

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Robinson, James J.	2. Court or Organization U.S. Bankruptcy Ct. N. D. AL	3. Date of Report 09/12/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address U.S. Courthouse - Room 117 1129 Noble Street Anniston, AL 36201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Development Committee member	Rainbow Omega, Inc. (home for adults with developmental disabilities)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 1974	Burr & Forman LLP Profit Sharing/Keogh Plan (former law firm-no control)
2. 2006	Burr & Forman LLP retired partner annuity (former law firm)
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	Burr & Forman LLC - retired partner's annuity	\$27,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Southern Company-retirement/pension
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Cumberland School of Law	10/05/2012	Birmingham, AL	Speak at CLE seminar	seminar fee and lunch
2. AL. State Bar - Commercial Law Section	06/07/2012 - 06/10/2012	Sand Destin, FL	Speaker at CLE seminar	seminar fee, lodging, meals and mileage
3. U. Ala. School of Law	11/16/2012	Birmingham, AL	Speaker at CLE	seminar fee and lunch
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase Bank USA, N.A.	Credit Card (VISA)	J
2.	Merrill Lynch (Bank of America-FIA Card Services NA)	Credit Card (VISA)	J
3.	Merrill Lynch (American Express)	Credit Card (American Express)	J
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. common stock Southern Co.	A	Dividend	J	T	Buy (add'l)	2012	J		shrs add'l div reinvest
2. Goldman Sachs Str US Equity Class A mutual fund		None	J	T					see Part VIII
3. WinSouth CU fka Community CU (deposit account)	A	Interest	J	T					
4. Wells Fargo deposit accounts	A	Interest	L	T					
5. Unimproved real estate lot-St. Simons Is., Georgia		None	J	W					
6. Manufacturers Life policies (2)	A	Int./Div.	J	T					
7. Mass Mutual Life- American Century Value mutual fund		None	K	T					
8. Mass Mutual Life - guaranteed principal account	A	Interest	J	T					
9. Unimproved real estate lot-Shelby Co., AL		None			Sold	11/09/12	L	F	
10. American Capital World Growth Income Fund	B	Dividend	L	T	Buy (add'l)	2012	J		shrs add'l div reinvest
11. American Growth Fund Class A	A	Dividend	L	T	Buy (add'l)	2012	J		shrs add'l div reinvest
12. Merrill Lynch Ready Assets Money Fund	A	Dividend	J	T					
13. Federal Home Loan Mortgage Bond 5.125%		None			Redeemed	07/15/12	L	A	
14. Federal Home Loan Mortgage Bond 4.5%	B	Interest	K	T					
15. Federal Home Loan Mortgage Bond 6.125%	B	Interest	K	T					
16. American Legacy III annuity		None	L	T					
17. BIF Tax Exempt Money Fund	A	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Federal Home Loan Mortgage Bond 4.5%	C	Interest	M	T					
19. [REDACTED] Common Stock Proassurance Corp.	A	Dividend	K	T	Buy (add'l)	12/28/12	J		stock div [REDACTED] shrs
20. [REDACTED] American New Perspective Class A Mut. Fund	A	Dividend	L	T	Buy (add'l)	2012	J		[REDACTED] shrs add'l div reinvest
21. [REDACTED] Blackrock Small Cap Index Fund Class A	A	Dividend	J	T					
22. FIA Card Servs Money Fund	A	Dividend	M	T					
23. [REDACTED] American Capital World Income Class A Mut. Fund	B	Dividend	L	T	Buy (add'l)	2012	J		[REDACTED] shrs add'l div reinvest
24. [REDACTED] American Capital Income Builder Class A Mut. Fund	C	Dividend	L	T	Buy (add'l)	2012	J		[REDACTED] shrs add'l div reinvest
25. [REDACTED] American Growth Fund of America Class A Mut. Fund	A	Dividend	M	T	Buy (add'l)	2012	J		[REDACTED] shrs add'l div reinvest
26. [REDACTED] American Fundamental Investors Fund	A	Dividend	L	T	Buy (add'l)	2012	J		[REDACTED] shrs add'l div reinvest
27. [REDACTED] American New Perspective Fund	A	Dividend	L	T	Buy (add'l)	2012	J		[REDACTED] shrs add'l div reinvest
28. [REDACTED] American Capital World Growth Income Fund Class A	A	Dividend	K	T	Buy (add'l)	2012	J		[REDACTED] shrs add'l div reinvest
29. [REDACTED] American Growth Fund of America Class A	A	Dividend	K	T	Buy (add'l)	2012	J		[REDACTED] shrs add'l div reinvest
30. [REDACTED] American Intr. Bond Fund Class A	D	Dividend	N	T	Buy (add'l)	2012	J		[REDACTED] shs add'l div reinvest
31. Purchase Options [REDACTED] in Southern Co.		None			Expired	2012			see Part VIII
32. [REDACTED] Kraft Foods	A	Dividend	K	T	Redeemed (part)	2012	K		see Part VIII
33. [REDACTED] Progress Energy		None			Merged (with line 49)	07/03/12			see Part VIII
34. NobleBank & Trust deposit account	A	Interest	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. ☐ MetLife, Inc.	A	Dividend	J	T					
36. ☐ National Grid PLC SP ADR	B	Dividend	K	T					
37. ☐ Pfizer common	B	Dividend	K	T	Buy (add'l)	03/23/12	K		☐ add'l shrs
38. ☐ Waste Management common	B	Dividend	K	T					
39. ☐ American Income Fund of America	B	Dividend	K	T	Buy	2012	J		☐ shrs add'l div reinvest
40. ☐ Goldman Sachs Group Inc. preferred	B	Dividend	K	T					
41. ☐ Centurylink common	B	Dividend	K	T					
42. ☐ Chevron Corp common	B	Dividend	K	T	Buy	3/23/12	K		☐ add'l shares
43. ☐ Coca Cola common	B	Dividend	K	T	Buy (add'l)	2012	J		☐ shrs stock dividend
44. ☐ DuPont El de Nemours common	A	Dividend	K	T					
45. ☐ Genuine Parts common	B	Dividend	K	T					
46. ☐ Kimberly Clark common	B	Dividend	L	T					
47. ☐ McDonalds Corp common	B	Dividend	K	T	Buy (add'l)	03/23/12	J		☐ add'l shrs
48. ☐ Microsoft Corp common	B	Dividend	K	T					
49. ☐ Duke Energy common	B	Dividend	K	T		07/03/12	K		see Part VIII
50. ☐ Mondelez Int'l common	A	Dividend	K	T	Spinoff (from line 32)	2012	K		see Part VIII
51. ☐ Apple, Inc. common	A	Dividend	J	T	Buy	03/23/12	J		

