

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Chan, Ashely M.	2. Court or Organization Eastern District of Pennsylvania	3. Date of Report 09/27/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address Robert N.C. Nix Sr. Federal Courthouse 900 Market Street Suite 214 Philadelphia, PA 19107		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	10/22/2012	Hangley Aronchick Segal Pudlin & Schiller 401k Plan - with former law firm, no control
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Airlines AAdvantage	credit card	K
2.	Bank of America	credit card	J
3.	US Airways Mastercard	credit card	J
4.	Discover	credit card	J
5.	Target	credit card	J
6.	USAA Mastercard	credit card	J

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. PNC Bank		None	J	T					
2. TD Bank		None	J	T					
3. Fidelity 401k (H)									
4. -Spartan US Bond Index	A	Dividend	K	T					
5. -Spartan 500 Index	B	Dividend	L	T					
6. -Fidelity Freedom 2035 Fund	C	Dividend	L	T					
7. -Harbor International	B	Dividend	K	T					
8. Northwestern IRA (H)									
9. -American Century Real Estate Fund	A	Dividend	J	T					
10. -American Century Small Cap Growth (X)		None	J	T					
11. -Capital World Growth & Income Fund	A	Dividend	J	T					
12. -Fidelity Advisor Diversified International	A	Dividend	J	T					
13. -Fidelity Advior New Insights Fund	A	Dividend	J	T					
14. -Fidelity Advisor Strategic Fund	A	Dividend	J	T					
15. -Growth Fund of America.	A	Dividend	J	T					
16. -iShares Trust Russell 2000 Value Index	A	Dividend	J	T					
17. -New World Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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18. -PIMCO Commodity Real Return Strategy	A	Dividend	J	T					
19. -SPDR S&P 500 ETF Tr	A	Dividend	J	T					
20. -Vanguard GNMA Fund	A	Dividend	J	T					
21. -Vanguard Mid-Cap ETF	A	Dividend	J	T					
22. -Vanguard Primecap Fund	A	Dividend	J	T					
23. Northwestern Roth IRA (H)									
24. -American Century Diversified Bond	A	Dividend	J	T					
25. -American Century Heritage Fund	B	Dividend	J	T					
26. -American Heritage Strategic Allocation Moderate Fund	A	Dividend	J	T					
27. -Fidelity Advisor New Insights Fund	A	Dividend	J	T					
28. Morgan Stanley IRA (H)									
29. -Bank of America 5.625% 7/1/2020	B	Interest	K	T					
30. -Cisco Systems	A	Dividend	K	T					
31. -Conagra Foods	A	Dividend	J	T	Sold (part)	03/31/15	J	B	
32. -Covanta Holding Corp	A	Dividend	K	T					
33. -CSX Transportation 9.75% 6/15/2020	B	Interest	K	T					
34. -CVS Health Corp		None	K	T	Buy	11/04/15	K		

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35. -Deere & Co	A	Dividend	K	T					
36. -Digital Realty Trust	A	Dividend	K	T	Sold (part)	01/14/15	K	D	
37. -Exxon Mobil	A	Dividend	K	T					
38. Franklin Rising Dividends	A	Dividend			Sold	05/11/15	K	D	
39. -Goldman Sachs 2.00% 5/21/2019	A	Interest	K	T					
40. -Harley Davidson	A	Dividend	K	T	Buy	05/28/15	K		
41. -HCP Inc 6.70% 1/30/2018	B	Interest	K	T					
42. -Hewlett Packard 4.30% 6/1/2021	B	Interest	K	T					
43. -International Paper	A	Dividend	K	T					
44. -iShares Intl Select Div ETF	B	Dividend	K	T					
45. -iShares JP Morgan EM Bond Fund	B	Dividend	K	T					
46. -Jonesboro AK 3.00% 2/1/2022		None	K	T	Buy (add'l)	03/30/15	K		
47. -JP Morgan Chase & Co	A	Dividend	K	T					
48. -Kinder Morgan Inc	B	Dividend	J	T					
49. -Liberty Property 4.75% 10/1/2020	B	Interest	K	T					
50. -Merrill Lynch 5.70% 5/2/2017	B	Interest	K	T					
51. -Morgan Stanley 5.50% 7/24/2020	B	Interest	K	T					

