

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Huennekens, Kevin R.	2. Court or Organization Virginia - Bankruptcy Court	3. Date of Report 07/26/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 701 East Broad Street Fifth Floor Richmond, VA 23219-1888		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 15

Name of Person Reporting

Huennekens, Kevin R.

Date of Report

07/26/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2012	Wage/Scholarship Virginia Commonwealth University - Graduate Assistant
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Tidewater Bankruptcy Bar Association	February 9-10, 2012	Norfolk, VA	Faculty for legal educational seminar	Meals & Hotel Room
2. Northern Virginia Bankruptcy Bar Association	February 15-16, 2012	Fairfax, VA	Faculty for legal educational seminar	Transportation, Meal, Hotel Room
3. College of William and Mary	February 17-18, 2012	Williamsburg, VA	Moot Court Tournament	Transportation, Meals, Hotel Room
4. American Bankruptcy Institute	April 20-21, 2012	National Harbor, MD	Faculty for legal educational seminar	Transportation, Meals, Hotel Room
5. American Bankruptcy Institute	October 4-5, 2012	Washington DC	Faculty for legal educational seminar	Meals & Hotel Room

FINANCIAL DISCLOSURE REPORT

Page 3 of 15

Name of Person Reporting

Huennekens, Kevin R.

Date of Report

07/26/2013

6.	American Bankruptcy Institute	November 29 - December 1, 2012	Tucson, AZ	Committee Meeting	Transportation, Meals & Hotel Room
7.	Virginia Bar Association	May 4-5, 2012	Duck, NC	Faculty for legal educational seminar	Transportation, Meals, Lodging
8.	Virginia State Bar (CLE)	September 13-15, 2012	Chalottesville, VA	Faculty for legal educational seminar at MidAtlantic Bankruptcy Institute	Meals, Hotel Room
9.	National Conference of Bankruptcy Judges	October 23-27, 2012	San Diego, CA	Attend board meeting at nat'l conference & faculty for legal educational seminar	Transportation, Hotel Room

FINANCIAL DISCLOSURE REPORT

Page 4 of 15

Name of Person Reporting

Huennekens, Kevin R.

Date of Report

07/26/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Wells Fargo Advisors	Margin Loan	K
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 15

Name of Person Reporting

Huennekens, Kevin R.

Date of Report

07/26/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. MO Common Stock	A	Dividend			Sold (part)	01/10/12	J	A	
2.					Sold	05/18/12	J	A	
3. Northwestern Mutual adjustable Complife Policy	C	Dividend	L	T					
4. First Nat'l Bank of Omaha - Health Savings Account	A	Interest	J	T					
5. IRA for MEH IMLBX	A	Int./Div.	K	T					
6. Wells Fargo Savings Account	A	Interest	J	T					
7. Suntrust Checking Account	A	Interest	J	T					
8. Wells Fargo Advisors Cap Account - [REDACTED]									
9. - cash and sweep ballances in Wells Fargo Account - [REDACTED]	A	Interest			Distributed	01/17/12	J		
10. - ARTSX	A	Int./Div.	J	T	Buy	12/12/12	J		
11. - DGAGX	A	Int./Div.	J	T	Buy	11/26/12	J		
12. - MMILX	B	Int./Div.	J	T	Buy (add'l)	08/22/12	J		
13. - ARGYX	A	Int./Div.	J	T	Sold (part)	08/22/12	J	A	
14. - ARTVX		None	J	T	Buy (add'l)	08/22/12	J		
15. - CISGX	B	Int./Div.	J	T	Sold (part)	12/11/12	J	A	
16. - DODFX	A	Int./Div.	J	T	Sold (part)	08/23/12	J	A	
17. - DNVYX	A	Int./Div.	J	T	Sold (part)	08/22/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 15

Name of Person Reporting

Huennekens, Kevin R.

Date of Report

07/26/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - EITVX	B	Int./Div.	J	T	Sold (part)	08/22/12	J	A	
19. - FAARX	A	Int./Div.	J	T	Sold (part)	08/22/12	J	A	
20. - FTXXX		None	J	T	Buy (add'l)	08/22/12	J		
21.					Sold (part)	10/12/12	J		
22. - HWMIX	B	Int./Div.	J	T	Sold (part)	08/22/12	J	A	
23. - MEDIX	A	Int./Div.	J	T	Buy (add'l)	08/22/12	J		
24. - MMHYX					Merged (with line 12)	02/01/12	J		
25. - OIBYX	A	Int./Div.	J	T	Sold (part)	08/22/12	J	A	
26. - OIGYX	A	Int./Div.	J	T	Sold (part)	08/22/12	J	A	
27. - ORIYX		None	J	T	Buy	08/22/12	J		
28. - PCRIX		None	J	T	Buy (add'l)	08/22/12	J		
29. - PNMFX	A	Int./Div.	J	T					
30. - PRSMX	A	Int./Div.	J	T	Buy (add'l)	08/22/12	J		
31. - PYODX		None			Sold	11/23/12	J	A	
32. - RSENX	A	Int./Div.	J	T	Buy (add'l)	08/22/12	J		
33. - TGSCX		None			Sold (part)	08/22/12	J	A	
34.					Sold	12/11/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 15

Name of Person Reporting

Huennekens, Kevin R.

Date of Report

07/26/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. - TWGIX		None	J	T	Sold (part)	08/22/12	J	A	
36. IRA #1	E	Int./Div.	N	T					
37. - cash and sweep ballances in Wells Fargo Account									
38. - IJR									
39. - CIU									
40. - LQD									
41. - CSJ									
42. - MBB									
43. - IEF									
44. - EFA					Sold	11/12/12	J		
45. - EWM					Buy (add'l)	05/21/12	J		
46. - SHY					Sold	08/21/12	J	A	
47. - DBC					Buy (add'l)	05/14/12	J		
48. - JNK									
49. - VWO					Sold (part)	05/07/12	J		
50. - VEA									
51. - IJH									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 15

Name of Person Reporting

Huennekens, Kevin R.

