

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Drain, Gershtwin A.	2. Court or Organization U.S. District Court, Eastern District of Michigan	3. Date of Report 05/08/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge; active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address United States District Court Theodore Levin United States Courthouse 231 W. Lafayette Blvd., Room 707 Detroit, MI 48226		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. _____
2. _____
3. _____
4. _____
5. _____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. _____
2. _____
3. _____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	Wayne County, Michigan Pension Payments	\$14,919.12
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. University of Virginia School of Law	02/19/2016 to 02/21/2016	University of Virginia School of Law, Charlottesville, Virginia	Judging the William Minor Moot Court Competition	Flight, Hotel, Meals and Shuttle
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IIRA #1 (H)									
2. - Franklin Templeton Mutual Global Discovery Fund	A	Dividend			Sold	05/20/16	K		
3. - American Funds Global Growth	A	Dividend			Sold	05/20/16	J		
4. - American Funds New World	A	Dividend			Sold	05/20/16	J		
5. - American Funds Growth Income	A	Dividend			Sold	05/20/16	K		
6. - American Funds Growth	A	Dividend			Sold	05/20/16	K		
7. - American Funds Asset Allocation	A	Dividend			Sold	05/20/16	K		
8. - Franklin Income Securities NKA Franklin Income VIP Fund	A	Dividend			Sold	05/20/16	K		
9. - AMCAP Fund Class C Am. Funds	A	Dividend	L	T					
10. - Cap. Income Builder Fd./ Class C - Am. Funds	B	Dividend	L	T					
11. - Cap. World Growth & Inc. Fd./ Am. Funds	A	Dividend	L	T					
12. - Income Fund of Amer. Class C - Am. Funds	C	Dividend	M	T					
13. - New Perspective Fund Class C - Am. Funds	A	Dividend	L	T					
14. - First Trust Capital Strength	A	Dividend			Sold	11/16/16	K		
15. - First Trust Sabrient Dividend Portfolio Series	A	Dividend			Sold	12/28/16	J		
16. - First Trust Int'l. Capital Strength Portfolio	A	Dividend			Sold	11/16/16	K		
17. - American Balanced Fund/Am. Funds	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market

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18. - New Economy Fund/Am. Funds	A	Dividend	K	T					
19. - Growth Fund of America/Am. Funds	A	Dividend	K	T					
20. - Hartford Floating Rate Fund	A	Dividend	K	T					
21. - Europacific Growth Fund/Am Funds	A	Dividend	K	T					
22. - First Trust Sabrient Baker's Dozen Port	A	Dividend	K	T					
23. - First Trust Election Portfolio	A	Dividend	L	T	Buy	11/29/16	L		
24. IRA #2 (H)									
25. - Am. Funds Amcap Fund Class C	A	Dividend	J	T					
26. - American Funds Capital Income Builder	A	Dividend	J	T					
27. - American Funds New World Fund	A	Dividend	J	T					
28. - Am. Funds - Growth Fund of America	A	Dividend	J	T					
29. - Am. Funds - American Balance Fund	A	Dividend	J	T					
30. IRA #3 (H)									
31. - Am. Funds - Capital Income Builder	A	Dividend	J	T					
32. - Am. Funds Capital World Growth Fund	A	Dividend	J	T					
33. - Am. Funds New World Fund	A	Dividend	J	T					
34. - Am Funds The Growth Fund of America	A	Dividend	J	T					

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(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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35. - Am Funds Capital World Growth & Inc.	A	Dividend	J	T					
36. IRA #4 (H)									
37. - Franklin Mutual Global Discovery Fund	A	Dividend	J	T					
38. - Franklin Rising Dividends Fund Class C	A	Dividend	J	T					
39. - Amer. Funds AMCAP Fund Class C	A	Dividend	J	T					
40. IRA #5 (H)									
41. - ADT Corporation	A	Dividend			Sold	03/31/16	J		
42. - AT&T Incorporated	A	Dividend	J	T					
43. - Abbvie Incorporated (X)	A	Dividend	J	T					
44. - Allianz SE SP ADR	A	Dividend	J	T					
45. - Altria Group Incorporated	A	Dividend	J	T					
46. - Ameren Corporation	A	Dividend	J	T					
47. - American Elec. Pwr. Incorporated	A	Dividend	J	T					
48. - Amgen Incorporated	A	Dividend	J	T	Buy	04/01/16	J		
49. - Amerigas Partners LP	A	Dividend	J	T					
50. - Astrazeneca PLC	A	Dividend	J	T					
51. - Automatic Data Processing Inc. (X)	A	Dividend	J	T					

