

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) DODD, DOUGLAS D.	2. Court or Organization MIDDLE DISTRICT OF LOUISIANA	3. Date of Report 04/24/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 707 FLORIDA ST. STE. 236 BATON ROUGE, LOUISIANA 70801		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. CO-TRUSTEE	TRUST # 1 CLASS TRUST (SEE NOTE IN PART VIII)
2. TRUSTEE	TRUST # 2 CLASS TRUST (SEE NOTE IN PART VIII)
3. ADJUNCT PROFESSOR OF LAW	LOUISIANA STATE UNIVERSITY LAW CENTER
4. ADJUNCT PROFESSOR OF LAW	SOUTHERN UNIVERSITY LAW CENTER
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

DODD, DOUGLAS D.

Date of Report

04/24/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2017	LOUISIANA STATE UNIVERSITY LAW CENTER - TEACHING SALARY	\$2,200.00
2. 2017	SOUTHERN UNIVERSITY LAW CENTER - TEACHING SALARY	\$3,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	NATIONAL ASSOCIATION OF BANKRUPTCY TRUSTEES	MARCH 1-5, 2017	SAN JUAN, PUERTO RICO	EDUCATIONAL SEMINAR SPEAKER	TRANSPORTATION, LODGING, MEALS
2.	UNIVERSITY OF TEXAS CONSUMER BANKRUPTCY LAW CONFERENCE	JULY 20-21, 2017	GALVESTON, TX	EDUCATIONAL SEMINAR SPEAKER	TRANSPORTATION, LODGING, MEALS
3.	NATIONAL ASSOCIATION OF BANKRUPTCY TRUSTEES	SEPTEMBER 15-16, 2017	NEW ORLEANS, LA	EDUCATIONAL SEMINAR SPEAKER	TRANSPORTATION, LODGING, MEALS

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting	Date of Report
DODD, DOUGLAS D.	04/24/2018

4.	ASSOCIATION OF BANKRUPTCY JUDICIAL ASSISTANTS	OCTOBER 17-18, 2017	MEMPHIS, TN	EDUCATIONAL SEMINAR SPEAKER	TRANSPORTATION, LODGING, MEALS
5.					

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

DODD, DOUGLAS D.

Date of Report

04/24/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

DODD, DOUGLAS D.

Date of Report

04/24/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. TENET HEALTHCARE CORP. 401(K) PLAN									
2. -- Vanguard Inst. Index Fund	A	Int./Div.	J	T					
3. -- FID Growth Company	A	Int./Div.	J	T					
4. -- DFA US Lg Cap Value	A	Int./Div.	J	T					
5. FIDELITY HOMESTEAD ASS'N IRA (MONEY MARKET)	A	Interest	J	T					
6. WHITNEY NATIONAL BANK ACCOUNTS	A	Interest	L	T					
7. CAPITOL ONE BANK ACCOUNT		None	J	T					
8. CAMPUS FEDERAL CREDIT UNION ACCOUNTS	A	Int./Div.	J	T					
9. NORTHWESTERN MUTUAL LIFE: WHOLE LIFE POLICY	A	Dividend	K	T					
10. NORTHWESTERN MUTUAL LIFE: WHOLE LIFE POLICY	A	Dividend	J	T					
11. BRIGHTHOUSE WHOLE LIFE POLICY	A	Dividend	J	T					
12.									
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

DODD, DOUGLAS D.

Date of Report

04/24/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART I -

LINE 1 - TRUST #1 CLASS TRUST IS AN UNFUNDED TRUST THAT WAS ESTABLISHED TO RECEIVE THE PROCEEDS OF LIFE INSURANCE POLICIES. THE INSURED IS STILL LIVING, AND THE TRUSTEE HAS NO ASSET VALUED AT MORE THAN \$1000. THE FILER, SPOUSE AND CHILDREN DID NOT CREATE THE TRUST, HAVE NEVER RECEIVED ANY INCOME FROM THE TRUST AND HAVE NEVER HAD A BENEFICIAL INTEREST IN THE TRUST.

LINE 2 - TRUST # 2 CLASS TRUST OWNS 70% OF THE MEMBERSHIP INTERESTS IN DODD INVESTMENTS, LLC, A LOUISIANA LIMITED LIABILITY COMPANY. THE FILER HAS NO CONTROL OVER THE LIMITED LIABILITY COMPANY, WHICH HAS NO ASSETS. THE FILER, SPOUSE AND CHILDREN DID NOT CREATE THE TRUST, HAVE NEVER RECEIVED ANY INCOME FROM THE TRUST AND HAVE NEVER HAD A BENEFICIAL INTEREST IN THE TRUST.

PART VII -

LINE 7 - CAPITOL ONE BANK ACCOUNT IS NOT AN INTEREST BEARING ACCOUNT.

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

DODD, DOUGLAS D.

Date of Report

04/24/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **DOUGLAS D. DODD**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544