T	Buy	08/04/15	J		
111. Vanguard Div. App. ETF	A	Dividend	J	T	Buy	08/04/15	J		
112. Vanguard FTSE Dev. Mkts. ETF	A	Dividend	J	T	Buy	08/04/15	J		
113. Vanguard Mega Cap 300	A	Dividend	J	T	Buy	08/04/15	J		
114. Vanguard REIT ETF	A	Dividend	J	T	Buy	08/04/15	J		
115. Vanguard Total Stk Mkt ETF	A	Dividend	J	T	Buy	08/04/15	J		
116. Wisdomtree Eup. Hedged Equity Fund	A	Dividend	J	T	Buy	08/04/15	J		
117. Wisdomtree Trust Jap. Hdgd Eq. Fund	A	Dividend	J	T	Buy	08/04/15	J		
118. Alps Alerian MLP ETF	B	Dividend			Sold	08/04/15	K	A	
119. Berkshire Hathaway DEL CL B VSP		None			Sold	08/04/15	J	C	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Ishares Sel. Div. ETF	B	Dividend			Sold	08/04/15	L	A	
121. Ishares Sel. Div. ETF	B	Dividend			Sold	08/04/15	L	A	
122. Alps Alerian MLP ETF	B	Dividend	K	T	Buy	08/11/15	K		
123. Amex Tech. Sel. SPDR	A	Dividend	J	T	Buy	08/11/15	J		
124. Berkshire Hathaway Del Cl B	A	Dividend	J	T	Buy	08/11/15	J		
125. EGA Beyond Brics ETF	A	Dividend	J	T	Buy	08/11/15	J		
126. Guggenheim S&P 500 Eq. Wt. Tech. ETF	A	Dividend	J	T	Buy	08/11/15	J		
127. IShares Core High Div. ETF	A	Dividend	J	T	Buy	08/11/15	J		
128. IShares Core S&P Sm. Cap. ETF	A	Dividend	J	T	Buy	08/11/15	J		
129. IShares S&P Global	A	Dividend	J	T	Buy	08/11/15	J		
130. IShares Transportation	A	Dividend	J	T	Buy	08/11/15	J		
131. IShares Trust SHS Ishare Emerging	A	Dividend	J	T	Buy	08/11/15	J		
132. IShares US Consumer Servs ETF	A	Dividend	J	T	Buy	08/11/15	J		
133. IShares US Healthcare	A	Dividend	J	T	Buy	08/11/15	J		
134. IShares US Industrials ETF	A	Dividend	J	T	Buy	08/11/15	J		
135. IShares US Med. Devices ETF	A	Dividend	J	T	Buy	08/11/15	J		
136. IShares US Aerospace ETF	A	Dividend	J	T	Buy	08/11/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Stapleton, Walter K.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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137. Materials Sel. Sector SPDR Fund	A	Dividend	J	T	Buy	08/11/15	J		
138. Sector SPDR Consrms STPL	A	Dividend	J	T	Buy	08/11/15	J		
139. Sector SPDR Energy	A	Dividend	J	T	Buy	08/11/15	J		
140. Sector SPDR Financial	A	Dividend	J	T	Buy	08/11/15	J		
141. SPDR KBW Reg. Banking ETF	A	Dividend	J	T	Buy	08/11/15	J		
142. SPDR S&P 500 ETF Trust	A	Dividend	J	T	Buy	08/11/15	J		
143. SPDR S&P Mid Cap 400 ETF Trust	A	Dividend	J	T	Buy	08/11/15	J		
144. Vanguard Div. App. ETF	A	Dividend	J	T	Buy	08/11/15	J		
145. Vanguard FTSE Dev. Markets ETF	A	Dividend	J	T	Buy	08/11/15	J		
146. Vanguard Mega Cap 300 Growth	A	Dividend	J	T	Buy	08/11/15	J		
147. Vanguard REIT ETF	A	Dividend	J	T	Buy	08/11/15	J		
148. Vanguard Tot. Stk Mkt ETF	A	Dividend	J	T	Buy	08/11/15	J		
149. Wisdomtree Eur. Hgd Equity Fund	A	Dividend	J	T	Buy	08/11/15	J		
150. Wisdomtree Trust Japan Hgd. Eq. Fund	A	Dividend	J	T	Buy	08/11/15	J		
151. Alps Alerian MLP ETF	A	Dividend	J	T	Buy	09/09/15	J		
152. Amex Tech. Select SPDR	A	Dividend	J	T	Buy	09/09/15	J		
153. Berkshire Hathaway Del CL B	A	Dividend	J	T	Buy	09/09/15	J		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. EGA Beyond Brics ETF	A	Dividend	J	T	Buy	09/09/15	J		
155. Guggenheim S&P 500 Equal Wt. Tech. ETF	A	Dividend	J	T	Buy	09/09/15	J		
156. IShares Core High Div. ETF	A	Dividend	J	T	Buy	09/09/15	J		
157. IShares Core S&P Sm. Cap. ETF	A	Dividend	J	T	Buy	09/09/15	J		
158. IShares S&P Global	A	Dividend	J	T	Buy	09/09/15	J		
159. IShares Transportation	A	Dividend	J	T	Buy	09/09/15	J		
160. IShares Trust SJS IShare MSCI Emerg.	A	Dividend	J	T	Buy	09/09/15	J		
161. IShares US Consmr Services ETF	A	Dividend	J	T	Buy	09/09/15	J		
162. IShares US Healthcare	A	Dividend	J	T	Buy	09/09/15	J		
163. IShares US Industrials ETF	A	Dividend	J	T	Buy	09/09/15	J		
164. IShares US Med. Devices ETF	A	Dividend	J	T	Buy	09/09/15	J		
165. IShares US Aerospace ETF	A	Dividend	J	T	Buy	09/09/15	J		
166. Materials Select Sector SPDR Fund	A	Dividend	J	T	Buy	09/09/15	J		
