

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kelley, Susan V.	2. Court or Organization Bankruptcy Court ED Wis	3. Date of Report 05/13/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 517 East Wisconsin Avenue Milwaukee, WI 53202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Part-Time Adjunct Faculty Member (Lecturer)	Marquette University Law School, Milwaukee Wisconsin
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. various	Wolters Kluwer Law & Business, book royalties	\$2,800.00
2. various	Marquette University salary	\$28,200.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Procorp Enterprises LLC salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. State Bar of Wisconsin	3/1/2012 - 3/2/2012	Kohler, WI	CLE Speaker Reimbursement	One night hotel, 2 meals
2. American Bankruptcy Institute	3/10/2012 - 3/13/2012	New York, NY	Moot Court Judge Reimbursement	Airfare Milwaukee to New York, Two nights hotel, 4 meals
3. National Association of Consumer Bankruptcy Attorneys	4/26/2012 - 4/28/2012	San Antonio, TX	CLE Speaker Reimbursement	Airfare Milwaukee to San Antonio, Two nights hotel, 4 meals
4. American Bankruptcy Institute	6/9/2012 - 6/10/2012	Traverse City, MI	CLE Speaker Reimbursement	mileage to Traverse City; one night hotel; two meals
5. American Bankruptcy Institute	9/19/2012 - 9/21/2012	Las Vegas, NV	CLE Speaker Reimbursement	airfare Milwaukee to Las Vegas, 2 nights hotel, 4 meals

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6.	National Conference of Bankruptcy Judges	10/24/2012 -10/26/2012	San Diego, CA	Committee Member Reimbursement	airfare Milwaukee to San Dieto, 2 nights hotel
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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Vanderbilt University	student loan	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Brokerage Account 1									
2. Wells Fargo Large Co Core-Inv Fund	A	Dividend	J	T					
3. Wells Fargo Advantage Large Company Growth	A	Dividend	J	T					
4. Wells Fargo Advantage Opportunity Inv.	A	Dividend	J	T					
5. Wells Fargo Advantage Small Cap Disciplined Inv	A	Dividend	J	T					
6. Wells Fargo Advantage Small Cap Value	A	Dividend	J	T					
7. BMO Harris Bank Account	A	Interest	K	T					
8. Mutual of Omaha Whole Life Insurance Policies	A	Interest	K	T					
9. UBS Retirement Account									
10. IShares Trust Dow Jones Technology Sector Index Fund	A	Dividend	K	T					
11. IShares Trust Russell 2000 Index Fund	A	Dividend	K	T					
12. IShares Trust Dow Jones U.S. Fincl Sector Index Fund	A	Dividend	L	T					
13. IShares Trust DJ U.S. Basic Materials Sctr Index Fund	A	Dividend	J	T					
14. IShares Dow Jones US Consumer Services Sector Index Fund	A	Dividend	K	T					
15. IShares Trust US Energy Sector Index Fund	A	Dividend	K	T					
16. IShares Inc MSCI EMU Index Fund	A	Dividend	M	T					
17. IShares Trust Russell Midcap Index Fund	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. IShares Trust S&P Latin America 40 Index Fund	A	Dividend	K	T					
19. IShares MSCI Emerging Markets Index Fund	A	Dividend	L	T					
20. IShares Tr MSCI All Ctry Asia Ex Japan Index	A	Dividend	K	T					
21. SPDR Index Shares Funds SPDR S&P Emerging Markets Small Cap	A	Dividend			Sold	07/12/12	K	A	
22. SPDR S&P Emerging Europe ETF	A	Dividend	K	T					
23. Nationwide Future Venue Annuity		None	K	T					
24. Virent 401K Associated Wealth Management		None	L	T					
25. Wisconsin Retirement Employee Trust Fund		None	K	T					
26. Virent Energy Systems, Inc. Common Stock		None	J	T					
27. Procorp 401K		None	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

III - Wolters Kluwer Royalties paid March 2012 and December 2012. Amount given is total annual royalties.

III Marquette Salary paid monthly January through April

VII M&I Bank changed its name to BMO Harris Bank. It is the same account.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Susan V. Kelley**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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