

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Stranch, Jane B.	2. Court or Organization Sixth Circuit Court of Appeals	3. Date of Report 05/15/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 701 Broadway, Room 132 Nashville, TN 37203	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Partner	Branstetter & Stranch
2. Partner	Branstetter Family Partners
3. Trustee	Trust #2
4. Co-Trustee	Trust #3
5. Trustee	Trust #4
6. Trustee	Trust #5
7. Trustee	Trust #6
8. Trustee	Trust #7

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1. 12/26/68	Branstetter, Stranch & Jennings, PLLC Profit Sharing Plan with former law firm, no control
2. 2010	Branstetter, Stranch & Jennings law firm, payment of value of interest in fees to be collected at the end of litigation for work done before leaving the firm

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	Self-employed attorney
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	OELA & NELA Ohio & Sixth Circuit Annual Conference & Seminar	April 7-8, 2011	Columbus, OH	Speaker	Transportation, meals and lodging
2.	ABA Labor & Employment Law Conference	November 2-5, 2011	Seattle, WA	Speaker	Transportation and lodging
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	First Bank	Mortgage on Rental Property #1, Nashville, TN (Part VII, line 24)	L
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Bank of America Accounts	A	Interest	K	T					
2. UBS Financial Services, Inc. Accounts									
3. - UBS Bank USA Deposit Account	A	Interest	M	T					
4. - American Funds Capital Income Builder Fund	B	Dividend	M	T					
5. - IRA #1	A	Interest	J	T					
6. - IRA #2	A	Interest	J	T					
7. - Regions Financial Corp. Stock	A	Dividend	J	T					
8. - Telecom Argentina Stock	A	Dividend	J	T					
9. - Scler Pharma, Inc. Stock	A	Dividend	J	T					
10. Piedmont Natural Gas Stock	A	Dividend	J	T					
11. Capstar Bank Stock		None	L	U					
12. Rental Property, Nashville, TN (1993-\$395,000)	E	Rent	O	R					
13. UBS Financial Services, Inc. Pension Plan #1		None	O	T					
14. John Hancock USA Pension Plan #2									
15. - Oppenheimer Developing Mkt		None	J	T					
16. - T. Roe Price Health Sci		None	J	T					
17. - Legg Partners Agg Growth (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. - Legg Mason Clear Br Agg. Growth		None	J	T					
19. - Energy		None	J	T					
20. - Intl Small Cap Fund		None	J	T					
21. - EuroPacific Growth Fund		None	J	T					
22. - Columbia Value & Restructuring		None	J	T					
23. - Davis New York Venture		None	J	T					
24. - Real Est. Securities Fund		None	K	T					
25. - Capital Appreciation Fund		None	J	T					
26. UBS Financial Services, Inc. Pension Plan #3		None	P1	T					
27. John Hancock USA Pension Plan #4									
28. - Lifestyle Aggressive		None	K	T					
29. - Oppenheimer Developing Mkt		None	J	T					
30. - T. Roe Price Health Sci		None	K	T					
31. - Legg Partners Agg Growth (Y)									
32. - Legg Mason Clear Br Agg. Growth		None	J	T					
33. - Energy		None	J	T					
34. - Intl Small Cap Fund		None	J	T					

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35. - EuroPacific Growth Fund		None	J	T					
36. - Columbia Value & Restructuring		None	J	T					
37. - Davis New York Venture		None	J	T					
38. - Capital Appreciation Fund		None	J	T					
39. Memphis Partners	A	Dividend	K	T					
40. Branstetter Family Partners									
41. - Bank of Nashville Accounts	A	Interest	N	T					
42. - Investment Property, Nashville, TN (Appraisal 4/09)		None	P1	Q					
43. Trust #2, real property Nashville, TN (2005-8, \$282,000)		None	N	R					
44. Trust #3									
45. - UBS Bank USA Deposit Account	A	Interest	J	T					
46. - American Funds Capital Income Builder Fund	D	Dividend	N	T					
47. - Virtus Premium Alpha Sector A Fund	A	Dividend	M	T					
48. --Virtus 1st Purchase					Buy	02/08/11	L		
49. --Virtus 2nd Purchase					Buy	06/23/11	K		
50. --Virtus 3rd Purchase					Buy	12/01/11	K		
51. Trust #4									

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52. - Investment Property, Nashville, TN (Appraisal 4/09)		None	K	Q					
53. Trust #5									
54. - Investment Property, Nashville, TN (Appraisal 4/09)		None	K	Q					
55. Trust #6									
56. - Investment Property, Nashville, TN (Appraisal 4/09)		None	K	Q					
57. Trust #7									
58. - Investment Property, Nashville, TN (Appraisal 4/09)		None	K	Q					
59. Northwestern Mutual: Whole Life Policy	B	Dividend	L	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

All Part VII, references by line listed below:

1. Line 14 (on 2010 report, Line 39) is a retirement account with investments selected by the manager based on categories of investment risk I choose. Line 17 (on 2010 report, Line 43) was deleted by the manager and Line 18 was added.
2. Line 27 (on 2010 report, Line 53) is a retirement account with investments selected by the manager based on categories of investment risk chooses. Line 31 (on 2010 report, Line 58) was deleted by the manager and Line 32 was added.
3. Line 43 (on 2010 report, Line 73), Trust #2 contains gifts of percentage ownership in real property given over time from 2005 to 2008. The value as dedeed is as follows:

2005 -	\$66,000
2006 -	\$72,000
2007 -	\$72,000
2008 -	\$72,000
Total	\$282,000

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Jane B. Stranch**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544