167. Sector SPDR Consmrs STPL	A	Dividend	J	T	Buy	09/09/15	J		
168. Sector SPDR Energy	A	Dividend	J	T	Buy	09/09/15	J		
169. Sector SPDR Financial	A	Dividend	J	T	Buy	09/09/15	J		
170. SPDR KBW Reg. Bank. ETF	A	Dividend	J	T	Buy	09/09/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. SPDR S&P 500 ETF	A	Dividend	J	T	Buy	09/09/15	J		
172. SPDR S&P Mid. Cap. 400 ETF	A	Dividend	J	T	Buy	09/09/15	J		
173. Vanguard Div. App. ETF	A	Dividend	J	T	Buy	09/09/15	J		
174. Vanguard FTSE Dev. Mkts ETF	A	Dividend	J	T	Buy	09/09/15	J		
175. Vanguard Mega Cap. 300 Growth	A	Dividend	J	T	Buy	09/09/15	J		
176. Vanguard REIT ETF	A	Dividend	J	T	Buy	09/09/15	J		
177. Vanguard Total Stk. Mkt. ETF	A	Dividend	J	T	Buy	09/09/15	J		
178. Wisdomtree Eur. Hdgd Equity Fund	A	Dividend	J	T	Buy	09/09/15	J		
179. Wisdomtree Trust Japan Hdgd Eq. Fund	A	Dividend	J	T	Buy	09/09/15	J		
180. iShares Nasdaq Biotech ETF	A	Dividend			Sold	09/09/15	L	A	
181. Warwick PA School Bond	C	Interest			Redeemed	02/17/15	M	A	
182.									
183. Nuveen Perform. Plus. Muni.	B	Interest	K	T					
184. Buckeye Partners	D	Interest	M	T					
185. Johnson & Johnson Com.	A	Dividend	K	T					
186. Merck & Co. Com.	B	Dividend	K	T					
187. Real Estate Chester Co. PA (App.Date 6/30/11; \$950,000)		None	O	Q					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Star Bancorp.	A	Dividend	L	T					
189. PA-exempt Mun. Bd.	B	Interest	L	T					
190. Powershares Exch.	A	Interest	J	T					
191. Berkshire Hathaway, Inc.		None	K	T					
192. iShares Nasdaq Biotech	A	Dividend	K	T					
193. Natixis ASG Global	C	Dividend	L	T					
194.									
195. IRA	E	Int./Div.	O	T					
196. - iShares Select					Sold	05/01/15	L	E	
197. - iShares US Medical					Sold	05/01/15	K	D	
198. - StreetTracks Gold Trust					Sold	05/01/15	K	E	
199. - iShares S&P Mid. Cap.									
200. - iShares DJ US Util.					Sold	05/01/15	K	B	
201. - iShares US Healthcare					Sold	05/01/15	L	E	
202. - iShares Trust-Dow J Sel. Div.									
203. - iShares T NASDAQ					Sold	08/22/15	K	D	
204. - Plum Creek Timber Co.					Sold	05/01/15	K	D	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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205. - ML Bank USA RASP account									
206. - Sector SPDR Consumers					Sold	05/01/15	J	D	
207. - Retirement Res. CLI account									
208. - Berkshire Hathaway Inc.									
209. - IShares Tr. Dow US									
210. - Powershares Global Water					Sold	05/01/15	J	A	
211. - IShares DJ US Oil & Gas					Sold	05/01/15	J	A	
212. - ALPS Alerian					Sold	05/01/15	J	A	
213. - IShares MSCI Mexico					Sold	05/01/15	J	A	
214. - Guggenheim S&P500 Eq. Wtd. ETF					Buy	05/01/15	K		
215. - Guggenheim S&P500 Eq. Wtd. ETF					Sold	05/15/15	K	A	
216. - IShares Trans. Ave									
217. - Vanguard MSCI Eur. ETF					Sold	05/01/15	J		
218. - AMEX Tech. SPD									
219. - Gotham Abs. Ret. Fd									
220. - IShares MSCI Emg.									
221. - Vanguard Div. App. ETF									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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222. - Vanguard FTSE Dev. Mkts ETF									
223. - iShares Core S&P Small Cap ETF					Sold	05/01/15	K	E	
224. - SPDR KBW Reg. Bank ETF					Sold	05/01/15	J	A	
225. - Materials Sel. Sector SPDR Fund									
226. - Sector SPDR Energy									
227. - Sector SPDR Financial									
228. - iShares MSCI Mex. Capped					Sold	05/01/15	J	A	
229. - Vanguard MSCI Eur. ETF					Sold	05/06/15	J	A	
230. - Amex Tech Selct SPDR					Buy	05/01/15	K		
231. - Berkshire Hathaway Del C1 B					Buy	05/01/15	J		
232. - EGA Beyond Bricks ETF					Buy	05/01/15	J		
233. - Guggenheim S&P Equal Weight Tech. ETF					Buy	05/01/15	K		
234. - iShares Core High Div. ETF					Buy	05/01/15	K		
235. - iShares S&P Global					Buy	05/01/15	K		
236. - iShares U.S. Consumer Servs ETF					Buy	05/01/15	J		
237. - iShares U.S. Telecoms ETF					Buy	05/01/15	J		
238. - iShares U.S. Aerospace ETF					Buy	05/01/15	J		