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52. - AXA SA Sponsored ADR (X)	A	Dividend	J	T					
53. - BB&T Corporation	A	Dividend	J	T	Buy	01/11/16	J		
54. - BAE Systems PLC Sponsored ADR	A	Dividend	J	T					
55. - BASF SE Sponsored ADR	A	Dividend	J	T					
56. - BCE Incorporated Com New	A	Dividend	J	T					
57. - BP PLC Sponsored ADR	A	Dividend	J	T					
58. - Bemis Incorporated	A	Dividend			Sold	07/21/16	J		
59. - British Amern Tob Plc	A	Dividend	J	T					
60. - CME Group Incorporated	A	Dividend	J	T					
61. - Cardinal Health Inc.	A	Dividend	J	T	Buy	06/24/16	J		
62. - Centurylink Incorporated (Y)	A	Dividend	J	T					
63. - Chevron Corporation New	A	Dividend	J	T					
64. - Cinemark Holdings Inc.	A	Dividend	J	T					
65. - Cisco Systems Inc.	A	Dividend	J	T	Buy	03/19/16	J		
66. - Coca Cola Company	A	Dividend	J	T					
67. - Compass Minerals Intl.	A	Dividend	J	T					
68. - Consolidated Edison Inc.	A	Dividend	J	T	Buy	01/08/16	J		

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69. - Conocophillips	A	Dividend			Sold	01/29/16	J		
70. - Corning Incorporated	A	Dividend	J	T					
71. - Deutsche Telekom AG	A	Dividend	J	T					
72. - Deutsche Post Ag Spon. ADR (X)	A	Dividend	J	T					
73. - Dinequity Inc.	A	Dividend	J	T	Buy	02/27/16	J		
74. - Dominion Res Incorporated	A	Dividend	J	T					
75. - Donnelley R R & Sons Company	A	Dividend			Sold	10/24/16	J		
76. - Dow Chemical Company	A	Dividend	J	T					
77. - Duke Energy Corporation NE	A	Dividend	J	T					
78. - Emerson Elec. Company	A	Dividend	J	T					
79. - Entergy Corporation	A	Dividend	J	T					
80. - Exxon Mobil Corporation	A	Dividend	J	T					
81. - Fastenal Company	A	Dividend	J	T					
82. - Ford Mtr Company	A	Dividend			Sold	10/10/16	J		
83. - GEO Group Incorporated	A	Dividend	J	T					
84. - General Mls Incorporated	A	Dividend	J	T					
85. - Glaxosmithkline PLC	A	Dividend	J	T					

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86. - Grainger W W Inc.	A	Dividend	J	T	Buy	12/09/16	J		
87. - HCP Incorporated REIT	A	Dividend			Sold	01/06/16	J		
88. - Imperial Brands ADR f/k/a Imperial Tobacco Group PLC	A	Dividend	J	T					
89. - Intel Corporation	A	Dividend	J	T					
90. - Iron Mtn Incorporated	A	Dividend	J	T					
91. - Johnson & Johnson	A	Dividend	J	T					
92. - J2 Global Incorporated	A	Dividend			Sold	03/11/16	J		
93. - Kimberly Clark Corporation	A	Dividend	J	T					
94. - Kohls Corporation	A	Dividend			Sold	06/01/16	J		
95. - Kraft Heinz Company f/k/a Kraft Foods Group Incorporated	A	Dividend	J	T					
96. - Lockheed Martin Corporation (X)	A	Dividend	J	T					
97. - Misc Indl Direct Incorporated	A	Dividend			Sold	12/13/16	J		
98. - McDonalds Corporation	A	Dividend	J	T					
99. - Merck & Company Incorporated	A	Dividend	J	T					
100. - Metlife Inc. (X)	A	Dividend	J	T					
101. - Michelin Compagnie Generale DE ADR	A	Dividend	J	T	Buy	08/18/16	J		
102. - Microsoft Corporation	A	Dividend	J	T					

