

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bencivengo, Cathy A.	2. Court or Organization US District Court, California	3. Date of Report 05/23/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Judge, active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address U.S. Courthouse 221 West Broadway San Diego, California 92101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Member of Board of Governors	San Diego Chapter of the Association of Business Trial Lawyers
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2016	Thermo Fisher Scientific - Salary & Commission
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Bloomberg BNA and Wiley Rein LLP	01/14/2016	San Francisco, CA	Judicial Panelist at Patent Law Conference	air and local transportation, parking
2. The Sedona Conference	02/10/2016 - 02/12/2016	Pasadena, CA	Judicial Panelist at Patent Law Conference	ground transportation, parking, meals and lodging
3. Oregon Patent Law Association and Klarquist Sparkman LLP	04/14/2016 - 04/17/2016	Gleneden, OR	Judicial Panelist at the Patent Law Conference	air and local transportation, parking, meals and lodging
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #2 (Edward Jones)									
2. -- Edward Jones Cash Reserves		None	J	T					
3. -- Columbia Small Cap Value II	C	Dividend	J	T					
4. --Dodge & Cox Income Fund	A	Dividend	L	T	Buy (add'l)	05/06/16	J		
5.					Sold (part)	10/04/16	J		
6. -- Dodge & Cox Stock Fund	E	Dividend	K	T					
7. -- Fundamental Investors Fund	D	Dividend	K	T					
8. -- Hotchkis & Wiley Mid Cap Val I	C	Dividend	J	T					
9. -- JPMorgan Core Bond Fund Select	A	Dividend	L	T	Buy (add'l)	05/06/16	K		
10. -- Lomis Sayles Invst Grade Bd		None			Sold	05/06/16	K		
11. -- MFS Value Fund	E	Dividend	K	T					
12. -- Victory Munder Mid Cap Core Growth Fund		None			Sold	05/06/16	K		
13. -- Franklin Mutual Global Discovery Fund	B	Dividend	K	T	Sold (part)	05/06/16	J		
14. -- Oppenheimer Intl Growth Fund	A	Dividend	K	T					
15. -- Invesco Comstock Fund	D	Dividend	K	T	Buy (add'l)	05/06/16	J		
16. -- JPM Fed Money Market Inst C1		None	J	T					
17. -- Artisan Intl Value Fund	D	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -- Alger Small/Mid Cap Growth		None			Sold	05/06/16	K		
19. -- Europacific Growth Fund	D	Dividend	K	T					
20. -- T.Rowe Price Intl Discovery	B	Dividend	J	T	Sold (part)	05/06/16	J		
21. -- Harbor Capital Appreciation	D	Dividend	K	T					
22. -- Rainer Mid Cap Equity		None			Sold	11/01/16	K		
23. -- Delaware US Growth	B	Dividend	K	T	Sold (part)	05/06/16	J		
24. -- T.Rowe Price Int Lrge Cp Gr		None			Sold	05/06/16	K		
25. -- Primecap Odysey Stock Fund	B	Dividend	K	T					
26. -- Artisan Mid Cap Value Fund	C	Dividend	K	T	Buy	05/06/16	K		
27. -- Brown Cap Mgt Small Co Fund	A	Dividend	J	T	Buy	05/06/16	J		
28. -- Causeway Intl Value Fund Intl	A	Dividend	K	T	Buy	05/06/16	K		
29. -- Dfa US Small Cap Value Fund	B	Dividend	K	T	Buy	05/06/16	J		
30. -- Eaton Vance Atlanta Cap SMID	A	Dividend	K	T	Buy	11/01/16	K		
31. -- PIMCO Funds High Yield Fund Intl Cl	A	Dividend	J	T	Buy	10/04/16	J		
32. 401K #2 (Thermo Fisher)									
33. -- Dodge & Cox Stock	E	Dividend	N	T					
34. -- Thermo Fisher Scientific Inc.	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. -- Pimco Total Return Instl		None			Sold	01/04/16	M		
36. -- Dodge & Cox Intl Stock		None			Sold	01/04/16	M		
37. -- SSGA S&P 500 Index CL C	D	Dividend	K	T					
38. -- Jemison Instl US Sm Cap Eq	E	Dividend	N	T	Buy	01/04/16	N		
39. Brokerage Acct. #2									
40. -- Fundamental Investors	C	Dividend	K	T					
41. -- New World Growth Fund of America	C	Dividend	K	T					
42. J.T. Account (Schwab)									
43. -- Palomar Pomerado Health Muni Bonds		None	K	T					
44. -- Temple City California Unified School District Muni Bonds		None	K	T					
45. -- Growth Fund of America	A	Dividend	J	T	Buy	01/28/16	J		
46. -- Invesco Energy Fund	A	Dividend	J	T	Buy	06/06/16	J		
47. Money Market Charles Schwab	A	Interest	L	T					
48. Brokerage Acct. #4 (Schwab)									
49. --Columbia Seligman Comm & Info Fund	A	Dividend	J	T					
50. -- Matthews Asian Growth & Inc Fund	A	Dividend	J	T					
51. -- Victory Munder Index 500 Fund	C	Dividend	K	T					

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52. --Oakmark Equity Income Fund	A	Dividend	J	T					
53. -- Parnassus Equity Income	A	Dividend	J	T					
54. -- Permanent Portfolio	A	Dividend	J	T					
55. -- Reynolds Blue Chip	C	Dividend	K	T					
56. -- Yacktman Focused Fund	C	Dividend	K	T					
57. IRA #1									
58. -- VIAVI Solutions Common Stock	A	Dividend	J	T					
59. -- Fidelity Intl Discovery Mutual Fund	A	Dividend	J	T					
60. IRA #3 (Schwab)									
61. -- Deposit Account/Cash Reserves		None	J	T					
62. -- Parnassus Equity Income	D	Dividend	K	T					
63. Chase	A	Interest	M	T					
64.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. Investments and Trusts

Part VII, Line 58: This entry regarding stock was previously reported as "JDS Uniphase Corp." The company name changed to "VIAVI Solutions."

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Cathy A. Bencivengo**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544