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52. BaRINA RASP (money fund)	A	Dividend	J	T	Open	2012	J		
53. Retirement Reserves (money fund)	A	Dividend	J	T	Open	2012	J		
54. Alliance Bernstein common					Sold	03/23/12	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII - Line 2: In my 2011 Report I reported that this investment (Goldman Sachs Str US. Equity Class A mutual fund) was [REDACTED] in a small IRA account. I incorrectly reported in my 2011 Report that on an unknown date this investment had been liquidated and the proceeds rolled-over into another IRA managed by Merrill Lynch. I have since confirmed that Goldman Sachs was not made aware of [REDACTED] new address following our move after I was appointed to the bench, thus [REDACTED] had not been receiving periodic statements from Goldman Sachs and incorrectly assumed this investment had been liquidated. Goldman Sachs located our new address, contacted [REDACTED], and confirmed that the IRA account was still active as reported on Line 2 of Part VII. Please consider the foregoing as an amendment to my previous Reports.

Part VII - Line 31: The price to exercise the remaining options exceeded the stock purchase price, thus all remaining options were allowed to expire without being exercised.

Part VII - Lines 32 & 50: Shares in Kraft were redeemed in exchange for [REDACTED] Mondelez Int'l reported on line 50.

Part VII - Line 33 & 49: Progress Energy merged into Duke Energy. I acquired the Duke Energy stock reported on line 40 from the merger.

Part VII: The notation "___ shrs add'l div reinvest" appearing several times in column (5) of part D indicates that the particular mutual fund or stock paid a dividend that was reinvested to purchase the number of additional shares stated. Dividends were declared from time to time during 2012, often more than once during the year for any particular investment, so I did not list the exact date for each dividend and reinvestment.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ James J. Robinson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544