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103. - Microchip Technology Inc. (X)	A	Dividend	J	T					
104. - Molson Coors Brewing Company	A	Dividend			Sold	07/26/16	J		
105. - Motorola Solutions Inc. Com New	A	Dividend	J	T					
106. - Munich Re Group	A	Dividend	J	T					
107. - National Fuel Gas Company	A	Dividend	J	T					
108. - National Grid PLC	A	Dividend	J	T					
109. - Novartis A G Sponsored ADR	A	Dividend	J	T	Buy	08/04/16	J		
110. - Nucor Corporation	A	Dividend			Sold	04/12/16	J		
111. - Occidental Pete Corporation	A	Dividend	J	T					
112. - Omega Healthcare Invs Inc.	A	Dividend			Sold	07/12/16	J		
113. - Omnicom Group Inc.	A	Dividend	J	T					
114. - Orkla A S Spon ADR A	A	Dividend	J	T					
115. - Owens & Minor Incorporated	A	Dividend	J	T					
116. - PNC Finl SVCS Group	A	Dividend	J	T					
117. - PPL Corporation	A	Dividend	J	T					
118. - Parker Hannifin Corporation	A	Dividend			Sold	12/14/16	J		
119. - Peoples United Financial Inc. (X)	A	Dividend	J	T					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - Pepsico Incorporated	A	Dividend	J	T					
121. - Philip Morris International	A	Dividend	J	T					
122. - Polaris Industries Inc.	A	Dividend	J	T	Buy	07/18/16	J		
123. - Potash Corporation Sask Inc. (Canada)	A	Dividend			Sold	01/22/16	J		
124. - Praxair Incorporated	A	Dividend	J	T					
125. - Procter & Gamble Company	A	Dividend	J	T					
126. - Qualcomm Incorporated	A	Dividend	J	T					
127. - Quest Diagnostics Inc.	A	Dividend			Sold	09/09/16	J		
128. - Regal Entertainment Group (X)									
129. - Republic SVCS Incorporated	A	Dividend			Sold	06/24/16	J		
130. - Reynolds American Incorporated	A	Dividend	J	T					
131. - Roche Hldg. Limited Spon. ADR (X)	A	Dividend	J	T					
132. - Rogers Communications	A	Dividend	J	T					
133. - Royal Bk Cda Montreal Que	A	Dividend	J	T	Buy	12/19/16	J		
134. - Royal Dutch Shell PLC	A	Dividend	J	T					
135. - SSE PLC Sponsored ADR	A	Dividend	J	T					
136. - Sanofi Sponsored ADR (X)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Drain, Gershwin A.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - Scor Sponsored ADR (France)	A	Dividend	J	T					
138. - Seagate Technology PLC (Ireland)	A	Dividend			Sold	04/22/16	J		
139. - Siemens A G Sponsored ADR	A	Dividend	J	T					
140. - Sky PLC Spon. ADR	A	Dividend	J	T	Buy	08/04/16	J		
141. - Southern Company	A	Dividend	J	T					
142. - Spectra Energy Partners	A	Dividend	J	T					
143. - Statoil Asa Sponsored ADR (Norway)	A	Dividend	J	T					
144. - Svenska Handel Sbanken Ab ADR (Sweden)	A	Dividend	J	T					
145. - Swisscom AG Sponsored ADR	A	Dividend	J	T					
146. - Sysco Corporation	A	Dividend			Sold	03/28/16	J		
147. - Taiwan Semiconductor Mfg Limited Spons. ADR	A	Dividend	J	T					
148. - Teco Energy Incorporated	A	Dividend			Sold	07/07/16	J		
149. - Target Corporation	A	Dividend	J	T					
150. - Telstra Corporation Limited	A	Dividend	J	T					
151. - Terna Rete Elettrica Nazionale ADR	A	Dividend	J	T					
152. - Texas Instrs Incorporated	A	Dividend	J	T					
153. - Thomson Reuters Corporation	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - Time Warner Inc.	A	Dividend	J	T	Buy	02/18/16	J		
155. - Total S A Sponsored ADR	A	Dividend	J	T					
156. - US Bancorp Del Com New	A	Dividend	J	T					
