

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) ANDERSON, III, ROBERT L.	2. Court or Organization 11TH CIR. COURT OF APPEALS	3. Date of Report 05/11/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. CIRCUIT JUDGE (senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 56 Forsyth Street, N.W. Atlanta, GA 30303		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	FELLOW	AMERICAN BAR FOUNDATION
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 11/7/07	R. Lanier Anderson, III/Chas. Schwab & Co. Cust/ IRA rollover
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. SunTrust Bank - checking account	A	Interest	J	T					
2. Euro Pacific Growth Fund (IRA)	A	Dividend			Sold	09/10/14	K	E	
3. Euro Pacific Growth Fund (IRA)	A	Dividend			Sold	09/10/14	K	E	
4. Exxon (common)	A	Dividend	K	T					
5. SunTrust Bank (common)	A	Dividend	K	T					
6. TIAA-CREF	C	Dividend	M	T					
7. House and lot, Bibb County, GA	C	Rent	M	W					
8. SunTrust Bank (common)	A	Dividend	J	T					
9. I shares Russell 1000 Index	A	Dividend	J	T					
10. I shares Russell 2000 Value	A	Dividend	K	T					
11. I shares Russell 2000 Index	A	Dividend	K	T					
12. I shares Russell 1000 Index	A	Dividend	J	T					
13. I shares Russell 2000 Value	A	Dividend	K	T					
14. I shares Russell 2000 Index	A	Dividend	J	T					
15. I shares Russell 1000 Index (IRA)	A	Dividend	J	T					
16. I shares Russell 2000 Value (IRA)	A	Dividend			Sold	09/10/14	K	E	
17. I shares Russell 2000 Index (IRA)	A	Dividend			Sold	09/10/14	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. I shares Russell 1000 Index (IRA) (N)	A	Dividend	J	T					
19. I shares Russell 2000 Value (IRA)	A	Dividend			Sold	09/10/14	K	E	
20. I shares Russell 2000 Index (IRA) (H)	A	Dividend	K	T					
21. Genworth Life Ins. Co (whole life)	D	Dividend	M	T					
22. SunTrust Bank - money market	A	Interest	L	T					
23. H (RLA/CHARLES SCHWAB CUST IRA ROLLOVER)									See Part VIII
24. Schwab US Treas. Money Fund	A	Interest	J	T					
25. Investco Balanced Risk Fund	A	Dividend	K	T					
26. Alpha Oppor Growth Fund	A	Dividend	K	T					
27. Osterweis Strategic Inc Fund	A	Dividend			Sold	10/30/14	K	A	
28. Osterweis Strategic Inc. Fund	A	Dividend			Sold	10/30/14	K	A	
29. Goldman Sachs Satellite Shategres Port (GXSIX)	A	Dividend	K	T					
30. Goldman Sachs Strategic Inc. Fund	B	Dividend	K	T					
31. Goldman Sachs Rising Dev. Growth Fund (GXRLX)	A	Dividend	J	T					
32. iShares Core S&P Index	A	Dividend	K	T					
33. Vanguard (VEA)	A	Dividend	K	T					
34. Vanguard (VOE)	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Blackrock Strat. Inc. Opportunity Port Instl.	A	Dividend	J	T	Buy	02/21/14	J		
36. Vanguard Bond Index Fund Intermed. Term Bond	B	Dividend	K	T	Buy	10/30/14	K		
37. H (RLA SCHWAB INDIVIDUAL ACCOUNT)									See Part VIII
38. Schwab US Treas Money Fund	A	Interest	J	T					
39. Alpha Oppor Growth Fund	A	Dividend	L	T					
40. Alpha Oppor Growth Fund	A	Dividend			Buy	09/15/14	J		
41. Alpha Oppor Growth Fund	A	Dividend			Buy	10/30/14	K		
42. Goldman Sachs Rising Div. Fund. (GSRLX)	A	Dividend	K	T					
43. Goldman Sachs Satellite Strategies Port (GXSIX)	A	Dividend	M	T					
44. Goldman Sachs Satellite Strategies Port (GXSIX)	A	Dividend			Buy	09/15/14	K		
45. Goldman Sachs Satellite Strategies Port (GXSIX)	A	Dividend			Buy	10/30/14	K		
46. Investco Bal Risk	A	Dividend	J	T					
47. Investco Bal Risk	A	Dividend			Buy	10/30/14	K		
48. iShares Core S&P (IVV)	A	Dividend	J	T					
49. iShares Russell 2000 (IWM)	A	Dividend	J	T					
50. Vanguard ETF (VEA)	A	Dividend	J	T					
51. Vanguard Mid-Cap Growth (VOT)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. Osterweis Strategic Inc. Fund	A	Dividend			Buy	02/21/14	J		
53. Osterweis Strategic Inc. Fund	A	Dividend			Sold	10/30/14	K	D	
54. H (ADDITIONAL STERNE AGEE ASSETS)									See Part VIII
55. Vanguard Appreciation	B	Dividend	L	T					
56. PIMCO Funds Unconstrained Bond Fund Class A	A	Dividend			Sold	02/21/14	L	A	
57. Suntrust Banks, Inc. Pfd Series 5.875% (RLA)	A	Dividend	J	T					
58. Suntrust Banks, Inc. Pfd Series 5.875%	A	Dividend	J	T					
59. Loomis Sayles Strategic Fund (H)	A	Dividend	L	T	Buy	02/21/14	L		
60. Osterweis Strategic Fund (IRA-H)	B	Dividend	L	T	Buy	09/10/14	L		
61. Osterweis Strategic Fund (IRA-W)	B	Dividend	K	T	Buy	09/10/14	K		
62. Alfa Opportunistic Alter. CL 1 (IRA-W)	A	Dividend	K	T	Buy	09/10/14	K		
63. Invesco Balanced Risk Alloc. CL Y (IRA-W)	A	Dividend	K	T	Buy	09/10/14	K		
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1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part III A and B: I have not reported for myself or [REDACTED], this year or in the past several years, the mandatory distributions after age 70 1/2 from my RLA/ Charles Schwab Cust. Rollover or from the other IRA of mine and [REDACTED]. This is because Instructions at page 19 provides: "No income should be disclosed in this part [Part III] if it is derived from an investment asset that should be reported in Part VII.

Part VII, Line 23, is simply a heading to disclose that the following lines (lines 24-36) describe holdings or transaction in RLA Schwab IRA Rollover during 2014.

Part VII, line 37 is simply a heading to disclose that the following lines (lines 38-53) describe holdings or transactions in the RLA Schwab individual account during 2014

Part VII line 54_, is simply a heading to disclose that lines 55-63 describe holdings that were either already in or added to RLA and [REDACTED] brokerage accounts. Other holdings in those accounts were reported on early lines of Part VII.

Part VII. Lines 43, 44 and 45 of my 2013 Report listed three separate "Buys" of Goldman, Sachs Strategic Inc. Fund on three separate dates. None of that was sold during 2014, but I have consolidated these into one item on line 30 of the 2014 Report. Similarly, with Part VII lines 61 and 62 of the 2013 Report, on which appeared two separate "Buys" of Goldman Sachs Satellite Strategic Port (GSSIX), I consolidated them on one line -- i.e., line 43 -- and added two lines below for two additional "Buys" in 2014.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **ROBERT L. ANDERSON, III**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544