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239. - Sector SPDR Energy					Buy	05/01/15	J		
240. - SPDR S&P Midcap 400 ETF Trust					Buy	05/01/15	J		
241. - Vanguard Div. App. ETF					Buy	05/01/15	K		
242. - Vanguard FTSE Dev. Mkts ETF					Buy	05/01/15	K		
243. - Vanguard Mega Cap 300 Growth					Buy	05/01/15	K		
244. - Vanguard REIT ETF					Buy	05/01/15	K		
245. - Vanguard Total Stk. Mkt. ETF					Buy	05/01/15	K		
246. - Wisdomtree Europe Hedged Equity Fund					Buy	05/01/15	K		
247. - Wisdomtree Trust Jap. Hedged Equity Fd					Buy	05/01/15	K		
248. - SPDR S&P 500 ETF Trust					Buy	10/09/15	K		
249. - Alps Alerian MLP ETF					Sold	05/01/15	K	D	
250. - iShares Core S&P Mid Cap ETF					Sold	05/01/15	K	E	
251. - iShares MSCI Mex. Capped					Sold	05/01/15	J	A	
252. - iShares Nasdaq Biotech ETF					Sold	05/01/15	L	F	
253. - iShares Select Div. ETF					Sold	05/01/15	L	E	
254. - iShares US Healthcare					Sold	05/01/15	L	E	
255. - iShares US Med Devices ETF					Sold	05/01/15	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Stapleton, Walter K.