157. - Unibail - Rodamco SE Adr Rep Jce 08 (France)	A	Dividend	J	T					
158. - Unilever PLC Spon ADR New	A	Dividend	J	T					
159. -Union Pac Corp.	A	Dividend	J	T	Buy	01/22/16	J		
160. - United Parcel Service	A	Dividend	J	T					
161. - Valero Energy Corp.	A	Dividend	J	T	Buy	09/20/16	J		
162. - Vectren Corporation	A	Dividend	J	T					
163. - Verizon Communications	A	Dividend	J	T					
164. - Vinci S A (Y)	A	Dividend	J	T					
165. - Vodafone Group PLC New	A	Dividend	J	T					
166. - WEC Energy Group Inc.	A	Dividend	J	T					
167. - Wal-Mart Stores Incorporated	A	Dividend	J	T					
168. - Waste Mgmt. Inc. (X)	A	Dividend	J	T					
169. - Wells Fargo & Company New	A	Dividend	J	T					
170. - Welltower Incorporated REIT	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - Western Un Company	A	Dividend	J	T					
172. - Westpac Bkg Corporation	A	Dividend	J	T					
173. - Weyerhaeuser Company	A	Dividend	J	T					
174. - Daimler AG	A	Dividend	J	T					
175. - Axis Capital Holdings Limited	A	Dividend	J	T	Buy	11/03/16	J		
176. - Eaton Corporation PLC	A	Dividend	J	T	Buy	01/27/16	J		
177. - Johnson Controls PLC	A	Dividend	J	T	Buy	01/27/16	J		
178. - TE Connectivity Limited Reg. SHS	A	Dividend	J	T	Buy	05/12/16	J		
179. - Lyondellbasell Industries	A	Dividend	J	T	Buy	09/29/16	J		
180. - Cedar Fair L.P Depositry Unit		Dividend	J	T	Buy	08/09/16	J		
181. - Communications Sales & Leas Inc. (X)	A	Dividend	J	T					
182. - Crown Castle Intl. Corp.	A	Dividend	J	T	Buy	05/17/16	J		
183. - Enterprise Products Partners L P (X)	A	Dividend	J	T					
184. - Magellan Midstream Ptnrs LP (X)	A	Dividend	J	T					
185. - Ryman Hospitality Pptys Inc.	A	Dividend	J	T	Buy	10/17/16	J		
186. - Sabra Health Care REIT Inc.	A	Dividend	J	T	Buy	07/25/16	J		
187. - Seagate Technology PLC	A	Dividend			Sold	04/20/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. - Corrections Corporation Amer New Com New Reit	A	Dividend			Sold	11/03/16	J		
189. - Realty Income Corporation REIT	A	Dividend	J	T					
190. - Ventas Incorporated REIT	A	Dividend	J	T					
191. IRA #6									
192. - AT&T Incorporated	A	Dividend	J	T	Buy	06/06/16	J		
193. - Paychex Incorporated	A	Dividend	J	T	Buy	06/06/16	J		
194. - Pepsico Incorporated	A	Dividend	J	T	Buy	06/06/16	J		
195. - Riverfront Strategic Income Fund	A	Dividend	J	T	Buy	06/06/16	J		
196. - First Trust ETF II Utilities Alphadex Fund	A	Dividend	J	T	Buy	06/06/16	J		
197. - Flexshares Trust Quality Dividend Index Fund	A	Dividend	J	T	Buy	06/06/16	J		
198. - Global X Funds MCSI Norway ETF	A	Dividend	J	T	Buy	06/06/16	J		
199. - Goldman Sachs ETF Activebeta US Large Cap	A	Dividend	J	T	Buy	08/15/16	J		
200. - Ishares MSCI Aust ETF	A	Dividend	J	T	Buy	06/06/16	J		
201. - Ishares MSCI Sz Cap ETF	A	Dividend	J	T	Buy	06/06/16	J		
202. - Ishares TR Core S & P 500 ETF	A	Dividend	J	T	Buy	06/06/16	J		
203. - Ishares TR MSCI EAFE ETF	A	Dividend	J	T	Buy	06/06/16	J		
204. - Ishares TR NASDAQ Biotech ETF	A	Dividend	J	T	Buy	08/15/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. - Ishares TR U.S. Fin SVC ETF	A	Dividend	J	T	Buy	11/16/16	J		
206. - Ishares TR CORE S&P SCP ETF	A	Dividend	J	T	Buy	07/18/16	J		
207. - Ishares TR U.S. CNSM GD ETF	A	Dividend	J	T	Buy	06/06/16	J		
208. - Ishares TR Intl SEL Div ETF	A	Dividend	J	T	Buy	06/06/16	J		
