

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Clarkson, Scott C.	2. Court or Organization United States Bankruptcy Court	3. Date of Report 06/29/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address Ronald Reagan Federal Building and Courthouse 411 West 4th Street Santa Ana, CA 92701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	The Clarkson Law Firm, a California Professional Corporation (see Part VIII)	\$25,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	City of Beverly Hills, California (wages)
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. California Bankruptcy Forum	May 19-22, 2016	Indian Wells, CA	Law Seminar	Transportation, meals, hotel
2. National Conference of Credit Managers	October 17-18, 2016	Seattle, WA	Law Seminar	Transportation, meals, hotel
3. National Conf. of Bankruptcy Judges	October 26-30, 2016	San Francisco, CA	Professional Association	Transportation, meals, hotel
4.				
5.				
6.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America (various accounts)	A	Interest	K	T					
2. FolioFN (cash account)	A	Interest	J	T					
3. Parsons Corp. Employees Stock Ownership Plan (Y)									
4. WMI (Common Stock)	A	Dividend	J	T					
5. AXA Financial (AXA) Common Stock (Y)									
6. DYNEGY INC DEL (Y)									
7. IRA #1 (H)									
8. FolioFN (cash account)	A	Interest	O	T					
9. AAL	A	Dividend			Buy	08/15/16	J		
10.					Sold	08/23/16	J	A	
11. AAN	A	Dividend			Buy	07/12/16	J		
12.					Sold	08/08/16	J	A	
13. AAWW	A	Dividend			Buy	09/19/16	J		
14.					Sold	10/11/16	J	A	
15. ABC	A	Dividend			Buy	04/25/16	J		
16.					Sold	05/02/16	J	A	
17. ABG	A	Dividend			Buy	04/04/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18.					Sold	06/02/16	J	A	
19. ABM	A	Dividend			Buy	06/14/16	J		
20.					Sold	06/21/16	J	A	
21.					Buy	11/07/16	J		
22.					Sold	11/21/16	J	A	
23. ACC	A	Dividend			Sold (part)	03/10/16	J		
24.					Sold (part)	04/04/16	J		
25.					Buy (add'l)	11/07/16	J		
26.					Sold	11/25/16	K	A	
27. ACM	A	Dividend			Buy	08/01/16	J		
28.					Buy (add'l)	10/25/16	J		
29.					Sold	10/31/16	J	A	
30. AES	A	Dividend			Buy	05/02/16	J		
31.					Sold	05/10/16	J	A	
32. AIV	A	Dividend			Sold (part)	04/04/16	J		
33.					Buy (add'l)	11/14/16	J		
34.					Sold	11/25/16	K	A	

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35. AIZ	A	Dividend			Buy	06/06/16	J		
36.					Sold	06/14/16	J	A	
37. AKS	A	Dividend			Buy	07/18/16	J		
38.					Sold	08/01/16	J	A	
39. ALJ	A	Dividend			Buy	04/25/16	J		
40.					Sold	05/02/16	J	A	
41. AMH	A	Dividend			Buy	04/04/16	K		
42.					Sold (part)	07/05/16	J	A	
43.					Sold	11/25/16	K	B	
44. AN	A	Dividend			Buy	04/18/16	J		
45.					Sold	07/05/16	J	A	
46. ANDE	A	Dividend			Buy	07/18/16	J		
47.					Sold	08/01/16	J	A	
48. ANTM	A	Dividend			Buy	10/17/16	J		
49.					Sold	10/31/16	J	A	
50. APTS	B	Dividend			Sold (part)	04/04/16	J		
51.					Sold (part)	05/10/16	J		