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52. -Morgan Stanley Bank	A	Interest	K	T					
53. -Norfolk Southern 9.75% 6/15/2020	B	Interest	K	T					
54. Oaktree Capital GP LLC UT	A	Dividend	J	T	Buy	05/07/15	K		
55. -Pentair PLC	A	Dividend	J	T					
56. -Pitney Bowes 5.75% 9/15/2017	B	Interest	K	T					
57. -Potash CP of Saskatchewan Inc	A	Dividend	J	T					
58. Primerica Inc 4.75% 7/15/2022	A	Interest	K	T	Buy	05/14/15	K		
59. -Quest Diagnostics 4.75% 1/30/2020	B	Interest	K	T					
60. -Rayonier Advanced Materials	A	Dividend			Sold	05/11/15	J	C	
61. -Rayonier Inc	A	Dividend	J	T					
62. -Royce Total Return Fund	A	Dividend			Sold	05/11/15	K	B	
63. -Sallie Mae Bank CD 2.15% 10/30/2018	A	Interest	K	T					
64. -Simon Property Group	B	Dividend	K	T					
65. -Vanguard FTSE Developed Markets E	A	Dividend	K	T					
66. -Veritiv Corp		None	J	T					
67. Virtus Multi Select Short Term Bond T	A	Dividend	J	T					
68. -Western Asset Short Term Bond C1	A	Dividend	J	T					

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69. -Western Union Co 3.65% 8/22/2018	A	Interest	K	T					
70. -WP Glimcher	A	Dividend	J	T					
71. Morgan Stanley xxx612 (H)									
72. -Hasbro Inc	A	Dividend	J	T	Sold (part)	05/19/15	J	D	
73. -Microsoft Corp	A	Dividend	K	T					
74. -Pfizer Inc	A	Dividend	K	T					
75. -Utah County UT 5.125% 12/1/2017	B	Interest	K	T					
76. -Verizon	A	Dividend	J	T					
77. -Morgan Stanley Bank	A	Interest	K	T					
78. Morgan Stanley xxx450 (H)									
79. -Alliance Holdings GP LP	B	Distribution	J	T					
80. -Apple Inc	A	Dividend	K	T					
81. -Bristol Myers Squibb	A	Dividend	K	T					
82. -Cardinal Health Inc	A	Dividend	K	T					
83. -Chemours Co	A	Dividend	J	T	Spinoff (from line 84)	07/01/15	J		
84. -DuPont El DeMours & Co	A	Dividend	J	T					
85. -Energy Transfer Partners LP	B	Distribution	J	T					

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86. -Equity Residential	A	Dividend	K	T					
87. -General Electric	A	Dividend	K	T					
88. -Goldman Sachs 5.95% 1/18/2018	B	Dividend	K	T					
89. -H&R Block Inc	A	Dividend	K	T					
90. -Habersham County GA 4.00% 4/1/2019	A	Interest	K	T					
91. -HCP Incorporated	A	Dividend	K	T					
92. -Intel Corp	A	Dividend	K	T					
93. -KKK & Co LLP	A	Distribution	J	T					
94. -Macquarie Infrastructure Co	B	Dividend	K	T					
95. -McDonalds	A	Dividend	K	T					
96. -Metlife Inc	A	Dividend	K	T					
97. -Microsoft Corp	A	Dividend	K	T					
98. Morgan Stanley Bank	A	Interest	K	T					
99. -Morgan Stanley 5.50% 7/24/2020	B	Interest	K	T					
100. -Morgan Stanley 5.75% 1/25/2021	B	Interest	K	T					
101. -New York Community Bancorp		None			Sold	01/08/15	J	B	
102. -New York State Thruway Auth 5.00% 3/15/2025	B	Interest	K	T					

