

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) JORGENSEN, CINDY K.	2. Court or Organization United States District Court - Arizona	3. Date of Report 10/23/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active Article III Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2017 to 12/31/2017
5b. ☒ Amended Report		
7. Chambers or Office Address 405 W Congress, Suite 5180 Tucson, AZ 85701-5033		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 13

Name of Person Reporting

JORGENSEN, CINDY K.

Date of Report

10/23/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	Arizona Elected Officials Retirement Plan	\$26,805.24
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Arizona Public Safety Retirement System (retired)
2. 2017	Arizona State Retirement System
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 13

Name of Person Reporting
JORGENSEN, CINDY K.

Date of Report
10/23/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 13

Name of Person Reporting

JORGENSEN, CINDY K.

Date of Report

10/23/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Morgan Stanley Managed IRA - Cons Staples Sel Sect SPDR FD (XLP)		None			Sold	02/17/17	J	A	
2. Morgan Stanley Managed IRA - Cons Discret Sel Sect SPDR FD (XLY)	A	Dividend			Buy	02/17/17	J		
3.					Sold (part)	04/27/17	J		
4.					Sold	08/17/17	J	B	
5. Morgan Stanley Managed IRA - Deutsche X-Trackers MSCI EAF (DBEF)		None			Sold	02/17/17	J	A	
6. Morgan Stanley Managed IRA - First Trust Utlts Alsphadex ETF (FXU)	A	Dividend			Sold (part)	02/17/17	J	A	
7.					Sold	08/8/17	J		
8. Morgan Stanley Managed IRA - Flexshares Qualt Divd IDX ETF (QDF)	A	Dividend			Buy (add'l)	04/27/17	J		
9.					Sold	08/18/17	K	C	
10. Morgan Stanley Managed IRA - Global X FTSE Nordic REgion ETF (GXF)	A	Dividend			Sold	02/17/17	J		
11. Morgan Stanley Managed IRA - Global MSCI Norway ETF (NORW)	A	Dividend			Buy (add'l)	02/17/17	J		
12.					Buy (add'l)	04/27/17	J		
13.					Sold	08/18/17	J	B	
14. Morgan Stanley Managed IRA - Goldman ActiveBeta US LC ETF (GSLC)	A	Dividend			Sold (part)	02/17/17	J	B	
15.					Sold	08/18/17	K		
16. Morgan Stanley Managed IRA - Guggenheim S&P 500 Pure Vale (RPV)	A	Dividend	K	T	Buy	08/18/17	K		
17. Morgan Stanley Managed IRA - iShares China Large Cap ETF (FXI)	A	Dividend	K	T	Buy	08/18/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 13

Name of Person Reporting

JORGENSEN, CINDY K.

Date of Report

10/23/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Morgan Stanley Managed IRA - iShares Core MSCI Emerging (IEMG)	A	Dividend	K	T	Sold (part)	02/17/17	K	D	
19.					Sold (part)	04/27/17	J		
20.					Sold (part)	08/18/17	J		
21. Morgan Stanley Managed IRA - iShares Core MSCI EAFE ETF (IEFA)	B	Dividend	L	T	Buy	04/27/17	L		
22.					Buy (add'l)	08/18/17	J		
23. Morgan Stanley Managed IRA - iShares Curr Hedged MSCI Japan (HEWJ)	A	Dividend			Buy (add'l)	02/17/17	J		
24.					Buy (add'l)	04/27/17	J		
25.					Sold	08/18/17	J		
26. Morgan Stanley Managed IRA - iShares Canada ETF (EWC)	A	Dividend			Buy	04/27/17	J		
27.					Sold	08/18/17	J	A	
28. Morgan Stanley Managed IRA - iShares Global Financials ETC (IXG)	A	Dividend	K	T	Buy	08/18/17	K		
29. Morgan Stanley Managed IRA - iShares MSCI Japan ETF (EWJ)	A	Dividend	K	T	Sold (part)	02/17/17	J	A	
30.					Buy (add'l)	08/18/17	K		
31. Morgan Stanley Managed IRA - iShares Intl Select Div ETF (IDV)	A	Dividend			Buy (add'l)	02/17/17	J		
32.					Sold (part)	04/27/17	J		
33.					Sold	08/18/17	J	B	
34. Morgan Stanley Managed IRA - iShares MSCI Australia ETF (EWA)	A	Dividend			Sold (part)	02/17/17	J	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 13

Name of Person Reporting

JORGENSEN, CINDY K.