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52.					Sold	11/25/16	K	A	
53. ARG	A	Dividend			Buy	04/04/16	J		
54.					Sold	05/10/16	J	A	
55. ARMK	A	Dividend			Buy	07/05/16	J		
56.					Sold	07/12/16	J	A	
57. ARNC	A	Dividend			Buy	04/11/16	J		
58.					Sold	04/25/16	J	A	
59. ARW	A	Dividend			Buy	04/04/16	J		
60.					Sold (part)	05/10/16	J	A	
61.					Sold	06/06/16	J	A	
62. ATI	A	Int./Div.			Buy	06/21/16	J		
63.					Sold	08/01/16	J	A	
64. AVB	A	Dividend			Sold (part)	04/04/16	J		
65.					Sold	11/25/16	K	A	
66. AVP	A	Dividend			Buy	08/08/16	J		
67.					Sold	11/25/16	J	A	
68. AVT	A	Dividend			Buy	08/15/16	J		

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69.					Sold	09/12/16	J	A	
70. AXE	A	Dividend			Buy	04/25/16	J		
71.					Sold	06/02/16	J	A	
72. AXL	A	Dividend			Buy	04/11/16	J		
73.					Sold	06/21/16	J	A	
74. BCC	A	Dividend			Buy	08/08/16	J		
75.					Sold	08/15/16	J	A	
76. BCO	A	Dividend			Buy	08/01/16	J		
77.					Sold	08/08/16	J	A	
78. BGC	A	Dividend			Buy	05/10/16	J		
79.					Sold	05/16/16	J	A	
80. BGG	A	Dividend			Buy	05/16/16	J		
81.					Sold	06/06/16	J	A	
82. BHE	A	Dividend			Buy	04/04/16	J		
83.					Sold	04/25/16	J	A	
84. BIG	A	Dividend			Buy	07/12/16	J		
85.					Sold	10/31/16	J	A	

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86. BLDR	A	Dividend			Buy	09/12/16	J		
87.					Sold	10/11/16	J	A	
88. BRG	B	Dividend			Buy (add'l)	03/10/16	J		
89.					Sold (part)	04/04/16	J		
90.					Sold (part)	04/18/16	J		
91.					Sold (part)	05/16/16	J		
92.					Sold (part)	08/08/16	J		
93.					Sold (part)	11/14/16	J		
94.					Sold	11/25/16	K	A	
95. BRS	A	Dividend			Buy	04/25/16	J		
96.					Sold	05/02/16	J	A	
97. BW	A	Dividend			Buy	08/15/16	J		
98.					Sold	09/19/16	J	A	
99. BYD	A	Dividend			Buy	04/04/16	J		
100.					Sold	04/11/16	J	A	
101. CAH	A	Dividend			Buy	08/01/16	J		
102.					Sold	08/08/16	J	A	

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103. CAL	A	Dividend			Buy	11/07/16	J		
104.					Sold	11/14/16	J	A	
105. CAR	A	Dividend			Buy	06/02/16	J		
106.					Sold	11/14/16	J	A	
107. CBI	A	Dividend			Buy	04/11/16	J		
108.					Sold	11/14/16	J	A	
109. CC	A	Dividend			Buy	08/23/16	J		
110.					Sold	11/07/16	J	A	
111. CCG		None			Sold	03/03/16	K	A	
112. CG	A	Dividend			Buy	06/21/16	J		
113.					Sold	07/05/16	J	A	
114.					Buy	07/15/16	J		
115.					Sold	07/25/16	J	A	
116. CHK	A	Dividend			Buy	04/18/16	J		
117.					Sold	11/21/16	J	A	
118. CLF	A	Dividend			Buy	05/02/16	J		
119.					Sold	06/21/16	J	A	

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120. CMC	A	Dividend			Buy	05/10/16	J		
121.					Sold	10/11/16	J	A	
122. CNHI	A	Dividend			Buy	05/10/16	J		
123.					Sold	06/06/16	J	A	
124. CORE	A	Dividend			Buy	06/14/16	J		
125.					Sold	11/25/16	J	A	
126. CPPL	A	Dividend			Buy	05/10/16	J		
127.					Sold	05/16/16	J	A	
128. CPS	A	Dividend			Buy	05/10/16	J		
129.					Sold	09/12/16	J	A	
130. CPT	B	Dividend			Sold (part)	04/04/16	J		
131.					Buy (add'l)	10/03/16	J		
132.					Buy (add'l)	11/14/16	J		
133.					Sold	11/25/16	J	A	
134. CYN	A	Dividend			Buy	07/18/16	J		
135.					Sold	08/01/16	J	A	
136. DAN	A	Dividend			Buy	04/11/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Clarkson, Scott C.

