

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Nathan, Alison J.	2. Court or Organization U.S. District Court, Southern District of New York	3. Date of Report 09/09/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address U.S. Courthouse 40 Foley Square New York, NY 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Advisor on the Restatement of the Law, Copyright Project	American Law Institute
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 13

Name of Person Reporting

Nathan, Alison J.

Date of Report

09/09/2016

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	NYU School of Law (teaching income)	\$15,555.61
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	NYU School of Law Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 13

Name of Person Reporting

Nathan, Alison J.

Date of Report

09/09/2016

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Navient (formerly Sallie Mae)	Student Loans	K
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 13

Name of Person Reporting

Nathan, Alison J.

Date of Report

09/09/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Emigrant Direct (various accounts)	A	Interest	J	T					
2. Bank of America Checking Account (Y)									
3. Chase Checking Account (Y)									
4. Brokerage Account #1 (H)									
5. Merrill Lynch Bank Deposit Program (X)	A	Interest	J	T					
6. AMG Yackman Fund CL Service, YACKX		None			Sold	03/12/15	J	A	
7. ASG Managed Futures Str Fd ASFYX	A	Dividend	J	T	Buy	03/12/15	J		
8. Blackrock Global Long Short Credit Fund BGCIX	A	Dividend	J	T					
9. Blackrock Strategic Muni Opportunities Fund Instl MAMTX	A	Dividend	J	T	Buy	03/12/15	J		
10.					Buy (add'l)	08/24/15	J		
11. Boston Partners Long / Short Equity Fd Instl BPLSX	A	Dividend	J	T	Sold (part)	03/12/15	J		
12. Fidelity Advisor Biotechnology Fund FBTIX	A	Dividend	J	T	Sold (part)	03/12/15	J	A	
13. Fidelity Advisor Industrials Fund FCLIX	A	Dividend	J	T	Sold (part)	03/12/15	J	A	
14. Fidelity Advisor Materials Fund FMFEX		None			Sold	01/30/15	J		
15. Fiduciary/Clay MLP Opp FMO	A	Dividend			Sold	12/08/15	J		
16. Franklin Intl Small Cap FKSCX	A	Dividend	J	T					
17. Franklin Mutual Global Discovery Z MDISX	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 13

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Nathan, Alison J.

Date of Report

09/09/2016

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Guggenheim S&P 500 Equal Weight Technology ETF RYT	A	Dividend	J	T	Buy	03/12/15	J		
19. Ivy Science and Technology Fund ISTIX	A	Dividend	J	T					
20. John Hancock Intl Growth Fund GOGIX	A	Dividend	J	T	Buy	03/12/15	J		
21. Kayne Anderson MLP KYN	A	Dividend			Sold	12/08/15	J		
22. Loomis Sayles Strategic NEZYK	A	Dividend			Sold	08/12/15	J	A	
23. Lord Abbett Short Duration Income Fd LDLFX	A	Dividend	J	T	Sold (part)	03/12/15	J	A	
24.					Buy (add'l)	12/08/15	J		
25. Lord Abbett Intermediate LISFX	A	Dividend			Sold	03/12/15	J		
26. Mainstay High Yield, MYHIX	A	Dividend			Sold	03/12/15	J		
27. MFS Emerging Markets Debt Fd MEDIX	A	Dividend	J	T	Buy	03/12/15	J		
28. MFS Utilities FD CL 1, MMUIX	A	Dividend			Sold	03/12/15	J	A	
29. Neuberger Berman Absol Rtrn Multi Mgr Fd NABIX		None			Sold	03/12/15	J	A	
30. Nuveen Global, FGIYX	A	Dividend			Sold	08/12/15	J		
31. Nuveen Short Duration Hi Yld Muni Bd Fd NVHIX	A	Dividend	J	T	Buy	12/08/15	J		
32. Pimco Foreign Bond Fd. PFBPX	A	Dividend	J	T	Buy	03/12/15	J		
33. Pimco RAE Fundamental Plus Fund PIXPX	A	Dividend	J	T					
34. Pimco Income Fund PONPX	A	Dividend	J	T	Buy	03/12/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 13

Name of Person Reporting

Nathan, Alison J.

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35. Prudential Jennison Health Sciences PHSZX	A	Dividend	J	T	Buy	01/30/15	J		
36.					Buy (add'l)	03/12/15	J		
37. SPDR® S&P 500 ETF SPY		None			Buy	01/05/15	J		
38.					Sold	03/12/15	J		
39. SunAmerica Focused Dividend Strategy FDSWX	A	Dividend	J	T	Sold (part)	03/12/15	J	A	
40. T Rowe Price Capital Appreciation Fd Retail PRWCX	A	Dividend	J	T					
41. TCW Total Return Bond Fd TGLMX	A	Dividend	J	T	Buy	03/12/15	J		
42. Templeton Gbl Bond Fund, TGBAX	A	Dividend			Sold	03/12/15	J	A	
43. The Oakmark Intl Fund OAKIX	A	Dividend			Sold	03/12/15	J	A	
44. Touchstone Sands Capital Select Growth Fund CFSIX	A	Dividend	J	T	Sold (part)	03/12/15	J	A	
45. Vanguard Energy ETF VDE (X)		None			Sold	03/12/15	J	A	
46. Wells Fargo Absolute Return Fd WARDX		None			Sold	03/13/15	J	A	
47. Wisdomtree Trust Japan Hedged Equity Fd DXJ	A	Dividend	J	T	Buy	03/12/15	J		
48. Wisdomtree Europe Hedged Equity Fund HEDJ	A	Dividend	J	T	Buy	03/12/15	J		
49.					Sold (part)	08/24/15	J		
50. Brokerage Account #2 (H)									
51. Merrill Lynch Bank Deposit Program (X)	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 13