209. - Ishares TR US Hlthcr PR ETF	A	Dividend	J	T	Buy	06/06/16	J		
210. - Ishares TR EAFE Value ETF	A	Dividend	K	T	Buy	11/11/16	K		
211. - Ishares TR EAFE Grwth ETF	A	Dividend	K	T	Buy	06/06/16	K		
212. - Ishares Incorporated Core MSCI EMKT	A	Dividend	J	T	Buy	07/07/16	J		
213. - Ishares TR Hdg MSCI Japan	A	Dividend	J	T	Buy	12/15/16	J		
214. - Pimco ETF Trust	A	Dividend	J	T	Buy	06/06/16	J		
215. - Powershares QQQ Trust	A	Dividend	J	T	Buy	08/15/16	J		
216. - Powershares ETF Trust Aerospace & Defense Port	A	Dividend	J	T	Buy	06/06/16	J		
217. - Powershares ETF II S&P 500 Ex-Rate	A	Dividend	J	T	Buy	06/06/16	J		
218. - Powershares ETF Trust II KBW Regl Bk Port	A	Dividend	J	T	Buy	06/06/16	J		
219. - SPDR Series Trust Morgan Stanley Tech	A	Dividend	K	T	Buy	06/06/16	K		
220. - SPDR Series Trust S&P Transn ETF	A	Dividend	J	T	Buy	06/06/16	J		
221. - SPDR Series Trust S&P Capital Markets ETF	A	Dividend	J	T	Buy	06/06/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. - SPDR Series Trust Cap Short Term	A	Dividend	J	T	Buy	06/06/16	J		
223. - Sector SPDR TR SBI Cons Discr	A	Dividend	J	T	Buy	12/07/16	J		
224. - Sector SPDR TR SBI Int-Finl	A	Dividend	J	T	Buy	06/06/16	J		
225. - Select Sector SPDR TR Real Estate	A	Dividend	J	T	Buy	09/19/16	J		
226. - Wisdomtree Trust Larcap Div Fund	A	Dividend	J	T	Buy	06/06/16	J		
227. - Wisdomtree Trust Low P/E Fd	A	Dividend	J	T	Buy	06/06/16	J		
228. - Wisdomtree Trust Japan Smallcap Divid Fund	A	Dividend	J	T	Buy	06/06/16	J		
229. - Wisdomtree Trust Intl Hedged Div Growth Fund	A	Dividend	J	T	Buy	08/30/16	J		
230. - Wisdomtree Trust Currency Hedged Defa Fd	A	Dividend	K	T	Buy	06/06/16	K		
231. - UBS Ag Jersey, E Tracs Linked to Alerian MLP Infrastructure	A	Dividend	J	T	Buy	06/06/16	J		
232. Brokerage Account #1 (H)									
233. - Am Funds Cap World Growth & Inc Class C	A	Dividend	K	T					
234. - First Eagle Global Fund Class C	A	Dividend	K	T					
235. - Henderson European Focus Fund	A	Dividend	K	T					
236. - American High Income Municipal Bond	A	Dividend	J	T					
237. - Tax Exempt Bond Fund of America	A	Dividend	J	T					
238. - Franklin Rising Dividends Fund	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. - Am Funds Growth Fund of Amer Class C	A	Dividend	J	T					
240. - Am Funds Investment Co of America Class A	A	Dividend	K	T					
241. - Franklin Templeton Mutual Global Discovery	A	Dividend	K	T					
242. - Am Funds New World Class C	A	Dividend	J	T					
243. - Realty Income Corporation Reit	B	Dividend	K	T					
244. - Targe Res Corp. f/k/a Targa Res Partners LP Com Unit (X)	A	Dividend	J	T					
245. - Blackrock Equity Div. Fund Class C	A	Dividend	J	T					
246. - Calamos Growth & Income Fund Class C	A	Dividend	K	T					
247. - Nuveen High Yield Mun. Bond Fund Class C	A	Dividend	K	T					
248. - Western Asset Int. Term Municipals Class C	A	Dividend	K	T					
249. - Raymond James Cash Account		None	J	T					
250. Brokerage Account #2 (H)									
251. - AT&T Incorporated	A	Dividend	J	T	Buy	01/25/16	J		
252. - Abbvie Incorporated	A	Dividend	J	T	Buy	04/21/16	J		
253. - Adobe Systems Inc.	A	Dividend	J	T					
254. - Advance Auto Parts Inc.	A	Dividend	J	T	Buy	12/07/16	J		
255. - Alibaba Group Hldg	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Drain, Gershwin A.