Date of Report

06/10/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. - IShares US Oil & Gas Exp. & Prod.					Sold	05/01/15	J	C	
257. - IShares US Utilities					Sold	05/01/15	K	D	
258. - Plum Creek Timber Co.					Sold	05/01/15	K	C	
259. - Powershares Global Water Portfolio					Sold	05/01/15	K	B	
260. - Sector SPDR Constrs Stpl					Sold	05/01/15	K	E	
261. - SPDR Gold Trust					Sold	05/01/15	K	E	
262. - SPDR KBW Reg. Bank. ETF					Sold	05/01/15	J	A	
263. - Vanguard MSCI Eur. ETF					Sold	05/01/15	J	A	
264. - IShares Nasdaq Biotech ETF					Sold	08/24/15	L	F	
265. - IShares US Healthcare					Sold	08/24/15	L	E	
266. Ches. Co. Country Properties SEP -	E	Int./Div.	O	T					
267. - Berkshire Hathaway, Inc									
268. - Templeton Global Total					Sold	02/22/15	L	A	
269. - US Treas. Inflation Note 1/15/15					Redeemed	01/15/15	K	A	
270. - ML Bank USA RASP account									
271. - US Treas. Inflation Note 1/15/20									
272. - BAC Mitts Ind. 1/29/16									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Stapleton, Walter K.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. - US Treas. Inflation Note 7/15/20									
274. - U.S. Treas. Inflation Note 1/15/18									
275. - Natixis ASG Global									
276. - Vanguard Total St. Mk.									
277. - iShares Core High Div. ETF									
278. - Guggenheim S&P 500 Equal Wt. Tech. ETF									
279. - Vanguard Div. APP. ETF									
280. - Advisory Research MLP & Ener. Inc. Fd CLC									
281. - PMF TEI Fund Ip					Sold	02/11/15	L	A	
282. - iShares S&P Global 100									
283. - Vanguard MSCI European ETF									
284. - Mainstay Marketfield Fund CLC					Sold	02/12/15	L	A	
285. - Vanguard Total Stk Mkt ETF									
286. - Vanguard MSCI Eur. ETF									
287. - Vanguard Div. App. ETF									
288. - Vanguard FTSE Dev. Markets ETF									
289. - Vanguard Total Stk. Mkt. ETF									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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Name of Person Reporting

Stapleton, Walter K.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.									
291. - ALPS Alerian MLP ETF					Buy	02/12/15	K		
292. - Vanguard Mega Cap 300 Growth					Buy	02/12/15	K		
293. - Vanguard REIT ETF					Buy	02/12/15	K		
294. - Wisdomtree Europe Hedged Equity Fund					Buy	03/03/15	K		
295. - Wisdomtree Trust Japan Hedged Eq. Fd.					Buy	03/03/15	K		
296. - iShares Core High Div. ETF					Buy	03/26/15	K		
297. - Vanguard Mega Cap Growth					Buy	03/26/15	K		
298.									
299. T. Rowe Price Sci.&Tech.Fd.	C	Dividend	K	T					
300. Exxon Com.	A	Dividend	J	T					
301. Acorn II LP (org. inv. Ponzi scheme; present value unknown)		None							
302. SEI Tax Exempt Trust account	A	Dividend	J	T					
303. Kimberly Clark Com.	B	Dividend	K	T					
304. Cincinnati Fin. Corp. Com.	B	Dividend	K	T					
305. SEI Investments Co.	A	Dividend	J	T					
306. Stericycle, Inc.		None	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Stapleton, Walter K.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