Name of Person Reporting

Nathan, Alison J.

Date of Report

09/09/2016

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52. AMG Yackman Fund CL Service, YACKX		None			Sold	03/12/15	J		
53. ASG Managed Futures Str Fd ASFYX	A	Dividend	J	T	Buy	03/12/15	J		
54. Blackrock Global Long Short Credit Fund BGCIX		None			Sold	03/12/15	J		
55. Blackrock Strategic Muni Opportunities Fund Instl MAMTX	A	Dividend	J	T	Buy	03/12/15	J		
56. Boston Partners Long / Short Equity Fd Instl BPLSX (X)	A	Dividend	J	T	Sold (part)	03/12/15	J	A	
57. Delaware National High Yield Muni Bond DVHIX	A	Dividend	J	T					
58. Fidelity Advisor Biotechnology Fund FBTIX	A	Dividend	J	T					
59. Fidelity Advisor Industrials Fund FCLIX	A	Dividend	J	T					
60. Fidelity Advisor Materials Fund FMFEX (Y)									
61. Fiduciary/Clay MLP Opp FMO (Y)									
62. Franklin Intl Small Cap FKSCX	A	Dividend	J	T					
63. Franklin Mutual Global Discovery Fd Cl Z MDISX	A	Dividend	J	T	Buy	03/12/15	J		
64. Guggenheim S&P 500 Equal Weight Technology ETF RYT	A	Dividend	J	T	Buy	03/12/15	J		
65. Ivy Science and Technology Fund ISTIX	A	Dividend	J	T					
66. John Hancock Intl Growth Fund GOGIX	A	Dividend	J	T	Buy	03/12/15	J		
67. Kayne Anderson MLP KYN (Y)									
68. Lord Abbett Intermediate LISFX	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 13

Name of Person Reporting

Nathan, Alison J.

Date of Report

09/09/2016

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69. MFS Utilities FD CL 1, MMUIX	A	Dividend			Sold	03/12/15	J		
70. Neuberger Berman Multi Cap Opp fund, NMULX		None			Sold	03/12/15	J	A	
71. Neuberger Berman Absol Rtrn Multi Mgr Fd NABIX		None			Sold	03/12/15	J		
72. Nuveen Global, FGIYX	A	Dividend			Sold	08/12/15	J	A	
73. Nuveen Short Duration Hi Yld Muni Bd Fd NVHIX	A	Dividend	J	T	Buy	12/08/15	J		
74. Prudential Jennison Health Sciences PHSZX	A	Dividend	J	T	Buy	01/30/15	J		
75. SPDR® S&P 500 ETF SPY		None			Sold	03/12/15	J	A	
76. SunAmerica Focused Dividend Strategy FDSWX	A	Dividend	J	T					
77. T Rowe Price Capital Appreciation Fd Retail PRWCX	A	Dividend	J	T					
78. Templeton Gbl Bond Fund, TGBAX	A	Dividend			Sold	03/12/15	J		
79. The Oakmark Intl Fund OAKIX	A	Dividend	J	T					
80. The Oakmark Select Fund OAKLX	A	Dividend	J	T					
81. Touchstone Sands Capital Select Growth Fund CFSIX	A	Dividend	J	T					
82. Wells Fargo Absolute Return Fd WARDX		None			Sold	03/12/15	J		
83. Wisdomtree Europe Hedged Equity Fund HEDJ	A	Dividend	J	T	Buy	03/12/15	J		
84. Brokerage Account #3 (H)									
85. Merrill Lynch Bank Deposit Program (X)	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 9 of 13

Name of Person Reporting

Nathan, Alison J.