Date of Report

07/26/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. - SPY					Sold (part)	05/24/12	J	A	
53.					Sold	08/20/12	J	A	
54. - XLU					Sold	05/24/12	J	A	
55. - XLF									
56. - XLV									
57. - XLY									
58. - XLI					Buy (add'l)	02/07/12	J		
59. - VNQ									
60. - VOX					Sold (part)	03/22/12	J	A	
61. - XLP					Sold (part)	08/20/12	J	B	
62. - VGT					Buy (add'l)	03/22/12	J		
63.					Buy (add'l)	05/24/12	J		
64. - XLB									
65. - XLE									
66. - BND					Buy (add'l)	08/23/12	J		
67. - EWU									
68. - XLG					Sold	03/19/12	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 9 of 15

Name of Person Reporting

Huennekens, Kevin R.

Date of Report

07/26/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. - EWY					Buy	08/20/12	J		
70. - IGN					Buy	02/07/12	J		
71. - EWW					Buy	05/04/12	J		
72.					Buy (add'l)	05/21/12	J		
73. - EIDO					Buy	05/04/12	J		
74.					Buy (add'l)	05/21/12	J		
75. - FEZ					Buy	11/12/12	J		
76. - KBE					Buy	03/19/12	J		
77. - XRT					Buy	03/09/12	J		
78.					Buy (add'l)	05/24/12	J		
79. - THD					Buy	05/04/12	J		
80.					Sold	05/18/12	J		
81. IRA #2 [REDACTED]	C	Int./Div.	M	T					
82. - cash and sweep ballancesi in Wells Fargo Account [REDACTED]									
83. - AGG					Sold (part)	04/10/12	J	A	
84.					Sold (part)	10/31/12	J	A	
85. - IEF					Sold	02/09/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 15

Name of Person Reporting

Huennekens, Kevin R.

Date of Report

07/26/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. - SH					Sold (part)	02/09/12	J		
87.					Sold	04/10/12	J		
88. - HYG									
89. - LQD					Sold (part)	10/09/12	J	A	
90. - DBC					Sold (part)	05/09/12	J		
91. - DBP					Sold (part)	10/09/12	J		
92. - XLY					Sold (part)	04/30/12	J		
93. - PSQ					Sold	02/09/12	J		
94. - XPH					Sold (part)	04/04/12	J	A	
95.					Sold	12/12/12	J	B	
96. - SDY					Sold (part)	07/12/12	J	A	
97.					Sold (part)	10/18/12	J	A	
98.					Sold	11/08/12	J	A	
99. - SHY									
100. - XLE					Buy	05/01/12	J		
101.					Sold (part)	10/18/12	J		
102. - FXL					Buy	04/20/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 15

Name of Person Reporting

Huennekens, Kevin R.

Date of Report

07/26/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103.					Buy (add'l)	05/01/12	J		
104.					Sold (part)	11/01/12	J	A	
105. - RSP					Buy	04/20/12	J		
106.					Buy (add'l)	05/01/12	J		
107.					Sold (part)	07/13/12	J	A	
108.					Buy (add'l)	12/13/12	J		
109. - GSY					Buy	02/10/12	J		
110. - XLI					Buy	10/10/12	J		
111.					Buy (add'l)	10/19/12	J		
112.					Buy (add'l)	11/01/12	J		
113. - ITOT					Buy	11/09/12	J		
114.					Buy (add'l)	12/13/12	J		
115. - IVV					Buy	10/19/12	J		
116.					Buy (add'l)	12/13/12	J		
117. - HDV					Buy	05/01/12	J		
118.					Buy (add'l)	07/13/12	J		
119.					Sold (part)	12/13/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 15

Name of Person Reporting

Huennekens, Kevin R.

Date of Report

07/26/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - IYR					Buy	04/20/12	J		
121.					Buy (add'l)	05/01/12	J		
122. - IYF					Buy	10/10/12	J		
123.					Buy (add'l)	10/19/12	J		
124.					Buy (add'l)	11/09/12	J		
125. - VAW					Buy	10/10/12	J		
126.					Buy (add'l)	10/19/12	J		
127. - XLU					Buy	05/09/12	J		
128.					Buy (add'l)	07/13/12	J		
129.					Sold (part)	10/19/12	J		
130.					Sold	11/01/12	J		
131. - UUP					Buy (add'l)	07/13/12	J		
132.					Sold (part)	10/10/12	J		
133.					Sold (part)	10/19/12	J		
134.					Sold	11/06/12	J		
135. - VEA					Buy	02/29/12	J		
136.					Sold	04/30/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 15

Name of Person Reporting

Huennekens, Kevin R.

Date of Report

07/26/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - VWO					Buy	02/29/12	J		
138.					Sold	04/30/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 15

Name of Person Reporting

Huennekens, Kevin R.

Date of Report

07/26/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII - Line 8 - Entry isted in Column A is a header. Each asset held in this account is listed seperately at lines 9 through 35.

Part VII - Line12 - Previously reported as MMHYX

Part VII - Line 24 - Value and disposition of MMHYX included as part of MMHY reported at line 12.

Part VII - Line 36 - Entry isted in Column A is a header. Each asset held in this account is listed seperately at lines 37 through 80.

Part VII - Line 81 - Entry isted in Column A is a header. Each asset held in this account is listed seperately at lines 82 through 138.

FINANCIAL DISCLOSURE REPORT

Page 15 of 15

Name of Person Reporting

Huennekens, Kevin R.

Date of Report

07/26/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Kevin R. Huennekens**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544