Date of Report

06/29/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold	11/14/16	J	A	
138. DDS	A	Dividend			Buy	04/11/16	J		
139.					Sold	09/19/16	J	A	
140. DF	A	Dividend			Buy	05/16/16	J		
141.					Sold	11/25/16	J	A	
142. DK	A	Dividend			Buy	04/25/16	J		
143.					Buy (add'l)	08/23/16	J		
144.					Sold	11/25/16	J	A	
145. DPLO	A	Dividend			Buy	04/11/16	J		
146.					Sold	11/07/16	J	A	
147. DV	A	Dividend			Buy	06/14/16	J		
148.					Sold	06/21/16	J	A	
149. DYN	A	Dividend			Buy	04/11/16	J		
150.					Sold	11/14/16	J	A	
151. EDR	A	Dividend			Sold (part)	03/10/16	J		
152.					Sold (part)	04/04/16	J		
153.					Sold	11/25/16	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Clarkson, Scott C.

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06/29/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. EGL	A	Dividend			Buy	08/08/16	J		
155.					Sold	09/12/16	J	A	
156. ELG	A	Dividend			Buy	06/02/16	J		
157.					Sold	06/15/16	J	A	
158. ELS	A	Dividend			Sold (part)	03/10/16	J		
159.					Sold (part)	04/04/16	J		
160.					Buy (add'l)	11/07/16	J		
161.					Buy (add'l)	11/14/16	J		
162.					Sold	11/25/16	K	A	
163. EME	A	Dividend			Buy	11/07/16	J		
164.					Sold	11/25/16	J	A	
165. EQR	C	Dividend			Buy (add'l)	03/10/16	J		
166.					Buy (add'l)	03/11/16	J		
167.					Sold (part)	03/14/16	J		
168.					Sold (part)	04/04/16	J		
169.					Buy (add'l)	10/17/16	J		
170.					Sold	11/25/16	K	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Clarkson, Scott C.

Date of Report

06/29/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. ESS	A	Dividend			Sold (part)	04/04/16	J		
172.					Sold	11/25/16	K	A	
173. ESV	A	Dividend			Buy	04/18/16	J		
174.					Sold	04/25/16	J	A	
175. F	A	Dividend			Buy	04/18/16	J		
176.					Sold	06/14/16	J	A	
177. FCAU	A	Dividend			Buy	04/11/16	J		
178.					Sold	04/25/16	J	A	
179. FDML	A	Dividend			Buy	04/04/16	J		
180.					Sold	04/25/16	J	A	
181. FDP	A	Dividend			Buy	04/04/16	J		
182.					Sold	04/11/16	J	A	
183. FINL	A	Dividend			Buy	05/02/16	J		
184.					Sold	05/10/16	J	A	
185. FLEX	A	Dividend			Buy	05/10/16	J		
186.					Sold	06/02/16	J	A	
187. FNFV	A	Dividend			Buy	05/02/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000 J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000 K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000 L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000 M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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Name of Person Reporting

Clarkson, Scott C.

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06/29/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Sold	05/10/16	J	A	
189.					Buy	08/15/16	J		
190.					Sold	09/12/16	J	A	
191. FOSL	A	Dividend			Buy	09/12/16	J		
192.					Sold	09/19/16	J	A	
193. GBX	A	Dividend			Buy	09/12/16	J		
194.					Sold	10/25/16	J	A	
195. GEF	A	Dividend			Buy	07/05/16	J		
196.					Sold	07/18/16	J	A	
197. GME	A	Dividend			Buy	07/12/16	J		
198.					Sold	07/25/16	J	A	
199. GNC	A	Dividend			Buy	09/26/16	J		
200.					Sold	10/25/16	J	A	
201. GNN	A	Dividend			Buy	08/01/16	J		
202.					Sold	10/31/16	J	A	
203. GPI	A	Dividend			Buy	05/02/16	J		
204.					Sold	11/07/16	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Clarkson, Scott C.

