

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Huennekens, Kevin R.	2. Court or Organization Virginia - Bankruptcy Court	3. Date of Report 05/11/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 701 East Broad Street Fifth Floor Richmond, VA 23219-1888		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Wages Virginia Commonwealth University - Assistant Professor
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National Conference of Bankruptcy Judges	April 13-14, 2015	San Antonio, Tx	Attend board meeting at nat'l conference	Transportation, Meals, Hotel Room
2. Northern Virginia Bankruptcy Bar Association	April 15-16, 2015	Fairfax, VA	Faculty for legal educational seminar	Transportation, Meal, Hotel Room
3. American Bankruptcy Institute	April 16-18, 2015	Washington, DC	Faculty for legal educational seminar	Transportation, Meals, Hotel Room
4. Assoc. of Insolvency and Restructuring Advisors	June 4-6, 2015	Philadelphia, PA	Faculty for educational seminar	Transportation, Hotel Room
5. Tidewater Bankruptcy Bar Assoc.	June 11-13, 2015	Virginia Beach, VA	Faculty for legal education seminar	Transportation, Meals, Hotel Room

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6.	Virginia State Bar (CLE)	September 18-19, 2015	Chalottesville, VA	Faculty for legal educational seminar at MidAtlantic Bankruptcy Institute	Meals, Hotel Room
7.	National Conference of Bankruptcy Judges	September 27-30, 2015	Miami, FL	Attend board meeting at nat'l conference & faculty for legal educational seminar	Transportation, Hotel Room
8.	American Bankruptcy Institute	October 8-9, 2015	Washington DC	Faculty for legal educational seminar	Meals & Hotel Room
9.	American Bankruptcy Institute	October 22-23, 2015	Alexandria, VA	Faculty for legal education program	Transportation, Meals & Hotel Room

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Northwestern Mutual adjustable Complife Policy	C	Dividend	L	T					
2. First Nat'l Bank of Omaha - Health Savings Account	A	Interest	J	T					
3. IRA for MEH IMLBX	A	Int./Div.	K	T					
4. Wells Fargo Savings Account	A	Interest	J	T					
5. Suntrust Checking Account	A	Interest	J	T					
6. Wells Fargo Advisors Cap Account - 9801	A	Interest	K	T					
7. IRA #1 for KRH (Account 5991)	D	Int./Div.	O	T					
8. - cash and sweep balances in Wells Fargo Account 5991									
9. - IJR					Sold (part)	04/29/15	J	A	
10.					Sold (part)	10/12/15	J	A	
11.					Buy (add'l)	10/23/15	J		
12. - CIU					Sold (part)	10/12/15	J	A	
13.					Buy (add'l)	10/23/15	J		
14. - LQD					Sold (part)	01/26/15	J	A	
15.					Sold (part)	07/28/15	J	A	
16.					Sold (part)	10/12/15	J	A	
17.					Buy (add'l)	10/23/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - CSJ					Buy	01/26/15	J		
19.					Sold (part)	07/28/15	J	A	
20.					Buy (add'l)	10/23/15	J		
21. - DBC					Buy (add'l)	10/23/15	J		
22. - JNK					Buy	02/26/15	J		
23.					Sold (part)	10/12/15	J	A	
24.					Buy (add'l)	10/23/15	J		
25. - VWO					Buy (add'l)	04/29/15	J		
26.					Buy (add'l)	06/18/15	J		
27.					Buy (add'l)	10/23/15	J		
28. - VEA					Buy	07/29/15	J		
29.					Buy (add'l)	10/23/15	J		
30. - IJH					Buy	04/29/15	J		
31.					Sold (part)	10/12/15	J	A	
32.					Buy (add'l)	10/23/15	J		
33. - XLF					Sold (part)	10/12/15	J	A	
34.					Buy (add'l)	10/23/15	J		

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35. - XLV					Buy (add'l)	10/23/15	J		
36. - XLY					Sold (part)	10/12/15	J	A	
37.					Buy (add'l)	10/23/15	J		
38. - XLI					Sold (part)	10/12/15	J	A	
39.					Buy (add'l)	10/23/15	J		
40. - VNQ					Buy (add'l)	07/29/15	J		
41.					Sold (part)	10/12/15	J	A	
42.					Buy (add'l)	10/23/15	J		
43. - VOX					Buy	10/23/15	J		
44. - XLP					Buy (add'l)	10/23/15	J		
45. - VGT					Sold (part)	10/12/15	J	A	
46.					Buy (add'l)	10/23/15	J		
47. - XLB					Buy (add'l)	10/23/15	J		
48. - XLE					Sold (part)	10/12/15	J	A	
49.					Buy (add'l)	10/23/15	J		
50. - BND					Sold (part)	10/12/15	J	A	
51.					Buy (add'l)	10/23/15	J		

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52. - EWU					Buy (add'l)	10/23/15	J		
53. - EWY					Sold	06/18/15	J		
54. - IGN					Sold (part)	10/12/15	J	A	
55.					Buy (add'l)	10/23/15	J		
56. - EWW					Buy (add'l)	10/23/15	J		
57. - FEZ					Sold (part)	10/12/15	J	A	
58.					Buy (add'l)	10/23/15	J		
59. - XRT					Buy (add'l)	10/23/15	J		
60. - ECON					Buy (add'l)	10/23/15	J		
61. - EWG					Sold (part)	10/12/15	J	A	
62.					Buy (add'l)	10/23/15	J		
63. - IEF					Sold (part)	07/28/15	J	A	
64.					Sold (part)	10/12/15	J	A	
65.					Buy (add'l)	10/23/15	J		
66. - PFF					Buy (add'l)	10/23/15	J		
67. - SPY					Buy (add'l)	03/09/15	J		
68.					Sold (part)	10/12/15	J	A	

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69.					Buy (add'l)	10/23/15	J		
70. IRA #2 for KRH (Account 9340)	A	Int./Div.	J	T					
71. - cash and sweep ballancesi in Wells Fargo Account 9340									
72. - IVV					Sold	06/16/15	J	A	
73. - IJH					Sold	05/18/15	J	A	
74.					Buy	06/16/15	J		
75.					Sold	08/20/15	J		
76. - MVV					Sold	03/02/15	J		
77.					Buy	06/02/15	J		
78.					Sold	08/20/15	J	A	
79. - SSO					Sold	06/16/15	J		
80. - UST					Sold	06/16/15	J	A	
81.					Buy	08/03/15	J		
82.					Sold	09/02/15	J		
83. - SHY					Buy	03/02/15	J		
84.					Sold	06/16/15	J	A	
85.					Buy	08/03/15	J		

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86.					Sold	09/02/15	J	A	
87. - UWM					Sold	08/20/15	J		
88. - IWM					Sold	08/20/15	J		
89. - IEF					Sold	03/02/15	J	A	
90.									
91.									
92.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII - Line 7 - Entry isted in Column A is a header. Each asset held in this account is listed seperately at lines 8 through 69..

Part VII - Line 70 - Entry isted in Column A is a header. Each asset held in this account is listed seperately at lines 71 through 89. The assets in this account were all sold and the cash proceeds were transfered into IRA account # 5991 at FWells Fargo in October 2015.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Kevin R. Huennekens**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544