

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Wehrman, J. Gregory	2. Court or Organization U.S. District Court, EDKY	3. Date of Report 05/12/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge, full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 35 W. 5th Street, Room 310 Covington, KY 41011		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	



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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2. 2015	Kentucky Retirement Systems	\$2,675.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Huntington Bancshares, common stock	B	Dividend	M	T					
2. Fifth Third - bank acct	A	Interest	J	T					
3. Fifth Third - bank acct	A	Interest	J	T					
4. Caldwell Securities/Wedbush Morgan IRS	D	Interest	O	T					
5. - Wedbush Inc.									
6. - Nasdaq Stock									
7. - Qualstar Corp.									
8. - Babson Capital									
9. - Capital Southwest Corp.									
10. -CSW Industrials					Spinoff (from line 9)	09/30/15	M		
11. - Bank of Utica									
12. - Leadis Tech Inc.									
13. -Sadlier William H. Inc.									
14. - First Opportunity Fund									
15. -Boulder Growth & Income					Merged (with line 14)	03/24/15	J		
16. - Nicholas Financial Inc.									
17. - Steel Partners Holdings									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. - HMG Courtland									
19. - Meritage Hosp.									
20. - Anchor Bancorp									
21. - Regency Affiliates									
22. Summit Hills CC, Edgewood membership stock		None	J	U					
23. Ky Fed Savings & Loan	A	Interest	J	T					
24. Ky Retirement Systems - retirement	C	Interest	J	T					
25. Heritage Bank -common stock	A	Dividend	J	T					
26. Perry Park, Ky-house/lot int cnty ass'd 90,500 1/98 100%		None	K	S					
27. Fidelity Investment IRA	B	Dividend	L	T					
28. -Columbia Cash Reserves									
29. -Procter & Gamble Co.									
30. Fidelity Investment IRA	E	Dividend	P1	T					
31. -Abbott Labs-common stock									
32. -Hospira, Inc.-common stock									
33. -Motorola - common stock									
34. -Oncothyreon, Inc.									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -Pfizer, Inc. -common stock									
36. -Monsanto Co.-common stock									
37. -Huntington Bancshares-common stock									
38. -Fifth Third Bancorp-common stock									
39. -US Bancorp-common stock									
40. -Piper Jaffey Co-common stock									
41. -P&G common stock									
42. -Cash Reserves									
43. -Abbvie, Inc.-common stock									
44. Fifth Third Bank common stock	A	Dividend	J	T					
45. Perry Park, Ky, lot assessed 100%-\$18,000		None	K	S					
46. CBA Pharma, Inc. common stock		None	J	T					
47. Allstate Insurance common stock	B	Dividend	L	T					
48. US Bancorp common stock	C	Dividend	N	T					
49. Piper Jaffey Cos-common stock	A	Dividend	J	T					
50. Conestoga Energy Partners	D	Dividend	N	T					
51. -Fifth Third Bank									

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52. -US Bancorp									
53. -Huntington Bancshares									
54. -First Energy									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ J. Gregory Wehrman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544