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103. -NuStar Energy LP	B	Distribution	K	T					
104. -Pennsylvania State Genl Oblig 5.00% 1/15/2022	B	Interest	K	T					
105. -Pfizer Inc	A	Dividend	K	T					
106. -PIMCO Real Return Bond C	A	Dividend			Sold	05/11/15	K	A	
107. -Royal Dutch Shell PLC	A	Dividend	J	T					
108. -Sarasota County FL Sch Brd 5.00% 7/1/2021	B	Interest	K	T					
109. -Teco Energy	A	Dividend	J	T					
110. -Tri-County Metro Trans Dist of Oregon 3.00% 11/1/2019	A	Interest	K	T					
111. -University of Cincinnati Gen Rcpts 4.00% 6/1/2025	A	Interest	K	T					
112. -Wal Mart Stores Inc	A	Dividend	K	T					
113. -Walgreens Boots Alliance Inc	A	Dividend	K	T					
114. -Washington State Genl Oblig 5.00% 7/1/2020	B	Interest	K	T					
115. -Washoe County NV Genl Oblig 3.00% 3/1/2023	A	Interest	K	T					
116. -Washoe County NV Genl Oblig 3/1/2024	A	Interest	K	T					
117. -Wellpoint Inc 3.30% 1/15/2023	A	Interest	K	T					
118. Morgan Stanley xxx082 (H)									
119. -Amex Centurion 2.10% 9/26/2018	A	Interest	K	T					

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -Apple Inc	A	Dividend	K	T					
121. -AT&T Inc	B	Dividend	K	T					
122. -Buckeye Partners	B	Distribution	K	T					
123. -CA Inc 5.375% 12/1/2019	B	Interest	K	T	Buy	05/06/15	K		
124. Chemours Co	A	Dividend	J	T	Spinoff (from line 129)	06/30/15	J		
125. -Clark County NV Sch Dist 3.50% 6/15/2024	A	Interest	K	T	Buy	03/05/15	K		
126. -Digital Realty Trust LP 5.25% 3/15/2021	B	Interest	K	T					
127. Discover Financial Services	A	Dividend	K	T	Buy	10/16/15	K		
128. Dow Chemical Co	A	Dividend	K	T					
129. -DuPont El DeMours & Co	A	Dividend			Sold	11/11/15	K	D	
130. -General Electric Cap Corp 5.30% 2/11/2021	B	Interest	K	T					
131. -Intercontinental Exchange Group		None			Sold	02/26/15	K	D	
132. -IPS Multi Sch Bldg Corp 4.50% 7/15/2024	B	Interest	K	T					
133. -iShares JP Morgan Em Bond FUND	A	Dividend	K	T					
134. -Kansas State Dev Fin Auth 5.00% 12/1/2019	B	Interest	K	T					
135. -McDonalds Corp	A	Dividend	K	T					
136. -Metlife Inc	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Chan, Ashely M.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Microsoft Corp	A	Dividend	K	T					
138. -Morgan Stanley Bank	A	Interest	K	T					
139. -New York State Mtg Agy Homeowner 3.619% 4/1/2023	A	Interest	K	T					
140. -Oaktee Capital GP LLC	A	Dividend	J	T	Buy	03/12/15	K		
141. Pentair PLC		None	K	T	Buy	10/30/15	K		
142. -Royce Pennsylvania Consult		None			Sold	10/30/15	K	D	
143. -San Antonio TX Pub Facs 3.00% 9/15/2027	A	Interest	K	T					
144. -Tanger Factory Outlet Centers	A	Dividend	K	T	Buy	05/01/15	K		
145. -Teva Pharmaceuticals ADR	A	Dividend			Sold	04/21/15	K	D	
146. -Thomson Reuters Corp	A	Dividend	K	T					
147. -Truckee Meadows NV Wtr Auth 4.25% 7/1/2022	B	Interest	K	T					
148. -United Technologies Corp	A	Dividend	K	T					
149. Welltower Inc		None	K	T	Buy	11/27/15	K		
150. -Western Asset Int Term Muni C	A	Dividend			Sold	10/30/15	J	A	
151.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

Chan, Ashely M.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Ashely M. Chan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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