Date of Report

05/08/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. - Allergan Incorporated f/k/a Actavis PLC	A	Dividend			Sold	11/01/16	J		
257. - Alphabet Incorporated f/k/a Google Incorporated	A	Dividend	J	T					
258. - Altria Group Incorporated	A	Dividend	J	T	Buy	02/08/16	J		
259. - Amazon Com Incorporated	A	Dividend	J	T					
260. - American Express Group Inc. Com	A	Dividend			Sold	01/22/16	J		
261. - American International Group Inc. Com	A	Dividend	J	T					
262. - Anadarko Pete Corporation	A	Dividend	J	T	Buy	09/20/16	J		
263. - Apple Incorporated	A	Dividend	J	T					
264. - Bank of America Corporation	A	Dividend	J	T					
265. - Boeing Company	A	Dividend			Sold	04/01/16	J		
266. - Boston Scientific Corp.	A	Dividend	J	T					
267. - Bristol Myers Squibb Company	A	Dividend	J	T					
268. - CSX Corporation	A	Dividend	J	T	Buy	09/14/16	J		
269. Canadian Nat Res Limited	A	Dividend	J	T	Buy	03/11/16	J		
270. - Cigna Corporation	A	Dividend			Sold	05/27/16	J		
271. - CVS Caremark Corporation	A	Dividend			Sold	09/28/16	J		
272. - Celgene Corporation	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Drain, Gershwin A.

Date of Report

05/08/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. - Charter Communications Inc.	A	Dividend	J	T	Buy	04/28/16	J		
274. - Chevron Corporation New	A	Dividend	J	T	Buy	10/31/16	J		
275. - Cisco Systems Incorporated	A	Dividend	J	T					
276. - Citigroup Incorporated Com New	A	Dividend	J	T					
277. - Comcast Corporation New	A	Dividend	J	T					
278. - Delta Air Lines Inc.	A	Dividend			Sold	06/03/16	J		
279. - Disney Walt Company	A	Dividend	J	T					
280. - Dollar General Corp.	A	Dividend			Sold	09/07/16	J		
281. - Dow Chemical Company	A	Dividend	J	T					
282. - Electronic Arts Inc.	A	Dividend	J	T	Buy	10/14/16	J		
283. - Exxon Mobil Corp.	A	Dividend	J	T					
284. - Facebook Incorporated	A	Dividend	J	T					
285. - Fedex Corporation	A	Dividend	J	T	Buy	03/04/16	J		
286. - General Electric Company	A	Dividend	J	T					
287. - Halliburton Company	A	Dividend	J	T	Buy	05/26/16	J		
288. - Gilead Sciences Incorporated	A	Dividend			Sold	03/23/16	J		
289. - Home Depot Incorporated	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Drain, Gershwin A.

