

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) O'CONNOR, SANDRA D.	2. Court or Organization SUPREME COURT OF UNITED STATES	3. Date of Report 04/22/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Associate Justice (Ret.)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 1 FIRST STREET, N.E. WASHINGTON, D.C. 20543		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board Member	The National Constitution Center
2. Member	The Rockefeller Foundation, Board of Trustees
3. Member, Advisory Board	Smithsonian National Museum of Natural History
4. Board Member	ABA Central European and Eurasian Law Initiative Council
5. Board Member	ABA Museum of Law
6. Co-Chair, National Advisory Council	Campaign for the Civic Mission of Schools
7. Board Member	Randall Lineback Breed Association
8. Board Member	William H. Rehnquist Center
9. Advisor	Aspen Institute's Justice and Society Program
10. Advisory Board Member	Bill Lane Center for the Study of the North American West at Stanford
11. Chair of the Board of Directors	iCivics.org
12. Chair of the Advisor Committee	O'Connor Advisory Committee
13. Advisory Board Member	Lloyd N. Cutler Center for the Rule of Law at the Salzburg Global Seminar
14. Special Advisor	American Bar Association Commission on Civic Education in the Nation's Schools
15. Advisory Board Member	Alzheimer's Drug Discovery Foundation
16. Honorary Board Member	International Network to Promote the Rule of Law (INPROL)

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17. Co-Chair	National Advisory Council - Heard Museum
18. Board Member	National Institute for Civil Discourse - University of Arizona
19. Special Advisor	O'Connor House Project
20. Advisory Board Member	American Bar Association - International Criminal Court Project
21. Advisory Board Member	Brookings Advisory Committee

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.

2.

3.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 01/15/13	Random House - royalty	\$757.94
2. 01/16/13	Random House - recording fee	\$5,000.00
3. 07/11/13	Random House - royalty	\$1,475.87
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. California Dept. of Education - Curriculum Leadership Symposium	February 19-22	Monterey, CA	Gave luncheon remarks at the Curriculum Leadership Symposium	Transportation
2. State Bar of California - Civic Learning California Summit	February 27-28	Sacramento, CA	Dinner with Governor and group of policymakers; luncheon remarks	Transportation, lodging and meals
3. Random House	March 3-5	New York City, NY	Various appearances for release of new book	Transportation and lodging
4. AT&T Legal Conference	March 5-6	Dallas, TX	Remarks	Transportation, lodging and meals
5. Random House	March 15-16	Philadelphia, PA	Various appearances for release of new book	Transportation and accommodations

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6.	Association of Supervision and Curriculum Development	March 16-17	Chicago, IL	Attend reception and give remarks	Transportation, lodging and meals
7.	Ohio State Bar Association - Thoams J. Moyer Judicial Center	March 19	Columbus, OH	Participate in opening of the forum for the Thomas J. Moyer Judicial Center	Transportation
8.	Rockefeller Foundation and Random House	March 25-28	New York City, NY	Attend board meetings; appearance for release of new book	Transportation, lodging and meals
9.	Harvard University Law School and Boston College of Law	March 31 - April 2	Boston, MA	Attend and participate in various panel discussions and deliver remarks	Transportation, lodging and meals
10.	Eureka College	April 9-10	Eureka, IL	Participate in various receptions, visits to classes and deliver remarks	Transportation, lodging and meals
11.	Charleston School of Law and the Riley W. Riley Institute of Government	April 14-15	Charleston, SC	Participate in panel presentation and opening and closing remarks	Transportation, lodging and meals
12.	American Inns of Court	May 17-18	New Orleans, LA	Participate in panel session	Transportation, lodging and meals
13.	Elmhurst College	May 30-31	Elmhurst, LA	Remarks	Transportation, lodging and meals
14.	Truman Library, Kansas City Library, and Western Court Historial Society	June 3-4	Kansas City, MO	Remarks	Transportation, lodging and meals
15.	The Oklahoma Supreme Court and Western Court Historial Society	June 4-7	Oklahoma City, OK	Attend various functions, participate on a panel and gave remarks	Transportation, lodging and meals
16.	White & Williams LLP	June 11	Philadelphia, PA	Remarks	Transportation, lodging and meals
17.	Dallas Bar Association	June 12	Dallas, TX	Remarks and participate in discussions	Transportation, lodging and meals
18.	Texas Supreme Court Historical Society	June 13-14	Austin, TX	Remarks	Transportation, lodging and meals
19.	Rockefeller Foundation	June 25-27	New York City, NY	Attend board meetings	Transportation, lodging and meals
20.	Federal Judicial Center	July 16	San Diego, CA	Remarks	Transportation and meals
21.	National Conference of State Legislatures	August 12-13	Atlanta, GA	Remarks	Transportation, lodging and meals
22.	Boise State Univeristy - Andrus Center for Public Policy	September 4-5	Boise, ID	Remarks	Transportation, lodging and meals
23.	University of Montana	September 13-14	Missoula, MT	Remarks	Transportation, lodging and meals
24.	Pat Broe, Rebecca Kourlis and Inst. for the Advancement of the American Legal System	September 15-18	Denver, CO	Participate in committee meetings	Transportation, lodging and meals

