

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gettleman, Robert W.	2. Court or Organization U.S. District Court, N.D. Illinois	3. Date of Report 05/11/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 219 South Dearborn Street Chambers 1788 Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2014	General Partner: Bob Jo Investments (passive investment partnership with Joel Rubin in single real estate venture; we each own 50% of one limited partnership;
2. 2014	CCTO Production Partnerhsp (passive limited partnership interest in oil and gas production; 0.0135% interest)
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	Northwestern University School of Law; taught courses	\$2,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	LCSW, Private Social Work Practice
2. 2014	Teachers' Retirement Pension
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank (Chicago)	A	Interest	J	T					
2. Bob Jo Investments (Real Estate)	G	Distribution	K	T					
3. Morgan Stanley Liq. Asset Fund	A	Interest	J	T					
4. MS Natural Resources	A	Interest	K	T					
5. Morgan Stanley Active Assets Money Trust	C	Interest	J	T					
6. Ill. St. Civic CTR REF-SPL (Bond)	A	Interest	J	T					
7. Illinois St. Gen. Oblig. (Bond)	A	Interest	J	T					
8. Ill. Health Facs. Auth. (Bond)	A	Interest	J	T					
9. CCTO Production Partnership	E	Distribution	K	T					
10. Sacred Silks	A	Interest	J	T					
11. Eldorado Gold CP	A	Dividend	J	T					
12. Bristol Myers Squibb Co.	A	Dividend	J	T					
13. ING Plus	A	Interest	J	T					
14. GE Money BK	A	Interest	J	T					
15. MS Bank CD	A	Interest	J	T					
16. Giga Tronics	A	Dividend	J	T					
17. McEwen Mng Inc Com (MUX)	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,001 - \$50,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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18. Minera Andes Acquisition Corp (MCEEF)	A	Dividend			Sold	01/27/14	J	A	
19. Stora Enso SP ADR Ser R (SEQAY)	A	Dividend	J	T					
20. Turquoise Hill Res Ltd Com (RRQ)	A	Dividend	J	T					
21. BB&T Corporation	A	Dividend	J	T					
22. Amer. FDS Cap. Bldrs.	C	Dividend	K	T					
23. Morgan Stanley Growth Fund of Amer.	C	Dividend	K	T					
24. U.S. Treas. Bonds	A	Interest	L	T					
25. Morgan Stanley U.S. Govt. Sec.	C	Dividend	M	T					
26. Morgan Stanley Liq. Asset Fund	B	Dividend	K	T					
27. Morgan Stanley European Growth	A	Dividend	K	T					
28. Morgan Standly Pacific Grouwth B	A	Dividend	J	T					
29. Bristol Myers Squibb Co.	A	Dividend	J	T					
30. Intl Bk for Recon & Dev	A	Dividend			Sold	01/08/14	J	A	
31. Novartis AG ADR	A	Dividend	J	T					
32. Raytheon Co (New)	A	Dividend	J	T					
33. GMAC Bank CD	A	Dividend	J	T					
34. Eli Lilly & Co.	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. Intel Corp.	A	Dividend	J	T					
36. Isis Pharm Inc.	A	Dividend	J	T					
37. Sanofi Aventis Ads	A	Dividend	J	T					
38. Seabridge Gold Inc	A	Dividend	J	T					
39. American Mutual A	A	Interest	J	T					
40. Columbia Global Value B	A	Dividend	J	T					
41. Agnico Eagle Mines	A	Dividend	J	T					
42. Banro Corp	A	Dividend			Sold	01/29/14	J	A	
43. Dresser Rand	A	Dividend			Sold	01/15/14	J	A	
44. Echelon	A	Dividend	J	T					
45. Eldorado Gold	A	Dividend	J	T					
46. Goldman Sachs GRP Inc.	A	Dividend	J	T					
47. Market Vectors Gold Mines	A	Dividend	J	T					
48. Morgan ST III Cum	A	Dividend	J	T					
49. Yamana Gold Ind.	A	Dividend	J	T					
50. Chicago Go-EsD BE	A	Dividend	J	T					
51. Duke Energy Corp	A	Dividend	J	T					

