

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hacker, James S.	2. Court or Organization United States Court-Southern District of Texas	3. Date of Report 05/13/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 1300 Victoria St., Ste. 2317 Laredo, Texas 78040		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Adjunct Professor	Texas A&M International University.
2. Member	Harmony Public Schools Advisory Board, Laredo, Texas.
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Hacker, James S.

Date of Report

05/13/2016

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2015	Texas A&M International University--teaching	\$6,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Hacker, James S.

Date of Report

05/13/2016

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Hacker, James S.

Date of Report

05/13/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Texas District/County Retirement Account	A	Interest	J	T					
2. IBC Bank, Acct. #1	A	Interest	K	T					
3. IBC Bank, Acct. #2	A	None	J	T	Open	04/01/15	J		
4. Security Services Federal Credit Union, Savings Acct. # 1	A	Interest	J	T	Open	05/16/15	J		
5. Royalty Interest, Gregg County, Texas (Chinn Exploration)	A	Royalty	K	W					
6. Royalty Interest, Panola County, Texas (Sojitz Energy)	A	Royalty	K	W					
7. Royalty Interest, Claiborne Parrish, LA (Whiting Petro.)		None	J	W					
8. Royalty Interest, Freestone County, Texas		None	J	W					
9. Royalty Interest, Rusk County, Texas (Sabine Oil and Gas)	A	Royalty	K	W					
10. Brokerage Account #1									
11. Scottrade Money Balance	A	Interest	J	T					
12. Texas Tuition Promise Fund (age based account #1)		None	L	T					
13. Texas Tuition Promise Fund (age based account #2)		None	K	T					
14. Texas Tuition Promise Fund (age based account #3)		None	K	T					
15. Texas Tuition Promise Fund (age based account #4)		None	K	T					
16. Texas Tuition Promise Fund (age based account #5)		None	K	T	Buy	02/03/15	K		
17. Texas College Savings Plan (529 account #1)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Hacker, James S.

Date of Report

05/13/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Blended 100% portfolio		None	J	T	Buy (add'l)	01/02/15	J		
19.					Buy (add'l)	08/20/15	J		
20. Blended Age Based 0-6 portfolio		None	J	T	Buy (add'l)	01/02/15	J		
21.					Buy (add'l)	08/20/15	J		
22. Index 100% Equity portfolio		None	J	T	Buy (add'l)	01/02/15	J		
23.					Buy (add'l)	08/20/15	J		
24. Texas College Savings Plan (529 account #2)									
25. Blended 100% portfolio		None	J	T	Buy (add'l)	01/02/15	J		
26.					Buy (add'l)	08/20/15	J		
27. Blended Age Based 0-6 portfolio		None	J	T	Buy (add'l)	01/02/15	J		
28.					Buy (add'l)	08/20/15	J		
29. Index 100% Equity portfolio		None	J	T	Buy (add'l)	01/02/15	J		
30.					Buy (add'l)	08/20/15	J		
31. Texas College Savings Plan (529 account #3)									
32. Blended 100% portfolio		None	J	T	Buy (add'l)	01/02/15	J		
33.					Buy (add'l)	08/20/15	J		
34. Blended Age Based 0-6 portfolio		None	J	T	Buy (add'l)	01/02/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Hacker, James S.

Date of Report

05/13/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	08/20/15	J		
36. Index 100% Equity portfolio		None	J	T	Buy (add'l)	01/02/15	J		
37.					Buy (add'l)	08/20/15	J		
38.									
39.									
40.									
41.									
42.									
43.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Hacker, James S.

Date of Report

05/13/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Texas College Savings Plan (529 account #1: Blended 100% Equity Portfolio; Blended Age Base 0-6 Portfolio; and Index 100% Equity Portfolio). The account was opened in 2014. There were seven contributions in each of the three portfolios in this 529 account under \$1,000 beginning on 01/02/15. There was one contribution over \$1,000 in each of the three portfolios in this 529 account on 08/20/15. The statements for the 529 account only reflect a "change in market value."

Texas College Savings Plan (529 account #2: Blended 100% Equity Portfolio; Blended Age Base 0-6 Portfolio; and Index 100% Equity Portfolio). The account was opened in 2014. There were seven contributions in each of the three portfolios in this 529 account under \$1,000 beginning on 01/02/15. There was one contribution over \$1,000 in each of the three portfolios in this 529 account on 08/20/15. The statements for the 529 account only reflect a "change in market value."

Texas College Savings Plan (529 account #3: Blended 100% Equity Portfolio; Blended Age Base 0-6 Portfolio; and Index 100% Equity Portfolio). The account was opened in 2014. There were seven contributions in each of the three portfolios in this 529 account under \$1,000 beginning on 01/02/15. There was one contribution over \$1,000 in each of the three portfolios in this 529 account on 08/20/15. The statements for the 529 account only reflect a "change in market value."

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Hacker, James S.

Date of Report

05/13/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ James S. Hacker**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544