

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BROWN, TRISH M.	2. Court or Organization U.S. BANKRUPTCY COURT, OREGON	3. Date of Report 03/10/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 1001 SW FIFTH AVE., SUITE 700 PORTLAND, OR 97204		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	President	Oregon Division, U.S. Fencing Association
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2011	FIDELITY NATIONAL MANAGEMENT - SALARY
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Debtor-Creditor Section, Oregon State Bar	09/16/11 to 9/17/11	Bend, OR	Debtor-Creditor Annual Meeting	Transportation, lodging, and meals
2. National Conference of Bankruptcy Judges	8/28/11 to 8/29/11	Indianapolis, IN	Site Visit for Site Selection Committee	Flight
3. National Conference of Bankruptcy Judges	10/12/11 to 10/15/11	Tampa, FL	Annual Meeting and Board of Governors Meeting	Lodging (3 nights)
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. MORGAN STANLEY SMITH BARNEY ROLLOVER IRA (name change only)	D	Div. & Int.	N	T					
2. - Cons Staples Sel Sect SPDR FD									
3. - Health Care Sel Sect SPDR FD									
4. - Industrial Sel Sec SPDR Fd									
5. - Ishares S&P Gbl Engr Sctr Index									
6. - Vanguard Extended Mkt ETF									
7. - Powershares S&P High Quality fka Powershares Val Line ...									
8. - AllianceBernstein Inc FD									
9. - MFS Charter Income TR SB1									
10. - Ishares MSCI PAC Ex-Japan IDX									
11. - Vanguard Growth ETF									
12. - Vanguard Value ETF Index									
13. - Powershares QQQ TR									
14. - IShares SP Smallcap 600 Index									
15. - SPDR S&P Midcap 400 ETF Trust fka Standard & Poors ...									See Part VIII.
16. - Ishares Barclays Agency Bnd Fd									
17. - Powershares Wldhill Clean Energy									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. - Ishares IBOXX Invest Gr Cor. Fd									
19. - Ishares MSCI Emerging Mkts Fd									
20. - Ishares DJ US RI Est Index									
21. - Ishares S&P Pref Stk Indx					Buy (add'l)	03/15/12	J		
22. - Ishares Barclays MBS Bond Fund									
23. - Vanguard MSCI EAFE ETF fka Vanguard Europe Pacific									
24. - Vanguard Dividend Appreciation					Buy (add'l)	03/15/12	J		
25. - SPDR S & P Inter DVD ETF									
26. - ISHARES BARCLAYS 1-3 YEAR CRED					Sold (part)	05/18/12	J	A	
27. - Pimco Total Return ETF					Buy	05/18/12	J		
28. - Ishares DJ US Home Construct					Buy	10/10/12	J		
29. INTEL COMMON STOCK	A	Dividend	J	T					
30. DISNEY COMMON STOCK	A	Dividend	J	T					
31. MEDTRONICS COMMON STOCK	A	Dividend	K	T					
32. NIKE COMMON STOCK	A	Dividend	K	T					
33. PEPSI COMMON STOCK	A	Dividend	J	T					
34. Chevron Texaco Corporation/Common Stock	A	Dividend	J	T					

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35. American Funds: EUROPACIFIC GROWTH FUND - MUTUAL FUND	B	Dividend	M	T					
36. AQUILLA TAX FREE TRUST - MUTUAL FUND	A	Dividend	J	T					
37. OREGONIAN FEDERAL CREDIT UNION - PORTLAND, OR	A	Interest	J	T					
38. St. Helens Comm. Fed. Credit Union Accounts-St. Helens, OR	A	Interest	J	T					
39. WELLS FARGO BANK ACCOUNTS - PORTLAND, OR	A	Interest	K	T					
40. BANK OF AMERICA - PORTLAND, OR	A	Interest	J	T					
41. PAINWEBBER RMA - PORTLAND, OR	A	Interest	K	T					
42. Oregon College Savings Plan TIAFF Cref Age Base Portfolio	A	Dividend	J	T	Sold (part)	07/31/12	J		
43.					Sold (part)	12/31/12	J		
44. SEI INVESTMENTS - ROLLOVER IRA	D	Dividend	N	T					
45. - SEI Prime Obligation Fund									
46. - SEI Large Cap Value Fund									
47. - SEI Large Cap Growth Fund									
48. - SEI Small Cap Growth Fund									
49. - SEI Small Cap Value Fund									
50. - SEI International Equity Fund									
51. - SEI Emerging Markets Equity Fund									

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52. Northwest Mutual Financial Network (whole life insurance)	B	Dividend	K	T					See Part VIII.
53. FIDELITY NATIONAL FINANCIAL GROUP 401(k) PROFIT SHARING PLAN	B	Int./Div.	K	T					See Part VIII.
54. - Oakmark Equity & Income					Sold	4/25/12	L		See Part VIII.
55. - Vanguard Wellington Admir					Buy	4/25/12	L		See Part VIII.
56. - FNF 401K Stock Fund					Buy	4/26/12	J		See Part VIII.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Part VII, line 15, page 4. Standard & Poors Midcap 400 and SPDR S & P Midcap 400ETF Trust fka Standard & Poors...were inadvertently reported in 2011 as two different holdings. Standard & Poors Micap 400 is the former name of the holding. The audit feature indicated I should complete Column D(1) for each transaction. There were no additional transactions involving this fund.
2. Part VII, line 52, page 7. No premium payment exceeded \$1,000.00.
3. Part VII, line 53, page 7. Acquisitions of investments within Fidelity National Financial Group 401(k) Profit Sharing Plan did not exceed \$1,000 on a per transaction basis.
4. Part VII, lines 54 and 55, page 7. Oakmark Equity & Income was dropped as an option in the Fidelity National Financial Group 401(k) Profit Sharing Plan and was replaced by Vanguard Wellington Admir. The sale of Oakmark Equity & Income resulted in a loss. At the same time, previous cash contributions by Fidelity National Financial Group as employer matches were changed to company stock. No investments exceed \$1,000 on a per transaction basis.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ TRISH M. BROWN

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544