

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gambardella, Rosemary	2. Court or Organization U.S. Bankruptcy Court	3. Date of Report 04/21/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge, Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U.S. Bankruptcy Court 50 Walnut Street Newark, NJ 07102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Directors	Turnaround Management Association (TMA)
2. Board Member	Center for Italian and Italian-American Culture
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American College of Bankruptcy	3/15/13-3/16/13	Washington, DC	Incuction Ceremony	(food, lodging, transportation)
2. ABF Journal/Turnaround Mgt Assoc.	4/4/13	Radnor, PA	Panel Participant	(transportation)
3. Turnaround Management Association	5/8/13	New York, NY	Panel Participant	(transportation)
4. New York Institute of Credit	5/9/13-5/10/13	Uncasville, CT	Panel Participant	(food, lodging, transportation)
5. Pennsylvania Bar Institute	5/22/13	Philadelphia, PA	Panel Participant	(transportation)

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6.	American Bankruptcy Institute	8/8/13-8/10/13	Hershey, PA	Panel Participant	(food, lodging, transportation)
7.	Federal Judicial Center	9/11/13-9/12/13	Philadelphia, PA	Seminar	(food, lodging, transportation)
8.	Pennsylvania Bar Institute	10/17/13	Philadelphia, PA	Panel Participant	(transportation)
9.	National Conference of Bankruptcy Judges	10/30/13-11/3/13	Atlanta, GA	Committee Participant	(lodging)

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. ING Money Market	A	Dividend	K	T					
2. AT&T Stock	A	Dividend	J	T					
3. SBC Communications Inc. Stock	A	Dividend	J	T					
4. Bank of America	A	Interest	J	T					
5. PNC Bank, N.A.	A	Interest	J	T					
6. Lucent Technology Stock	A	Dividend	J	T					
7. Agere Systems Stock	A	Dividend	J	T					
8. Exxon Corporation Stock	A	Dividend	J	T					
9. Vodafone PLC Stock	A	Dividend	J	T					
10. Verizon Stock	A	Dividend	J	T					
11. Bellsouth Stock	A	Dividend	J	T					
12. PSE&G	A	Dividend	J	T					
13. Comcast	A	Dividend	J	T					
14. Hughes Electronics (General Motors)	A	Dividend	J	T					
15. Raytheon (General Motors)	A	Dividend	J	T					
16. Champion Enterprises Inc.	A	Dividend	J	T					
17. Delphi Automotive Systems	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Fleetwood Enterprises	A	Dividend	J	T					
19. Smith Barney Citigroup Global Mkts. Inc.	A	Dividend	J	T					
20. News Corporation	A	Dividend	J	T					
21. The DirecTV Group	A	Dividend	J	T					
22. Stifel Account	A	Interest	J	T					
23. Kraft	A	Dividend	J	T					
24. United States Steel Corp.	A	Dividend	J	T					
25. Mondelez (Spin-off of Kraft)	C	Dividend	J	T					
26. Altria (Philip Morris) Stock	D	Dividend	N	T					
27. Avaya Inc.	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Rosemary Gambardella**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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