Date of Report

10/23/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Sold (part)	04/27/17	J		
36.					Sold	08/18/17	J		
37. Morgan Stanley Managed IRA - iShares MSCI EAFE ETF (EFA)		None			Buy	02/17/17	J		
38.					Sold	04/27/17	J	A	
39. Morgan Stanley Managed IRA - iShares MSCI EAFE Growth ETF (EFG)	B	Dividend			Buy (add'l)	02/17/17	K		
40.					Sold (part)	04/27/17	J		
41.					Sold	08/18/17	L	D	
42. Morgan Stanley Managed IRA - iShares EAFE VAL ETF (EFV)	B	Dividend			Buy	04/27/17	L		
43.					Sold	08/18/17	L	B	
44. Morgan Stanley Managed IRA - MSCI Italy Capped ETF (EWI)	A	Dividend	K	T	Buy	08/18/17	K		
45. Morgan Stanley Managed IRA - MSCI Asia EX_Japan ETF (AAXJ)fka	A	Dividend			Buy	04/27/17	J		
46.					Sold	08/18/17	J	A	
47. Morgan Stanley Managed IRA - iShares MSCI Japan ETF (EWJ)		None	L	T	Buy	08/18/17	K		
48. Morgan Stanley Managed IRA - MSCI Taiwan Capped ETF (EWT)	A	Dividend	K	T	Buy	08/18/17	K		
49. Morgan Stanley Managed IRA - iShares MSCI Spain Capped ETF (EWP)	A	Dividend	K	T	Buy	08/18/17	K		
50. Morgan Stanley Managed IRA - iShares MSCI SouthKorea Cp ETF (EWY)	A	Dividend	K	T	Buy	08/18/17	K		
51. Morgan Stanley Managed IRA - iShares MSCI Switzerland CAPD ETF (EWL)		None			Buy	02/17/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 13

Name of Person Reporting

JORGENSEN, CINDY K.

Date of Report

10/23/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Sold	04/27/17	J	A	
53. Morgan Stanley Managed IRA - iShares Nasdaq Biotech ETF (IBB)	A	Dividend			Sold (part)	02/17/17	J	A	
54.					Sold	04/27/17	J		
55. Morgan Stanley Managed IRA - iShares SP Smallcap 600 Index (IJR)	A	Dividend			Buy (add'l)	02/17/17	J		
56.					Sold	04/27/17	J	B	
57. Morgan Stanley Managed IRA - iShares US Aerospace & Def ETF (ITA)	A	Dividend	K	T	Buy	08/17/17	K		
58. Morgan Stanley Managed IRA - iShares US Consumer SER ETF (IYC)	A	Dividend	K	T	Buy	08/18/17	K		
59. Morgan Stanley Managed IRA - iShares US Consumer Goods ETF (IYK)(fka)	A	Dividend			Buy (add'l)	02/17/17	J		
60.					Sold	04/27/17	J	A	
61. Morgan Stanley Managed IRA - iShares US Fin Services ETF (IYG)	A	Dividend			Buy	02/17/17	J		
62.					Buy (add'l)	04/27/17	J		
63.					Sold	08/18/17	J	A	
64. Morgan Stanley Managed IRA - iShares US Healthcare Prov ETF (IHF)	A	Dividend			Sold (part)	02/17/17	J	A	
65.					Buy (add'l)	04/27/17	J		
66.					Sold	08/18/17	J		
67. Morgan Stanley Managed IRA - Powershares Aero & Def PTF (PPA)	A	Dividend			Sold (part)	02/17/17	J	C	
68.					Sold (part)	04/27/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 13

Name of Person Reporting

JORGENSEN, CINDY K.

Date of Report

10/23/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69.					Sold	08/18/17	J		
70. Morgan Stanley Managed IRA - Powershares DYN LRG VAP VALU(PWV)	A	Dividend	L	T	Buy	08/18/17	K		
71. Morgan Stanley Managed IRA - Powershares S&P 500 X-Rate (XRLV)	A	Dividend			Buy (add'l)	02/17/17	J		
72.					Sold	04/27/17	J	B	
73. Morgan Stanley Managed IRA - Powershares FTSE RAFI Emerg (PXH)	A	Dividend			Buy	04/27/17	J		
74.					Sold	08/18/17	J	A	
75. Morgan Stanley Managed IRA - Powershares KBW Regional Bank (KBWR)	A	Dividend			Sold (part)	02/17/17	J	B	
76.					Sold	04/27/17	J		
77. Morgan Stanley Managed IRA - Powershares QQQ Tr (QQQ)	A	Dividend			Sold (part)	02/17/17	J	C	
78.					Sold (part)	04/27/17	J		
79.					Sold	08/18/17	K		
80. Morgan Stanley Managed IRA - Powershares Europe Curr HED Lv (FXEU)		None			Sold	02/17/17	K		
81. Morgan Stanley Managed IRA - Schwab US Reit ETF (SCHH)		None			Sold	02/17/17	J		
82. Morgan Stanley Managed IRA - Select Sector SPDR TD ETF (XLRE)	A	Dividend			Buy (add'l)	02/17/17	J		
83.					Sold	04/27/17	J		
84. Morgan Stanley Managed IRA - SPDR DJIA Trust (DIA)	A	Dividend	L	T	Buy	08/18/17	K		
85. Morgan Stanley Managed IRA - SPDR Morgan Stanley Technology (MTK) (X)	A	Dividend			Sold (part)	02/17/17	J	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 13

Name of Person Reporting

JORGENSEN, CINDY K.