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25.	US District Court for the District of Rhode Island and Roger Williams Law School	October 9-10	Providence, RI	Remarks	Transportation, lodging and meals
26.	American Board of Trial Advocates	October 12	Austin, TX	Remarks	Transportation, lodging and meals
27.	Junior League of San Francisco	October 22-23	San Francisco, CA	Remarks	Transportation, lodging and meals
28.	James Madison's Montpelier and The Colonnades	November 2-4	Charlottesville, VA	Remarks	Lodging and meals
29.	Lawyers Association of Kansas City and Johnson County First Amendment Foundation	November 11-12	Kansas City, MO	Remarks	Transportation, lodging and meals
30.	Appellate Judges Education Institute	November 15	San Diego, CA	Remarks	Transportation, lodging and meals
31.	UCLA - Ziman Real Estate Center	December 1-2	Los Angeles, CA	Remarks	Transportation
32.	Association of Business Trial Lawyers	December 5-11	San Francisco, CA	Remarks	Transportation

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1. _____

2. _____

3. _____

4. _____

5. _____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1. _____

2. _____

3. _____

4. _____

5. _____

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. AZ Elected Officials Retirement	C	Pension	J	T					
2. Checking Account: Suntrust Bank, Washington, DC	A	Interest	J	T					
3. Checking Accounts - Capitol One Washington, D. C.	A	Interest	M	T					
4. Oil and Gas Royalty fractional interest, Panola Cty., TX	A	Royalty	J	W					
5. Folger, Nolan, Fleming Douglas - By Pass Trust Account	A	Int./Div.	K	T					
6. Abbott Laboratories	A	Dividend	K	T					
7. Abbvie Inc.	B	Dividend	L	T	Spinoff (from line 6)	01/02/13	K		
8. Adobe Systems, Inc.		None	M	T					
9. Apache Corp. Common	A	Dividend	L	T					
10. Aqua America	B	Dividend	L	T					
11. Bank New York Mellon Corp	B	Dividend	L	T					
12. Becton Dickinson & Co.	B	Dividend	L	T					
13. Caterpillar Inc.	B	Dividend	L	T					
14. Chevron Corporation	C	Dividend	M	T					
15. Church & Dwight Inc.	B	Dividend	M	T					
16. Cisco Systems Inc.	B	Dividend	L	T					
17. Dentsply International	A	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. EMC Corp Mass	B	Dividend	L	T					
19. Exxon Mobil Corp	C	Dividend	M	T					
20. General Electric Co	C	Dividend	M	T					
21. Illinois Tool Works Inc.	B	Dividend	M	T					
22. Intel Corp	C	Dividend	L	T					
23. International Business Machines Corp IBM	B	Dividend	L	T					
24. Johnson & Johnson	B	Dividend	L	T					
25. JP Morgan Chase & Co.	C	Dividend	M	T					
26. Lowes Companies Inc.	B	Dividend	M	T					
27. Microsoft Corp	B	Dividend	L	T					
28. Nextera Energy	B	Dividend	L	T					
29. Pepsico Inc	B	Dividend	L	T					
30. Procter & Gamble Co.	C	Dividend	L	T					
31. T Rowe Price Group Inc.	B	Dividend	M	T					
32. Target Corp.	B	Dividend	L	T					
33. US Treasury Bond Due 01-17-13	A	Interest			Sold	01/17/13	M		
34. US Treasury Bond Due 02-24-13	A	Interest			Sold	02/14/13	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. US Treasury Bill Due 07-18-13	A	Interest			Buy	01/24/13	M		
36.					Sold (part)	05/29/13	M		
37.					Sold	07/18/13	L		
38. US Treasury Bill Due 08-15-13	A	Interest			Buy	02/25/13	M		
39.					Sold	08/15/13	M		
40. US Treasury Bill Due 01-16-14			L	T	Buy	07/23/13	L		
41. US Treasury Bill Due 02-13-14			M	T	Buy	08/16/13	L		
42. United Technologies Corp.	B	Dividend	M	T					
43. Walgreen Company	C	Dividend	M	T					
44. Wells Fargo Company	C	Dividend	L	T					
45. Time for a Change, LLC	D	Dividend	M	T	Buy	05/29/13	M		
46. Folger Nolan Fleming Douglas - IRA Account	A	Interest	K	T					
47. -Abbott Laboratories	A	Dividend	J	T					
48. -Abbvie Inc.	A	Dividend	K	T	Spinoff (from line 47)	01/02/13	J		
49. -Adobe Systems Inc		None	K	T					
50. -Apache Corp.	A	Dividend	K	T					
51. -AT&T Corp New	A	Dividend	K	T					