307. Graco Inc.	A	Dividend	K	T					
308. Pine Grove Sch. Bd.	A	Interest	K	T					
309. AT&T Inc.	B	Dividend	K	T					
310. Spectra Energy Corp.	A	Dividend	K	T					
311. BP PLC Spons ADR	A	Dividend	J	T					
312. iShares MSCI Pac. ex. Japan	A	Dividend	K	T					
313. Bar Harbor Bankshares	A	Dividend	K	T					
314. CMA PA Mun. account	B	Interest	M	T					
315. Warwick PA Sch. Dist. Bd.	C	Interest	M	T					
316. N. Pocono Sch. Dist. Bd.	C	Interest	M	T					
317. Council Rock Sch. Dist. Bd.	B	Interest	L	T					
318. PA St. First	B	Interest	L	T					
319. Monroeville PA Bond	A	Interest			Redeemed	06/12/15	K	A	
320. Unionville-Chadds Ford Bd	B	Interest			Redeemed	06/01/15	L	A	
321. Bloomsberg Area Sch. Dist.	B	Interest	L	T					
322. Towanda Area Sch. Dist.	A	Interest	K	T					
323. Westmoreland PA Mun.	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Stapleton, Walter K.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

324. Ringgold PA Sch. Dist.	B	Interest	L	T					
325. Lower Allen PA Bd	B	Interest			Redeemed	05/15/15	L	A	
326. Unionville-Chadds Ford Bd	A	Interest			Redeemed	06/01/15	L	A	
327. Covidien		None	K	T					
328. Nat'l GRI-ADR		None	K	T					
329. Wisdomtree Emer. Mkts	A	Dividend	K	T					
330. Novartis AG	A	Dividend	K	T					
331. Microsoft Corp.	A	Dividend	K	T					
332. Schlumberger Ltd.	A	Dividend	K	T					
333. Sigma Aldrich	A	Dividend	K	T					
334. Stericycle		None	K	T					
335. Kinder Morgan Energy	B	Dividend	K	T					
336. CMA PA Municipal account	B	Interest	L	T					
337. Del. Valley Sch. Dist. Bd.	A	Interest	K	T					
338. Nashaminy Sch. Dist.	A	Interest	J	T					
339. Pa. TPK CML OIL	B	Interest	L	T					
340. Pa. St. Bond	B	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Stapleton, Walter K.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341. Spring-Ford Pa. Bd.	A	Interest	J	T					
342. Pa. State Bd.	A	Interest	L	T					
343. Vanguard Emer. Mkts ETF	A	Dividend	K	T					
344. Vanguard FTSE All-World Ex. US	A	Dividend	K	T					
345. SPDR SP Bric 40	A	Dividend	J	T					
346. Vanguard All World Ex. US	A	Dividend	K	T					
347. Vanguard Emer. Mkts ETF	A	Dividend	K	T					
348. Alps Alerian MLP ETF	A	Dividend	K	T					
349. Mechanicsburg Pa Bd.	A	Interest	K	T					
350. Chester Co. Pa Bd.	A	Interest	K	T					
351. Real Estate Chester Co. Pa (4/27/10) (\$265,000)	D	Rent	N	R					
352. Real Estate Eaglesmere PA (9/4/12) (\$330,000)		None	N	R					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The IShares Barclays Treas. identified on line 92 of this report were erroneously omitted from my 2012 and 2013 reports. They were purchased on 12/05/12 for a J value.

The IShares Barclays 1-3 Credit identified on line 94 of this report were erroneously omitted from my 2012 and 2013 reports. They were purchased on 12/05/12 for a K value.

The Aberdeen Equity Long-Short securities identified on line 142 of this report were erroneously omitted from my 2009 - 2013 reports. They were purchased on 11/09/09 for an L value.

The CD Western Bank identified on line 167 of this report was erroneously reported as owned on my 2010 - 2013 reports. This security was redeemed on 06/22/09 for an L value and an A gain.

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Stapleton, Walter K.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Walter K. Stapleton**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544