Date of Report

10/23/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86.					Sold (part)	04/27/17	J		
87.					Sold	08/18/17	K		
88. Morgan Stanley Managed IRA - SPDR S&P Capital Markets ETF (KCE)	A	Dividend			Sold (part)	02/17/17	J	B	
89.					Buy (add'l)	02/17/17	J		
90.					Sold	08/18/17	J		
91. Morgan Stanley Managed IRA - S&P 500 Index Fund (IVV)	A	Dividend			Buy (add'l)	02/17/17	J		
92.					Sold	08/18/17	K	C	
93. Morgan Stanley Managed IRA - SPDR S&P Retail (XRT)	A	Dividend	K	T	Buy	08/18/17	K		
94. Morgan Stanley Managed IRA - SPDR S&P Transn ETF (XTN)	A	Dividend			Sold	02/17/17	J	A	
95. Morgan Stanley Managed IRA - The Financial Sel Sect SPDR FD (XLF)	A	Dividend			Buy (add'l)	02/17/17	J		
96.					Sold (part)	04/27/17	J		
97.					Sold	08/18/17	J	B	
98. Morgan Stanley Managed IRA - UBS E- Tracs Alerian MLP ETN (MLPI)	B	Royalty			Sold (part)	02/17/17	J	B	
99.					Buy (add'l)	04/27/17	J		
100.					Sold	08/18/17	K		
101. Morgan Stanley Managed IRA - Vanek Vectors ETF Semicond (SMH)	A	Dividend	K	T	Buy	08/18/17	K		
102. Morgan Stanley Managed IRA - Vanguard Info Tech ETF (VGT)	A	Dividend	K	T	Buy	08/18/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 13

Name of Person Reporting

JORGENSEN, CINDY K.

Date of Report

10/23/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. Morgan Stanley Managed IRA - Vanguard Large Cap ETF (VV)	A	Dividend			Buy (add'l)	02/17/17	J		
104.					Sold (part)	04/27/17	J		
105.					Sold	08/18/17	K	A	
106. Morgan Stanley Managed IRA -Vanguard TTL Stk Mkt ETF (VTI)	B	Dividend	M	T	Buy	08/18/17	M		
107. Morgan Stanley Managed IRA - Wisdomtree Euro Sm Cap Div ETF (DFE)	A	Dividend	K	T	Buy (add'l)	08/18/17	K		
108. Morgan Stanley Managed IRA - Wisdomtree Europe Hedged Equity (HEDJ)	A	Dividend			Sold (part)	02/17/17	L	A	
109.					Sold (part)	04/27/17	J		
110.					Sold	08/18/17	K		
111. Morgan Stanley Managed IRA - Wisdomtree TR ITL HDG QTLY (IHDG)	A	Dividend			Buy	02/17/17	K		
112.					Sold (part)	04/27/17	J		
113.					Sold	08/18/17	K	B	
114. Morgan Stanley Managed IRA - Wisdomtree Japan SM Cap Div (DFJ)	A	Dividend			Buy (add'l)	02/17/17	J		
115.					Sold (part)	04/27/17	J		
116.					Sold	08/18/17	J	A	
117. Morgan Stanley Managed IRA - Wisdomtree Japan Hdg Real Est (DXJ)fka	A	Dividend	K	T	Buy (add'l)	08/18/17	K		
118. Morgan Stanley Managed IRA - Wisdomtree Low P/E ETF (EZY)		None			Sold	02/17/17	K	C	
119. Morgan Stanley Bank Deposit (fka)	A	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 13

Name of Person Reporting

JORGENSEN, CINDY K.

Date of Report

10/23/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Wells Fargo Bank Accounts		None	K	T					
121.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

Name of Person Reporting	Date of Report
JORGENSEN, CINDY K.	10/23/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 2 amended to Buy from Buy (add'l).

FINANCIAL DISCLOSURE REPORT

Page 13 of 13

Name of Person Reporting

JORGENSEN, CINDY K.

Date of Report

10/23/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ CINDY K. JORGENSEN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544