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06/29/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. GPPE	A	Dividend			Buy	09/19/16	J		
206.					Sold	11/14/16	J	A	
207. GT	A	Dividend			Buy	04/25/16	J		
208.					Sold	06/02/16	J	A	
209. HFC	A	Dividend			Buy	09/26/16	J		
210.					Sold	11/25/16	J	A	
211. HIG	A	Dividend			Buy	04/04/16	J		
212.					Sold	04/25/16	J	A	
213. HUM	A	Dividend			Buy	07/25/16	J		
214.					Sold	08/08/16	J	A	
215. I-RT	B	Dividend			Buy (add'l)	03/10/16	J		
216.					Sold (part)	04/04/16	J		
217.					Sold (part)	07/25/16	J		
218.					Sold (part)	08/08/16	J		
219.					Sold (part)	09/16/16	J		
220.					Buy (add'l)	10/03/16	J		
221.					Buy (add'l)	10/31/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Clarkson, Scott C.

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06/29/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Sold (part)	11/14/16	J		
223.					Sold	11/25/16	K	A	
224. JBL	A	Dividend			Buy	10/11/16	J		
225.					Sold	10/17/16	J	A	
226. LYV	A	Dividend			Buy	04/04/16	J		
227.					Sold	04/11/16	J	A	
228. M	A	Dividend			Buy	11/14/16	J		
229.					Sold	11/21/16	J	A	
230. MAA	A	Dividend			Sold (part)	03/10/16	J		
231.					Sold (part)	04/04/16	J		
232.					Buy (add'l)	08/15/16	J		
233.					Sold	11/25/16	K	A	
234. MORE	A	Dividend			Sold (part)	04/04/16	J		
235.					Sold (part)	10/03/16	J		
236.					Sold	11/25/16	K	A	
237. MRC	A	Dividend			Buy	11/14/16	J		
238.					Sold	11/25/16	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Clarkson, Scott C.

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06/29/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. MTW	A	Dividend			Buy	05/02/16	J		
240.					Sold	06/02/16	J	A	
241. NRG	A	Dividend			Buy	11/21/16	J		
242.					Sold	11/25/16	J	A	
243. NXRT	A	Dividend			Buy (add'l)	03/10/16	J		
244.					Sold (part)	04/04/16	J		
245.					Sold (part)	04/18/16	J		
246.					Sold (part)	06/21/16	J		
247.					Sold (part)	07/05/16	J		
248.					Sold (part)	08/15/16	J		
249.					Sold (part)	11/14/16	J		
250.					Sold	11/25/16	K	A	
251. PAGP	A	Dividend			Buy	08/08/16	J		
252.					Sold	10/25/16	J	A	
253. PENN	A	Dividend			Buy	06/06/16	J		
254.					Sold	07/12/16	J	A	
255. PPS	A	Dividend			Sold (part)	04/04/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Clarkson, Scott C.

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06/29/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256.					Sold (part)	08/15/16	J		
257.					Sold	11/25/16	K	A	
258. PRIM	A	Dividend			Buy	09/12/16	J		
259.					Sold	09/19/16	J	A	
260. RIG	A	Dividend			Buy	06/06/16	J		
261.					Sold	06/14/16	J	A	
262. SBY	A	Dividend			Buy	04/04/16	K		
263.					Sold (part)	06/02/16	J		
264.					Buy (add'l)	09/26/16	J		
265.					Sold	11/25/16	K	B	
266. SFR	A	Dividend			Buy	04/04/16	K		
267.					Sold (part)	05/24/16	J		
268.					Sold	11/25/16	K	B	
269. SPLS	A	Dividend			Buy	06/21/16	J		
270.					Sold	07/05/16	J	A	
271. SUI	A	Dividend			Sold (part)	04/04/16	J		
272.					Sold	11/25/16	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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Name of Person Reporting

Clarkson, Scott C.