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86. AMG Yackman Fund CL Service, YACKX		None			Sold	03/12/15	J	A	
87. ASG Managed Futures Str Fd ASFYX	A	Dividend	J	T	Buy	03/12/15	J		
88. Blackrock Strategic Muni Opportunities Fund Instl MAMTX	A	Dividend	J	T	Buy	03/12/15	J		
89. Boston Partners Long / Short Equity Fd Instl BPLSX (X)	A	Dividend	J	T	Sold (part)	03/12/15	J		
90. Eaton Vance Floating Rate Fund EIBLX	A	Dividend			Sold	03/12/15	J		
91. Fidelity Advisor Biotechnology Fd FBTIX	A	Dividend	J	T	Buy	03/12/15	J		
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93. Franklin Intl Small Cap Growth Fund FKSCX	A	Dividend	J	T	Buy	03/12/15	J		
94. Franklin Mutual Global Discovery Fd Cl Z MDISX	A	Dividend	J	T	Buy	03/12/15	J		
95. Guggenheim S&P 500 Equal Weight Technology ETF RYT	A	Dividend	J	T	Buy	03/12/15	J		
96. Ishares US Consumer Goods EFT IYK		None			Sold	03/12/15	J	A	
97. Kayne Anderson MLP KYN (Y)									
98. Loomis Sayles Strategic NEZYK	A	Dividend			Sold	08/12/15	J	A	
99. Lord Abbett Short Duration Income Fd LDLFX	A	Dividend	J	T	Buy	03/12/15	J		
100. Metropolitan West Total Return Bond Fund MWTIX	A	Dividend			Sold	03/12/15	J	A	
101. MFS Diversified Income Fund DIFIX	A	Dividend	J	T	Buy	03/12/15	J		
102. MFS Muni Limited, MTLIX	A	Dividend			Sold	03/12/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 10 of 13

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103. MFS Utilities FD CL 1, MMUIX	A	Dividend			Sold	03/12/15	J	A	
104. Neuberger Berman Absol Rtrn Multi Mgr Fd NABIX		None			Sold	03/12/15	J	A	
105. Nuveen Global, FGIYX	A	Dividend			Sold	08/12/15	J	A	
106. Nuveen Real Asset Income Fund NRHX	A	Dividend	J	T	Buy	03/12/15	J		
107. Pimco Foreign Bond Fd PFBPX	A	Dividend	J	T	Buy	03/12/15	J		
108. Pimco Income Fund PONPX	A	Dividend	J	T	Buy	03/12/15	J		
109. Pimco Rae Fundamental Plus Fund PIXPX	A	Dividend	J	T	Buy	03/12/15	J		
110. Principal Global Real Estate Securities POSPX		None			Sold	03/12/15	J	A	
111. Prudential Jennison Health Sciences PHSZX	A	Dividend	J	T	Buy	03/12/15	J		
112. SPDR® KBW Reigonal Banking ETF KRE (X)	A	Dividend	J	T					
113. SunAmerica Focused Dividend Strategy FDSWX	A	Dividend	J	T					
114. T. Rowe Price Capital Appreciation Fd Retail PRWCX	A	Dividend			Sold	03/12/15	J	A	
115. TCW Total Return Bond Fd TGLMX	A	Dividend	J	T	Buy	03/12/15	J		
116. The Oakmark Intl Fund OAKIX	A	Dividend	J	T	Buy	03/12/15	J		
117. Touchstone Sands Capital Select Growth Fund CFSIX	A	Dividend	J	T					
118. Wells Fargo Absolute Return Fd WARDX		None			Sold	03/13/15	J		
119. Brokerage Account #4 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 13

Name of Person Reporting

Nathan, Alison J.

Date of Report

09/09/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. TD Ameritrade Cash Account	A	Interest	J	T					
121. Apple Inc Stock, AAPL	A	Dividend	K	T					
122. Retirement Plan #1 (H)									
123. Vanguard Target Retirement 2040	D	Dividend	N	T					
124. Vanguard Target Retirement 2020	D	Dividend	M	T					
125. Vanguard FTSE Social Index	A	Dividend	L	T					
126. 529 Account #1 (H)									
127. J.P Morgan Aggressive Age-Based Portfolio C	A	Dividend			Sold	08/05/15	J		
128. J.P Morgan Moderate Growth Age-Based Portfolio C	A	Dividend	J	T	Buy	08/05/15	J		
129. 529 Account #2 (H)									
130. J.P Morgan Aggressive Age-Based Portfolio C	A	Dividend			Sold	08/05/15	J		
131. J.P Morgan Moderate Growth Age-Based Portfolio C	A	Dividend	J	T	Buy	08/05/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 13

Name of Person Reporting

Nathan, Alison J.

Date of Report

09/09/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The 2015 report was reformatted to separately identify holdings within each brokerage account. As a result, some entries in the 2014 report will correctly correspond with multiple entries in this report.

Asset name changes are corporate in nature and do not reflect transactions; symbols remain consistent.

Part VII, line 127: This holding was inadvertently omitted from the 2014 and original 2015 reports. (Purchased October 6, 2014; Value Code and Transaction Value Code - J; Income Code - A; Income Type - Dividend; Value Method Code - T.)

Part VII, line 128: This holding was inadvertently omitted from the original 2015 report.

Part VII, line 130: This holding was inadvertently omitted from the 2014 and original 2015 reports. (Purchased October 6, 2014; Value Code and Transaction Value Code - J; Income Code - A; Income Type - Dividend; Value Method Code - T.)

Part VII, line 131: This holding was inadvertently omitted from the original 2015 report.

FINANCIAL DISCLOSURE REPORT

Page 13 of 13

Name of Person Reporting

Nathan, Alison J.

Date of Report

09/09/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Alison J. Nathan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

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