Date of Report

05/08/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. - Honeywell International Incorporated	A	Dividend	J	T					
291. - JPMorgan Chase & Company	A	Dividend	J	T					
292. - Johnson & Johnson	A	Dividend	J	T	Buy	06/02/16	J		
293. - Lilly Eli & Company	A	Dividend	J	T	Buy	07/27/16	J		
294. - Marsh & McLennan Companies Incorporated	A	Dividend	J	T					
295. - Martin Marietta Matls Inc.	A	Dividend	J	T	Buy	11/15/16	J		
296. - Mastercard Incorporated	A	Dividend			Sold	06/27/16	J		
297. - Medtronic Incorporated	A	Dividend			Sold	12/15/16	J		
298. - Merck & Company	A	Dividend			Sold	12/20/16	J		
299. - Microsoft Corporation	A	Dividend	J	T					
300. - Mohawk Industries Inc.	A	Dividend	J	T	Buy	11/04/16	J		
301. - Morgan Stanley Com New	A	Dividend	J	T					
302. - Norfolk Southern Corp.	A	Dividend	J	T	Buy	07/14/16	J		
303. - Nike Incorporated	A	Dividend			Sold	06/01/16	J		
304. - Pepsico Incorporated	A	Dividend	J	T					
305. - Pfizer Incorporated	A	Dividend	J	T					
306. - Proctor and Gamble Co.	A	Dividend	J	T	Buy	06/24/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Drain, Gershwin A.

Date of Report

05/08/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. - Raytheon Company	A	Dividend	J	T					
308. - Reynolds American Inc.	A	Dividend			Sold	10/26/16	J		
309. - Salesforce Com Incorporated	A	Dividend	J	T					
310. - Schlumberger Limited	A	Dividend	J	T					
311. - Southern Company	A	Dividend	J	T	Buy	06/30/16	J		
312. - Stanley Black & Decker Inc.	A	Dividend	J	T	Buy	07/12/16	J		
313. - Schwab Charles Corporation New	A	Dividend			Sold	02/08/16	J		
314. - Starbucks Corporation	A	Dividend	J	T					
315. - T Mobile US Incorporated (Y)	A	Dividend	J	T					
316. - Time Warner Inc.	A	Dividend	J	T	Buy	08/05/16	J		
317. - United Parcel Service Inc.	A	Dividend			Sold	01/21/16	J		
318. - United Health Group Inc.	A	Dividend	J	T					
319. - Visa Incorporated	A	Dividend	J	T					
320. - Zoetis Inc.	A	Dividend	J	T	Buy	12/20/16	J		
321. - American Tower Corporation New Reit	A	Dividend	J	T					
322. Virginia 529 Plan, College Savings Plan, Moderate (H) Growth									
323. - AMCAP Fund - 529C	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Drain, Gershwin A.

Date of Report

05/08/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. - Capital World Growth & Income - 529C	A	Dividend	J	T					
325. - The Growth Fund of America - 529C	A	Dividend	J	T					
326. - The Investment Company of America - 529C	A	Dividend	J	T					
327. Hartford Life Insurance Cash Value Acct. #1 (H)									
328. - American Funds Income Fund	A	Dividend	J	T					
329. - American Funds Growth	A	Dividend	J	T					
330. - Franklin Templeton Mutual Shares Sec	A	Dividend	J	T					
331. PNC Bank Checking & Savings Accounts (H)	A	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$50,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

Drain, Gershwin A.

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05/08/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Gershwin A. Drain**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544