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52. BP PLC ADS	A	Dividend	J	T					
53. Genuine Parts Co.	A	Dividend	J	T					
54. Bunge Ltd	A	Dividend			Sold	03/17/14	J	A	
55. IBM	A	Dividend	J	T					
56. Proctor & Gamble	A	Dividend	J	T					
57. Protective Life	A	Dividend			Sold	01/27/14	J	A	
58. Rubicon Minerals	A	Dividend	J	T					
59. Waste Management	A	Dividend	J	T					
60. Aqua Amer Inc	A	Dividend	J	T					
61. Echelon (ELON)	A	Dividend	J	T					
62. Kansas City Life Ins.	A	Dividend	J	T					
63. Verizon Comm	A	Dividend	J	T					
64. Calif St. Genl Oblig	A	Dividend	J	T					
65. Thornburg Inv. Inc.	A	Dividend	J	T					
66. Giga Tronics (GUGA)	A	Dividend	J	T					
67. Albuquerque Bernalillo Cnty Wtr Util Au N M JT WTR Rev-A-1	A	Interest	J	T					
68. Will Cnty Ill Cmnty Cons Sch Dist. No. 30- C Ser B	A	Interest	J	T					

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69. Baltimore Cnty MD Genl Oblig Ref Met Dist	A	Interest	J	T					
70. San Diego Cnty Calif Wtr Auth Fing Agy Wt Rev-A	A	Interest	J	T					
71. San Antonio Tex Wtr Sys Rev Ref	A	Interest	J	T					
72. 3M Company (MMM)	A	Dividend	J	T					
73. Accelrys Inc (ACCL)	A	Dividend			Sold	02/24/14	J	A	
74. AGL Resources IN (GAS)	A	Distribution	J	T					
75. Amer Nat Ins Co (ANAT)	A	Dividend	J	T					
76. Calamp Corp (CAMP)	A	Dividend			Sold	01/20/14	J	A	
77. Cisco Syst Inc (CSCO)	A	Dividend	J	T					
78. Clorox CO DE (CLX)	A	Dividend	J	T					
79. Colgate Palmolive Co (CL)	A	Dividend	J	T					
80. Compass Div TR SHS Beneficial (CODI)	A	Dividend	J	T					
81. Covidien PLC New (COV)	A	Distribution	J	T					
82. Health Cre Reit Inc (HCN)	A	Dividend	J	T					
83. Honda Motor Company Ltd ADR (HMC)	A	Dividend			Sold	01/08/14	J	A	
84. Johnson & Johnson	A	Dividend	J	T					
85. Microsoft Corp (MSFT)	A	Dividend	J	T					

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86. Monsanto CO/New (MON)	A	Dividend	J	T					
87. Seadrill Ltd (SDRL)	A	Dividend			Sold	01/06/14	J	A	
88. SPDR Global Dow ETF (DGT)	A	Dividend			Sold	04/18/14	J	A	
89. Talisman Energy Inc (TLM)	A	Dividend			Sold	01/14/14	J	A	
90. Toyota Motor CP ADR New (TM)	A	Dividend			Sold	01/09/14	J	A	
91. United Fire & Casualty (UFCS)	A	Dividend	J	T					
92. Weatherford International LTD (WTF)	A	Dividend	J	T					
93. Xilinx Inc (XLNX)	A	Dividend			Sold	01/21/14	J	A	
94. New York City Genl Oblig Ser-F Subseries F-1 Build America	A	Interest	J	T					
95. Pennsylvania St. Build America Bond	A	Interest	J	T					
96. New York St. Mun Bd Bk Agy Recovery Act Build America	A	Interest	J	T					
97. New York City Go Build America C-1	A	Interest	J	T					
98. Alcoa Inc (AA)	A	Interest	J	T					
99. Anglogold Ashanti Limited (AU)	A	Interest			Sold	01/16/14	J	A	
100. Aqua Ameri Inc (WTR)	A	Dividend	J	T					
101. Banro Corp (BAA)	A	Dividend			Sold	01/08/14	J	A	
102. Corning Inc (GLW)	A	Dividend	J	T					

