

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Steele, William H.	2. Court or Organization US District-So. Dist. Of AL	3. Date of Report 08/24/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 113 St. Joseph Street Mobile AL 36602		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 12-31-16	Church Reader Fees	\$670.00
2. 12-31-16	Musician Fees	\$995.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 12-31-16	Self-employed (accounting consultant)
2. 12-31-16	Retirement income from Retirement Systems of Alabama
3. 12-31-16	Deferred Compensation distribution from Public Nationwide Retirement Solutions (also shown in Part VII)
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Regions Bank Account	A	Interest	J	T					
2. Nationwide Retirement Solutions (457b)- (level of risk only)	D	Distribution	M	T					
3. *Wells Fargo Advisors - (IRA)									
4. -Centennial Money Market	A	Interest	J	T					
5. - SunAmerica Focused Alpha Large Cap	A	Dividend	K	T					
6. - Putnam International Growth Class A	A	Dividend	J	T					
7. -Precision Drilling	A	Dividend			Sold	11/14/16	J	A	
8. *Wells Fargo Advisors (IRA)									
9. - Alliance Bernstein Large-Cap Growth Class A	A	Dividend	K	T					
10. - Alliance Bernstein Sustainable Global Thematic Fund	A	Dividend	J	T					
11. - John Hancock Regional Bank	A	Dividend	K	T					
12. - Alliance Bernstein Real Estate Investment Class A	A	Dividend	J	T					
13. - Prudential Jennison Blend Fund Class A	A	Dividend	K	T					
14. *Wells Fargo Advisors									
15. -Alliance Large Capital Growth	A	Dividend	K	T					
16. -Centennial Money Market	A	Interest	J	T					
17. -Franklin Mutual Shares (TESIX)	C	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Putnam Invt Fds Multi-Cap Value Fund	B	Dividend	L	T					
19. -Fidelity Adv Emerging Markets Income Fund	A	Dividend	K	T					
20. -Campbell Strategic Allocation Fund	B	Int./Div.	M	T					
21. -Fundamental Investors Inc. (American Funds)	D	Dividend	M	T					
22. -Growth Fund of America (American Funds)	D	Dividend	M	T					
23. -New Economy Fund (American Funds)	A	Dividend	K	T					
24. -Washington Mutual (American Funds)	C	Dividend	L	T					
25. *Nationwide Annuity-variable annuity					Distributed (part)	12/22/16	K		
26. -FIDVIP Free 2020 SVC 2			M	W					
27. -NW AMFDS NVIT ASSTALLOCH			M	W					
28. -NW NVIT INV DEST CAPAPPII			M	W					
29. *Wells Fargo Advisors - IRA (FBO)					Distributed (part)	04/15/16	K		
30. -Putnam Intl. Growth Fund Class A	A	Dividend	K	T					
31. -Putnam Multi-Cap Value Fund Class A	A	Dividend	M	T					
32. -Putnam Growth Opportunities Fund Class A	A	Dividend	M	T	Sold (part)	04/15/16	K		
33. -Euro Pacific Growth (American Funds)	A	Dividend	J	T					
34. -Growth Fund of America (American Funds)	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. First Trust Financial Alphadex Fund	C	Dividend	M	T					
36. Steele Enterprises LLC		None	L	W					
37. Rental property, Orange Beach, AL - Purchase price was \$295,000 4/4/14	E	Rent	N	R					
38. American Express High Yield Savings	A	Interest	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII.2 - The Nationwide Retirement Solution (457b) - I am only able to select the Level of Risk in this retirement plan, and have no control over the selection of individual investments. Invesco VK Gr Inc A and WFA Disc Admn is the investment manager. Previously, I listed the investments that were chosen by the Investment Manager for my plan, but I now understand that listing these investments is not required, since I have no control over their selection. Therefore, the individual investments in this retirement plan are not listed in this report.

VII.32 - Sales were made in order to distribute funds as mandatory IRA Distribution, noted in VII.29.

VII.32 - Putnam Voyager Fund merged with Putnam International Growth Fund in July 2016.

VII.33 - No income is expected to be derived from the LLC. All income will be realized by the manager.

VII.various - '*' denotes header information.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ William H. Steele

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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