

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2015Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Whipple, Mary Ann	2. Court or Organization U.S. Bankruptcy Court, N.D. OH	3. Date of Report 05/12/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2015 to 12/31/2015
7. Chambers or Office Address James M. Ashley and Thomas W.L. Ashley United States Courthouse 1716 Spielbusch Avenue, Room 111 Toledo, OH 43604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Custodian	Uniform Gift to Minors Act #1 [See Note in Part VIII]
2.	Custodian	Uniform Gift to Minors Act Minor #2 [See Note Part VIII]
3.	Trustee	Trust #1 [See Note Part VIII]
4.	Executive Committee Member	Morrison R. Waite Chapter, American Inns of Court
5.	Executive Board Member	University of Michigan Club of Toledo

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1991	State Teachers Retirement System (Ohio); interest in public pension plan
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. American Bankruptcy Institute (ABI)	6/11/15 to 6/14/15	Traverse City, MI	Workshop speaker	workshop registration fee and materials and lodging
2. Cleveland Metro Bar Association	5/18/15 to 5/19/15	Cleveland, OH	Seminar speaker	seminar registration fee and materials, transportation expenses, and lodging
3. National Conference of Bankruptcy Judges (NCBJ)	9/28/15 to 9/30/15	Miami, FL	Attend NCBJ annual meeting	lodging
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Huntington National Bank(IRA)(CD)(cash accounts)	A	Interest	M	T					See Note in Part VIII
2. Merrill Lynch Ready Assets Trust	A	Dividend	J	T					
3. Blackrock Mid Cap Growth Equity Portfolio Class A	B	Dividend	K	T					
4. AB International Growth Fund Class A		None	J	T					See Note in Part VIII
5. Nuveen Insured Municipal Opportunity Fund	A	Dividend	J	T					
6. Blackrock Balanced Capital Fund Class A	A	Dividend	J	T					
7. MFS Municipal Income Fund A	A	Dividend	J	T					
8. MFS Global Total Return Fund A	A	Dividend	K	T					
9. MainStay Large Cap Growth Fund Class A	C	Dividend	K	T					
10. MainStay Common Stock Fund Class A	A	Dividend	K	T					
11. MainStay ICAP Select EQ Fund Class A	D	Dividend	K	T					
12. MainStay Cornerstone Growth Fund Inv. Class (IRA)	A	Dividend	J	T					
13. Investment Company of America-A Fund Number 4	D	Dividend	L	T					
14. Franklin Equity Income Fund-Class A	A	Dividend	K	T					
15. UBS Pace Large Company Value Equity Fund Class C	B	Dividend	J	T					
16. UBS U.S.Equity Opportunity Fund Class C		None	K	T					
17. UBS Pace International Fixed Income Fund Class C	A	Dividend	K	T					