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103. Encana Corp (ECA)	A	Dividend	J	T					
104. Facebook Inc CL-A (FB)	A	Dividend	J	T					
105. Ford Motor Co New (F)	A	Dividend			Sold	04/16/14	J	A	
106. General Mtrs Co (GM)	A	Dividend	J	T					
107. Golden Minerals Co (AUMN)	A	Dividend			Sold	01/30/14	J	A	
108. Isis Pharm Inc (ISIS)	A	Dividend	J	T					
109. KB Home (KBH)	A	Dividend	J	T					
110. Merck & Co Inc New Com (MRK)	A	Dividend	J	T					
111. Mercury Genl CP New (MCY)	A	Dividend	J	T					
112. Paramount Gold & Silver Corp (PZG)	A	Dividend	J	T					
113. Statoil Asa Adr (STO)	A	Dividend	J	T					
114. Vanguard European MSCI ETF (VGK)	A	Dividend	J	T					
115. Newmont Mining Corp (New) (NEM)	A	Dividend	J	T					
116. ABB LTD (ABB)	A	Dividend	J	T					
117. Aircastle Limited (AYR)	A	Distribution	J	T					
118. Assoc Estates Realty (AEC)	A	Dividend	J	T					
119. Calamp Corp (CAMP)	A	Dividend			Sold	01/20/14	J	A	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Glaxosmithkline	A	Dividend			Sold	04/23/14	J	A	
121. Hess Corporation	A	Dividend	J	T					
122. KKR & Co LLP (KKR)	A	Dividend	J	T					
123. LGI Homes, Inc. (LGIH)	A	Dividend			Sold	01/09/14	J	A	
124. Lorillard Inc	A	Dividend			Sold	01/09/14	J	A	
125. Mallinckrodt Public Ltd. Co (MNK)	A	Dividend	J	T					
126. Mannkind Corp (MNKD)	A	Dividend	J	T					
127. Newmont Mining Corp (New)(NEM)	A	Dividend	J	T					
128. Nippon Telegraph & Telephone ADS	A	Dividend	J	T					
129. Rait Financial Trust Com New (RAS)	A	Dividend	J	T					
130. Range Resources Corp (RR)	A	Dividend			Sold	02/17/14	J	A	
131. United STS STL CP (New) (X)	A	Dividend	J	T					
132. MS US Inflation Linked Notes	A	Dividend	J	T					
133. Royal Bank of Scotland	A	Dividend	J	T					
134. Lloyds TSB Bank PLC	A	Dividend	J	T					
135. Calamos Asset Mgmt Inc CL-A (CLMS)	A	Dividend	J	T	Buy	03/17/14	J		
136. Potash CP of Saskatchewan Inc (POT)	A	Dividend	J	T	Buy	03/31/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Gettleman, Robert W.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. WP Carey Inc Com (WPC)	A	Dividend	J	T	Buy	03/31/14	J		
138. Adadarko Pete (APC)	A	Dividend	J	T	Buy	12/24/14	J		
139. BCE Inc (NEW)(BCE)	A	Dividend	J	T	Buy	03/31/14	J		
140. Covanta Holding Corp (CVA)	A	Dividend	J	T	Buy	12/09/14	J		
141. Kyocera CO ADR (KYO)	A	Dividend	J	T	Buy	03/13/14	J		
142. Medical Properties Trust Inc (MPW)	A	Dividend	J	T	Buy	01/08/14	J		
143. Navigator Holdings Ltd. (NVGS)	A	Dividend	J	T	Buy	01/08/14	J		
144. New Gold, Inc. (NGD)	A	Dividend	J	T	Buy	03/13/14	J		
145. Palo Alto Networks, Inc. (PANW)	A	Dividend	J	T	Buy	03/17/14	J		
146. Pan Amer Silver Corp. (PAAS)	A	Dividend	J	T	Buy	01/22/14	J		
147. PPL Corporation (PPL)	A	Dividend	J	T	Buy	09/18/14	J		
148. Sibanye Gold Ltd Spon ADR (SBGL)	A	Dividend	J	T	Buy	04/22/14	J		
149. Sumitomo Mitsui Finl Group, Inc. (SMFG)	A	Dividend	J	T	Buy	07/16/14	J		
150. Teck Resources Ltd (TCK)	A	Dividend	J	T	Buy	12/11/14	J		
151. Tejon Ranch Co (TRC)	A	Dividend	J	T	Buy	05/12/14	J		
152. Twitter Inc (TWTR)	A	Dividend	J	T	Buy	01/22/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting	Date of Report
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

II. Agreements

No. 1: interest). The underlying real estate was sold in 2014).

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Name of Person Reporting

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Robert W. Gettleman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544