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06/29/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. SWX	A	Dividend			Buy	06/14/16	J		
274.					Sold	06/21/16	J	A	
275. TPC	A	Dividend			Buy	11/07/16	J		
276.					Sold	11/25/16	J	A	
277. UAL	A	Dividend			Buy	09/12/16	J		
278.					Sold	09/19/16	J	A	
279. UDR	A	Dividend			Sold (part)	04/04/16	J		
280.					Sold	11/25/16	K	A	
281. UMH	B	Dividend			Sold (part)	04/04/16	J		
282.					Sold (part)	07/12/16	J		
283.					Sold (part)	11/14/16	J		
284.					Sold	11/25/16	K	A	
285. UNVR	A	Dividend			Buy	11/07/16	J		
286.					Sold	11/14/16	J	A	
287. VLO	A	Dividend			Buy	11/21/16	J		
288.					Sold	11/25/16	J	A	
289. WMT	A	Dividend			Buy	06/14/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Clarkson, Scott C.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Sold	09/26/16	J	A	
291. X	A	Dividend			Buy	06/21/16	J		
292.					Sold	08/23/16	J	A	
293. IRA #2 (H)									
294. FolioFN (cash account) (X)	A	Interest	M	T					
295. AMERICAN ELECPWR INC	A	Dividend			Buy	07/05/16	L		
296.					Sold	11/25/16	K		
297. DELTA AIR LINES INC DEL	A	Dividend			Sold	3/10/16	K	A	
298. DUKE ENERGY CORP	A	Dividend			Buy	03/14/16	K		
299.					Buy (add'l)	05/24/16	J		
300.					Sold (part)	06/02/16	J		
301.					Buy (add'l)	08/15/16	J		
302.					Sold	11/25/16	K		
303. DOMINION RESOURCES INC	A	Dividend			Buy	03/14/16	K		
304.					Sold	11/25/16	K		
305. EXELON CORP	A	Dividend			Buy	03/14/16	K		
306.					Sold	07/05/16	L	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. HOLLYSYS AUTOMATION	A	Dividend			Sold	3/10/16	K	A	
308. MAKEMYTRIP LIMITED, MAURITIUS SHS	A	Dividend			Sold	3/10/16	K	A	
309. MERITOR INC	A	Dividend			Sold	3/10/16	K	A	
310. NAVIOS MARITIME HOLDINGS INC COM	A	Dividend			Sold	3/10/16	J	A	
311. NATIONAL GRID TRANSCO	B	Dividend			Buy	03/14/16	K		
312.					Sold (part)	04/11/16	J		
313.					Buy (add'l)	06/02/16	J		
314.					Buy (add'l)	06/14/16	J		
315.					Buy (add'l)	08/11/16	J		
316.					Sold (part)	08/15/16	J		
317.					Sold (part)	10/03/16	J		
318.					Buy (add'l)	10/11/16	J		
319.					Sold	11/25/16	K		
320. NEXTERA ENERGY INC	B	Dividend			Buy	03/14/16	K		
321.					Sold (part)	10/11/16	J		
322.					Buy (add'l)	11/14/16	J		
323.					Sold	11/25/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Clarkson, Scott C.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. NRG ENERGY INC COM NEW	A	Dividend			Sold	3/10/16	J	A	
325. PEMBINA PIPELINE CORP	A	Dividend			Sold	3/10/16	K	A	
326. SYNEX CORP	A	Dividend			Sold	3/10/16	K	A	
327. WHITEWAVE FOODS CO COM CL A	A	Dividend			Sold	3/10/16	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part II, line 1: Final distribution from former law firm upon payment of client fees earned prior to taking the bench.

Part VII, lines 1, 2, and 8: See 2015, lines 1-3, respectively.

Part VII line 230: Consolidated entry of 2015 lines 28 and 35.

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Name of Person Reporting

Clarkson, Scott C.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Scott C. Clarkson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544