1. Income Gain Codes:	A ~\$1,000 or less (See Columns B1 and D4)	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes	F ~\$50,001 - \$100,000 (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000
3. Value Method Codes	P3 ~\$25,000,001 - \$50,000,000 (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. UBS U.S. Allocation Fund Class C		None	K	T					
19. UBS RMA Money Market Portfolio		None	J	T					
20. Columbus Life Ins. Co. Annuity	C	Interest	M	T					
21. Vanguard Windsor II Fund (UGMA #2)	A	Dividend	K	T					
22. Washington Federal Seattle Wash. (CD UGMA #1)		None			Closed	01/09/15	K		See Note in Part VIII
23. Washington Federal Seattle Wash (CD UGMA #2)	A	Interest	K	T					
24. U.S. Savings Bonds		None	K	T					
25. STRS (Ohio) Money Market Account (Ohio public pension)	A	Dividend	J	T					
26. STRS (Ohio) Russell 1000 Index Fund (Ohio public pension)	A	Dividend	J	T					
27. PNC Bank (cash account)(CD)	A	Interest	L	T					
28. United Services Federal Credit Union (cash account)	A	Interest	J	T					
29. FirstMerit Bank (cash account)	A	Interest	K	T					
30. Northwestern Mutual Extra Ordinary Life Policy	A	Dividend	J	T					
31. Northwestern Mutual 65 Life Policy	A	Dividend	K	T					
32. New York Life Whole Life Policy	A	Dividend	J	T					
33. Washington Federal Seattle Wash (cash account)(CD)	A	Interest	M	T					
34. The Trust Company of Toledo, N.A.(IRA Rollover) (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. --Vanguard Institutional Index Fund (IRA)	D	Dividend	M	T					
36. --Buffalo Small Cap Fund (IRA)		None	L	T	Buy (add'l)	12/22/15	K		
37. --MFS Int'l New Discovery-1 Fund (IRA)	A	Dividend	K	T					
38. --Vanguard Short Term Federal Bond Fund (IRA)	A	Dividend	L	T					
39. --Northern Institutional Prime Obligations Portfolio (IRA)	A	Interest	L	T					
40. --Vanguard Intermediate Term Bond Index (IRA)	B	Dividend	L	T	Buy (add'l)	04/01/15	J		
41.					Buy (add'l)	12/23/15	J		
42. --Harbor International Fund (IRA)	B	Dividend	L	T	Buy (add'l)	12/21/15	J		
43. --Harbor Bond Fund (IRA)	A	Dividend	K	T	Buy (add'l)	12/21/15	J		
44. --Fidelity Contrafund (IRA)	A	Dividend	L	T	Buy (add'l)	02/09/15	J		
45.					Buy (add'l)	12/14/15	J		
46. --Sterling Capital Stratton Small- Cap Value Fund (IRA)	A	Dividend	K	T	Buy (add'l)	12/21/15	J		See Note in Part VIII
47. --Dodge & Cox Stock Fund (IRA)	A	Dividend	L	T	Buy (add'l)	03/30/15	J		
48.					Buy (add'l)	12/23/15	J		
49. --Vanguard Dividend Growth-1 (IRA)	A	Dividend	K	T	Buy (add'l)	03/27/15	J		
50.					Buy (add'l)	12/17/15	J		
51. --Invesco Int'l Growth (IRA)	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Trust #1 (H)									See Note in Part VIII
53. --Massachusetts Mutual Permanent Life Policy (X)	C	Dividend	M	T					
54. --Northwestern Mutual Whole Life Policy (X)	C	Dividend	M	T					
55. --Columbus Life Insurance Policy (whole life) (X)	A	Dividend	K	T					
56. The Huntington Investment Co. (H)									
57. --American Funds: Capital World Growth & Income Fund A	A	Dividend	J	T	Buy	04/22/15	J		
58. --American Funds: Washington Mutual Investors Fund Class A	A	Dividend	J	T	Buy	04/22/15	J		
59. --American Funds: High Income Municipal Bond Fund Class A	A	Dividend	J	T	Buy	04/22/15	J		
60. --American Funds: Tax Exempt Bond Fund of America A	A	Dividend	J	T	Buy	04/22/15	J		

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Parts I and VII Notes: Trust #1 is a life insurance trust for a family member of which I am the trustee. The three whole life insurance policies assigned to Trust #1 are reported in Part VII [Lines 53-55].

Part I and VII Notes: Minor #1, a family member, reached the age of majority under the Ohio UGMA statute in 2011. However, the Washington Federal (Seattle Wash) CD reported at Part VII [Line 22] was still maintained in my name as custodian at December 31, 2014. The beneficiary redeemed the CD, closed the account and removed the funds from my nominal custody on January 9, 2015. Minor #2, a family member, reached the age of majority under the Ohio UGMA statute in 2014. However, the Washington Federal (Seattle Wash) CD reported at Part VII [Line 23] and the Vanguard Windsor II Fund [Line 21] were both still maintained in my name as custodian and in my nominal custody at December 31, 2015.

Part VII Note: The Huntington National Bank IRA reported at Part VII [Line 1] is invested in a Huntington National Bank CD.

Part VII Note: AllianceBernstein International Growth Fund Class A reported at Part VII [Line 4] changed its name to AB International Growth Fund Class A effective January 20, 2015.

Part VII Note: Stratton Small-Cap Value Fund reported at Part VII [Line 46] changed its name to the Sterling Capital Stratton Small-Cap Value Fund effective November 17, 2015.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Mary Ann Whipple**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544