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52. -Bank New York Mellon Corp	A	Dividend	K	T					
53. -Becton Dickinson & Co.	A	Dividend	K	T					
54. -Caterpillar Inc.	A	Dividend	K	T					
55. -Chevron Corp	B	Dividend	K	T					
56. -Church & Dwight Inc	A	Dividend	K	T					
57. -Cisco Systems Inc.	A	Dividend	K	T					
58. -Dentsply International	A	Dividend	K	T					
59. -Dominion Resources Inc of VA	A	Dividend	K	T					
60. -EMC Corp Mass	A	Dividend	K	T					
61. -Exxon Mobil Corp	A	Dividend	K	T					
62. -General Electric Co	B	Dividend	K	T					
63. -Illinois Tool Works Inc	A	Dividend	K	T					
64. -Intel Corp	A	Dividend	K	T					
65. -International Business Machines Corp IBM	A	Dividend	K	T					
66. -Johnson & Johnson	A	Dividend	K	T					
67. -JPMorgan Chase & Co	A	Dividend	K	T					
68. -Lowes Companies Inc	A	Dividend	K	T					

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69. -Microsoft Corp	A	Dividend	K	T					
70. -Pepsico Inc	A	Dividend	K	T					
71. -Procter & Gamble Co.	A	Dividend	K	T					
72. -Southern Company	A	Dividend	J	T					
73. -T Rowe Price Group Inc.	A	Dividend	K	T					
74. -Target Corp	A	Dividend	K	T					
75. -US Treasury Bills Due 02-14-13	A	Interest			Sold	02/14/13	O		
76. -US Treasury Bill Due 08-15-13	A	Interest			Buy	02/25/13	O		
77.					Sold (part)	05/29/13	L		
78.					Sold	08/15/13	O		
79. -US Treasury Bill Due 02-13-14			O	T	Buy	08/16/13	O		
80. -United Technologies Corp	A	Dividend	K	T					
81. -Walgreen Company	A	Dividend	K	T					
82. -Wells Fargo Company	A	Dividend	K	T					
83. Folger Nolan Fleming Douglas (Cash Account)	A	Interest	K	T					
84. --US Treasury Bills Due 01-17-13	A	Interest			Sold	01/17/13	L		
85. --US Treasury Bills Due 05-16-13	A	Interest			Sold	05/16/13	M		

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86. --US Treasury Bill Due 07-18-13					Buy	01/24/13	L		
87.	A	Interest			Sold	05/29/13	L		
88. --US Treasury Bill Due 11-14-13					Buy	05/17/13	M		
89.		None			Sold	05/29/13	M		
90. Time for a Change, LLC	D	Dividend	N	T	Buy	05/29/13	N		
91.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

O'CONNOR, SANDRA D.

Date of Report

04/22/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

O'CONNOR, SANDRA D.

Date of Report

04/22/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ SANDRA D. O'CONNOR**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544