

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kessler, Gladys	2. Court or Organization U.S. District Court	3. Date of Report 06/07/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge, Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address U.S. District Court 333 Constitution Avenue, N.W. Washington, DC 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Board Member	Our Place, D.C. -- a 501(c)(3) organization
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2012	I am a member of the D.C. Judges' Retirement Fund and eligible for all benefits.
2. 2012	As a Senior Judge, I now receive a pension rather than a salary.
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	D.C. Government - Pension	\$130,303.44
2. 2012	Judicial Retirees & Survivors Admin Office of the US Courts - Pension	\$168,773.20
3. 2012	Vanguard 500 Index Contributory IRA	\$8,245.02
4. 2012	Vanguard Mid-Cap Contributory IRA	\$11,565.21

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Prudential Insurance Company - Pension
2. 2012	Wells Fargo Bank NA - IRA
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ADP - common stock	B	Dividend	L	T					
2. Tyco - common stock	A	Dividend	J	T					
3. MMM - common stock	B	Dividend	L	T					
4. GE - common stock	B	Dividend	K	T					
5. Stanley Black & Decker - common stock	A	Dividend	K	T					
6. Vanguard High Yield Tax Exempt - mutual fund	E	Interest	O	T					
7. Vanguard Tax Exempt Money Market Fund	A	Interest	L	T					
8. Sun Trust - Checking Account		None	J	T					
9. Wells Fargo Advantage Fund IRA - mutual fund		None	K	T					
10. Vanguard 500 Index Fund	D	Dividend	N	T					
11. Vanguard Dividend Growth Fund	C	Dividend	M	T					
12. Merrill Lynch - Nuveen Dividend Advantage Muni Bond Fund	B	Interest	K	T					
13. Apache Corp - common stock	B	Dividend	M	T					
14. General Electric - common stock	B	Dividend	L	T					
15. Quality Systems - common stock	C	Dividend	L	T					
16. General Dynamics - common stock	C	Dividend	L	T					
17. Merrill Lynch - Eaton Vance Municipal Bonds	B	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Vanguard Health Care Fund	D	Dividend	M	T					
19. Allstate - common stock	C	Dividend	M	T					
20. RPM International - common stock	D	Dividend	M	T					
21. Merrill Lynch - 3M Company	A	Dividend	J	T					
22. Merrill Lynch - General Electric	A	Dividend	J	T					
23. Merrill Lynch - Eaton Vance Worldwide Health	C	Int./Div.	K	T					
24. Wells Fargo Advantage Opportunity Fund	B	Int./Div.			Sold	10/19/12	L	D	
25. Vanguard Mid-Cap Index Fund Admiral Shares	C	Dividend	M	T					
26. PNC Bank - Checking Account (X)		None	M	T					
27. Pentair, Inc - common stock	A	Dividend			Sold	3/28/12	L	E	
28. Broadridge Financial Solutions, Inc.	A	Dividend	J	T					
29. Vanguard Growth and Income Fund -		None			Sold	1/11/12	K	A	
30. Vanguard IRA 500 Index Fund Admiral Shares	C	Dividend	M	T					
31. Vanguard IRA Mid-Cap Index Fund Admiral Shares	C	Dividend	N	T					
32. Sandy Spring Bancorp -	A	Dividend	K	T					
33. Vanguard Long-Term Tax-Exempt Adm -	D	Interest	N	T					
34. Exxon Mobil Corp - common stock	B	Dividend	K	T					

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35. Bristol Myers Squibb - common stock	B	Dividend	K	T					
36. US Govt Money Market Fund -		None	J	T					
37. FirstEnergy Corp -	C	Dividend			Sold	8/8/12	L	D	
38. Nextera Energy Inc -	B	Dividend	L	T					
39. Telefonica SA Sponsored ADR -		None			Sold	4/20/12	K	A	
40. RBC Bank Deposit Program -	A	Interest	J	T					
41. Wal-Mart Stores, Inc -	B	Dividend	L	T					
42. TE Connectivity	A	Dividend	J	T					
43. Exelon Corporation -	C	Dividend	L	T	Buy	3/28/12	K		
44.					Buy (add'l)	8/8/12	K		
45. McDonald's Corp -	A	Dividend	K	T	Buy	8/8/12	K		
46. Pepsico Inc -	B	Dividend	K	T	Buy	1/1/12	K		
47. Walgreens Co -	B	Dividend	L	T	Buy	3/28/12	K		
48. Covidien PLC	A	Dividend	J	T	Buy	1/1/12	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Additional notes on Part VII: lines 12, 17, 21, 22, 23 are all holdings in the same brokerage account - the brokerage account was previously listed as Banc of America, but the payer is officially Merrill Lynch, thus the name change in 2012.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Gladys Kessler**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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